

# What is Optimal for High Temperature Superconductivity?

(What are the key features for a  
mechanism of HTC?)

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A distant fjord in Norway, 2007

## Hamiltonian engineering:

What Hamiltonian would give the highest possible superconducting  $T_c$ ?

Problem #1: We can't solve most strongly interacting electronic models in  $d > 1$ .

Problem #2: The question is not well defined unless we specify constraints on what we can vary.

# What is needed for a large $T_c$ ?

A high pairing scale  $\Delta_0$

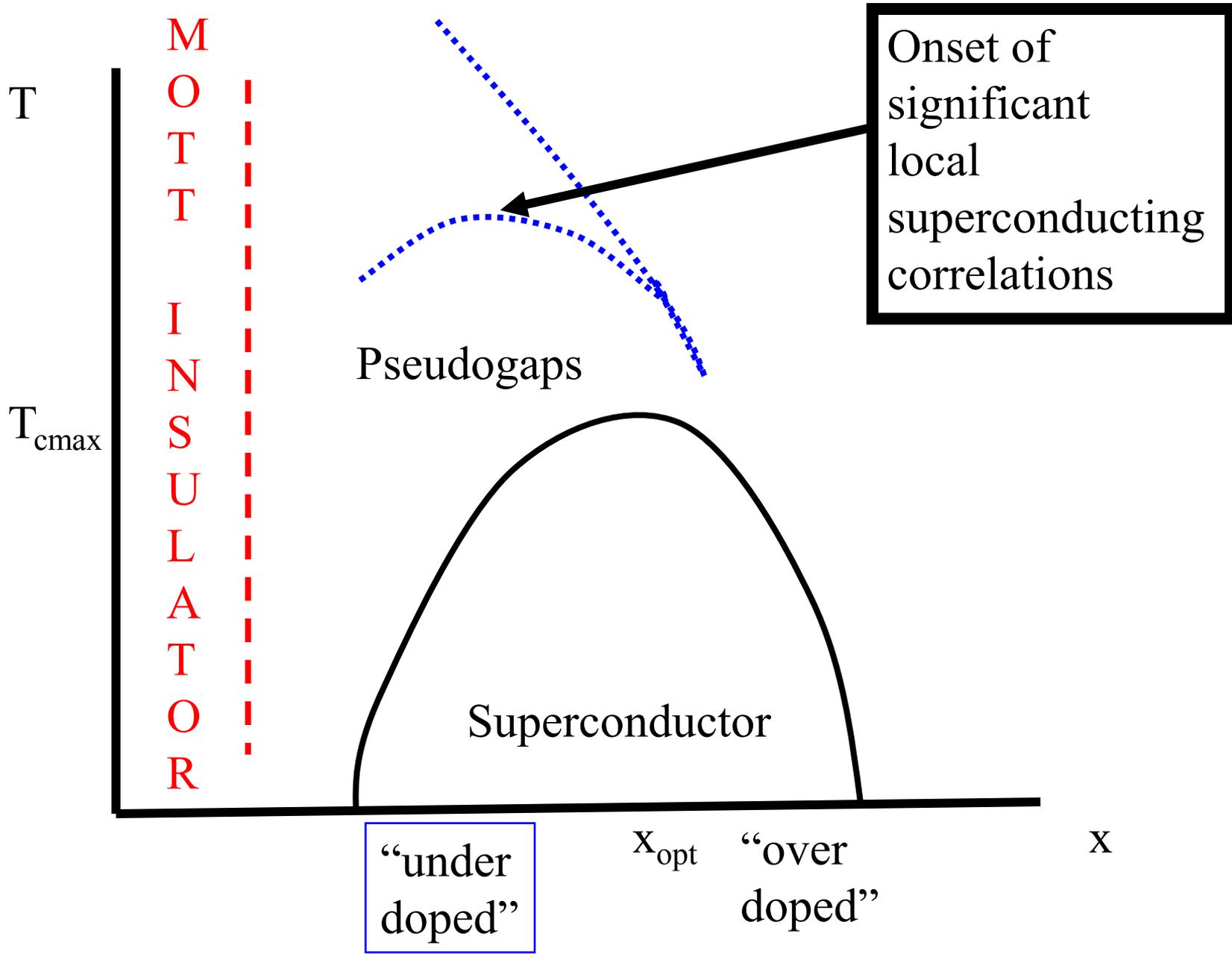
A large phase coherence (condensation)  
temperature  $T_\theta \sim \rho_s$

No “competing” instability to ruin things.

Unless the superconducting phase is cut off by a (first order) transition to a competing phase, optimal  $T_c$  occurs at a crossover from a pairing dominated regime -  $T_c \sim \Delta_0$  to a condensation regime -  $T_c \sim T_\theta$

Breaking a system into meso-scale “clusters” can, under special circumstances, produce enormous enhancement of  $\Delta_0$  but always at the expense of reduced  $T_\theta$ , so optimal  $T_c$  occurs at an “optimal inhomogeneity.”

One can use these principles to develop strategies for making high  $T_c$  higher.

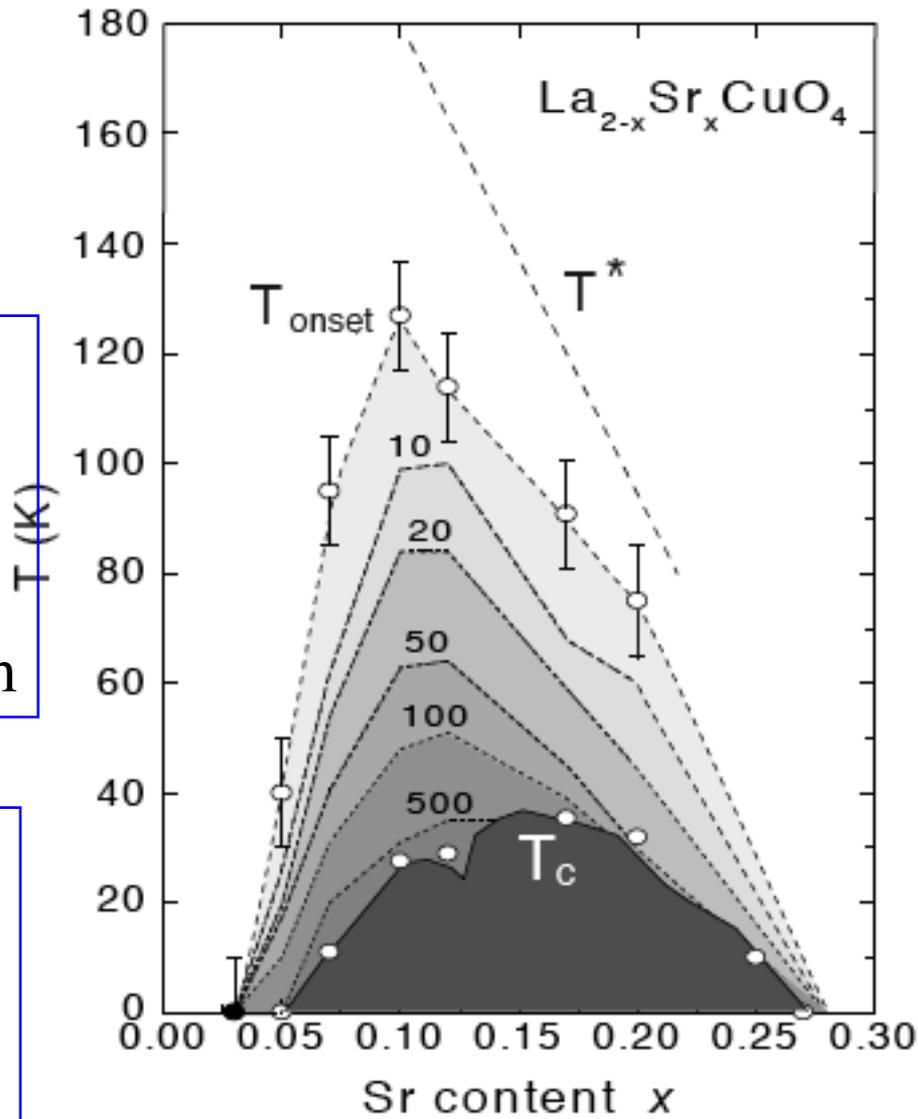


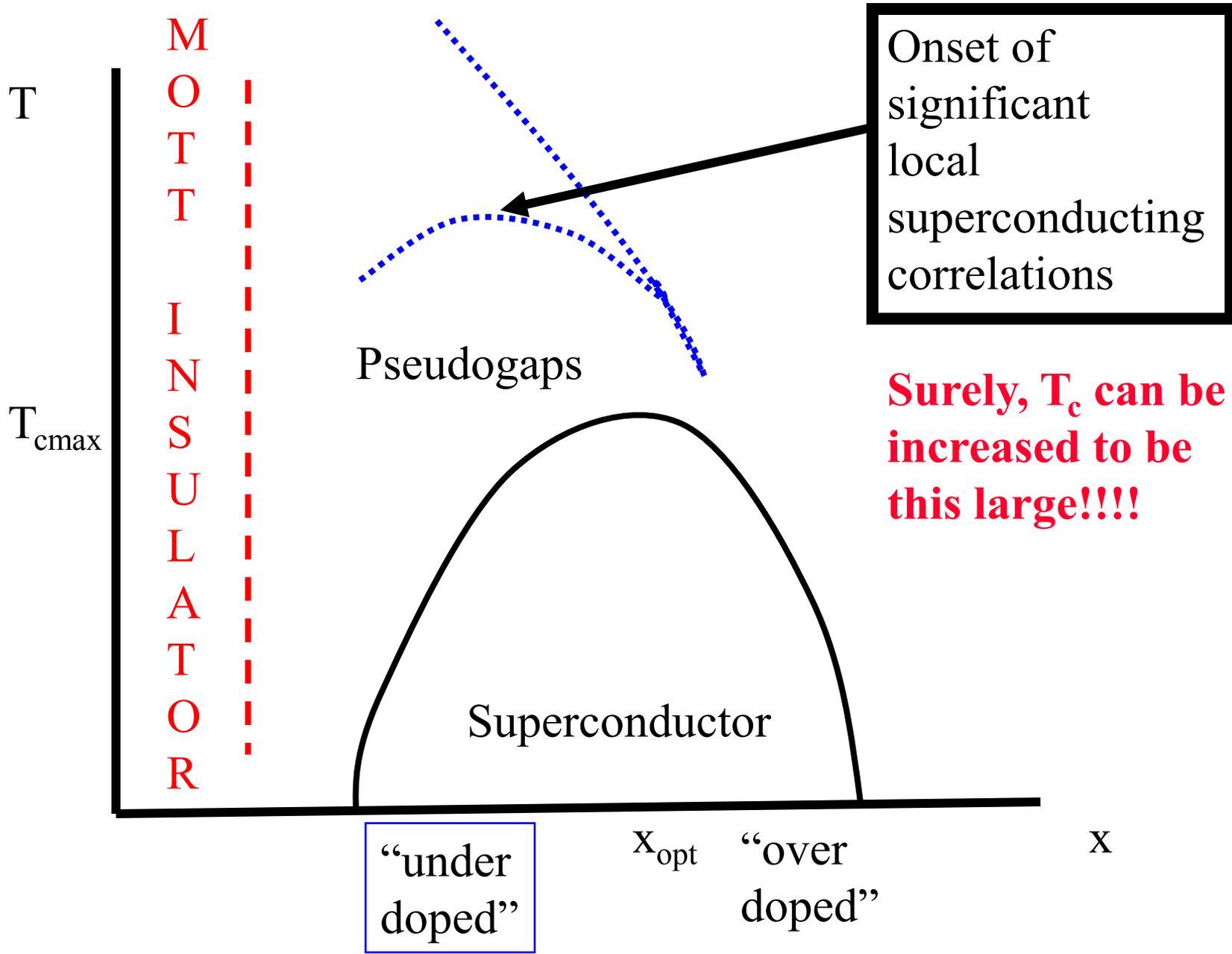
# Pseudo-gap phenomena

From Wang  
et al  
cond-mat/0510470

$T_{\text{onset}}$   
is onset  
of  
fluctuation  
diamagnetism

$T^*$  is onset of  
supression  
of spin  
susceptibility





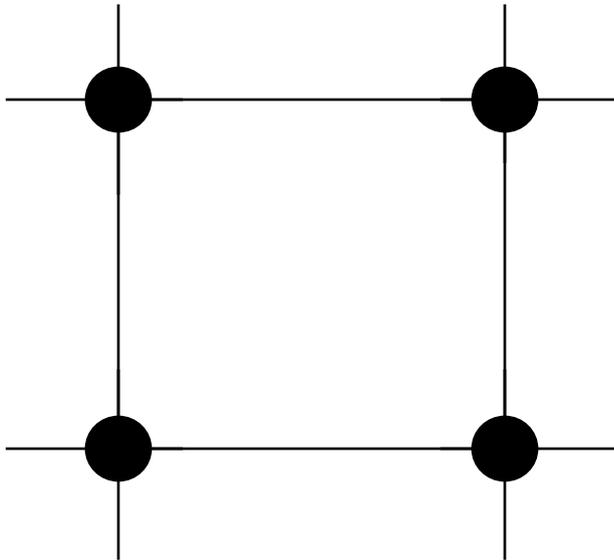
## **Some solutions of model problems:**

1) The negative U Hubbard model

(Following on talk of DJS)

# The Hubbard model

$$H = - \sum_{ij,\sigma} t_{ij} c_{i,\sigma}^\dagger c_{j,\sigma} + U \sum_j c_{i,\uparrow}^\dagger c_{j,\downarrow}^\dagger c_{i,\downarrow} c_{j,\uparrow}$$



$U > 0$	Repulsive
$U < 0$	Attractive

$|U| \ll t$       BCS superconductivity

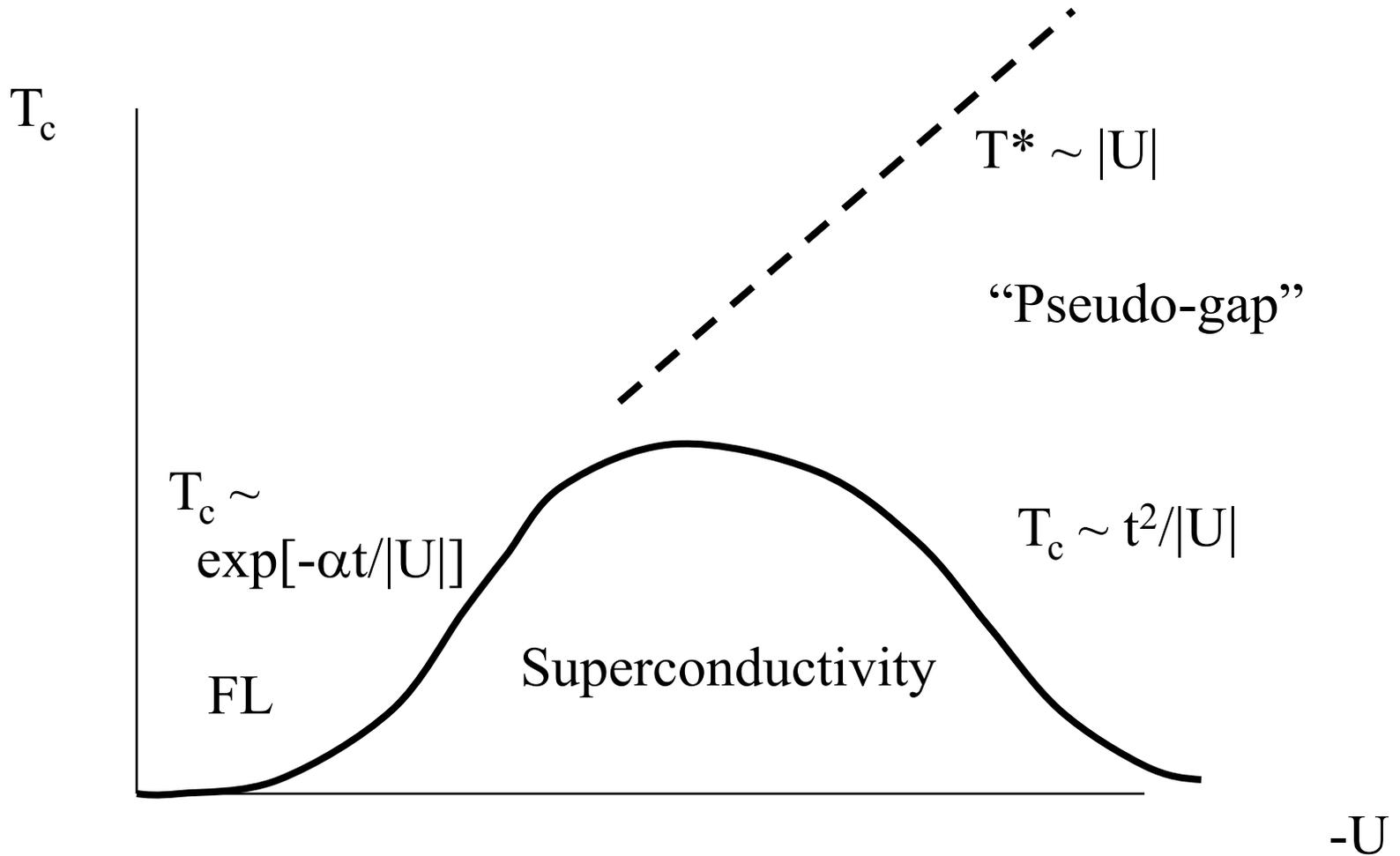
$$T_c \sim t \exp[ - \alpha t/|U| ]$$

very mean-field like transition.

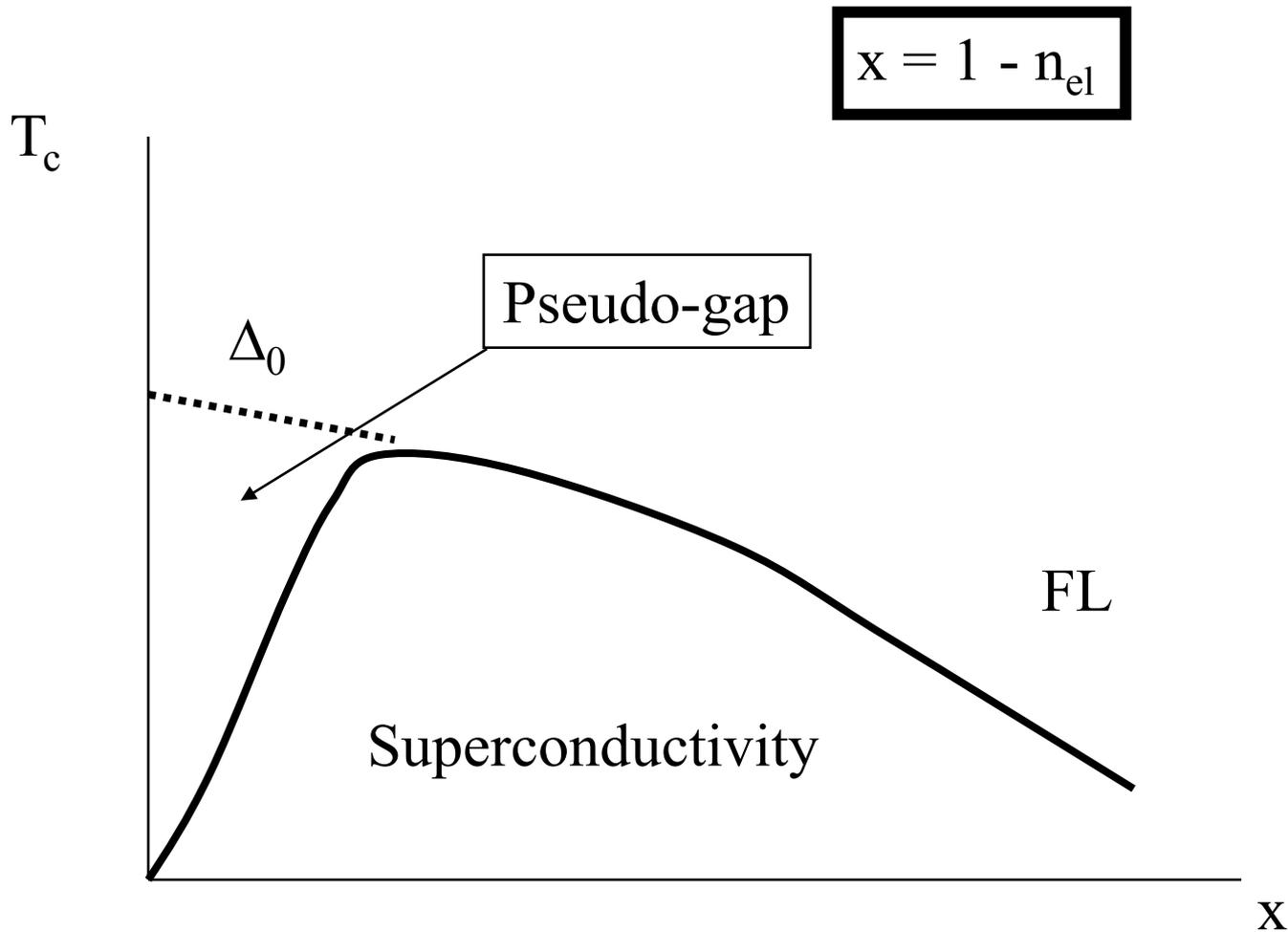
$|U| \gg t$       BEC superconductivity

pairs form at  $T \sim |U|$  (pseudo-gap)

$$T_c \sim \rho_s \sim t^2 / |U|$$



Maximal  $T_c$  occurs at a point of crossover in the physics



For  $x$  small,  $T_c \sim \rho_s \sim$  condensation (phase coherence) scale.  
 For  $x$  large,  $T_c \sim \Delta_0 \sim$  pairing scale.

For small  $x$ ,  $\rho_s$  is suppressed due to “competing” CDW order.

## **Some solutions of model problems:**

- 1) The negative  $U$  Hubbard model
- 2) The Holstein model (electron-phonon problem).

(Also discussed by DJS.)

# Holstein model

Small  $\lambda \ll 1$

$$T_c \sim \omega_0 \exp[ -1/\lambda ]$$

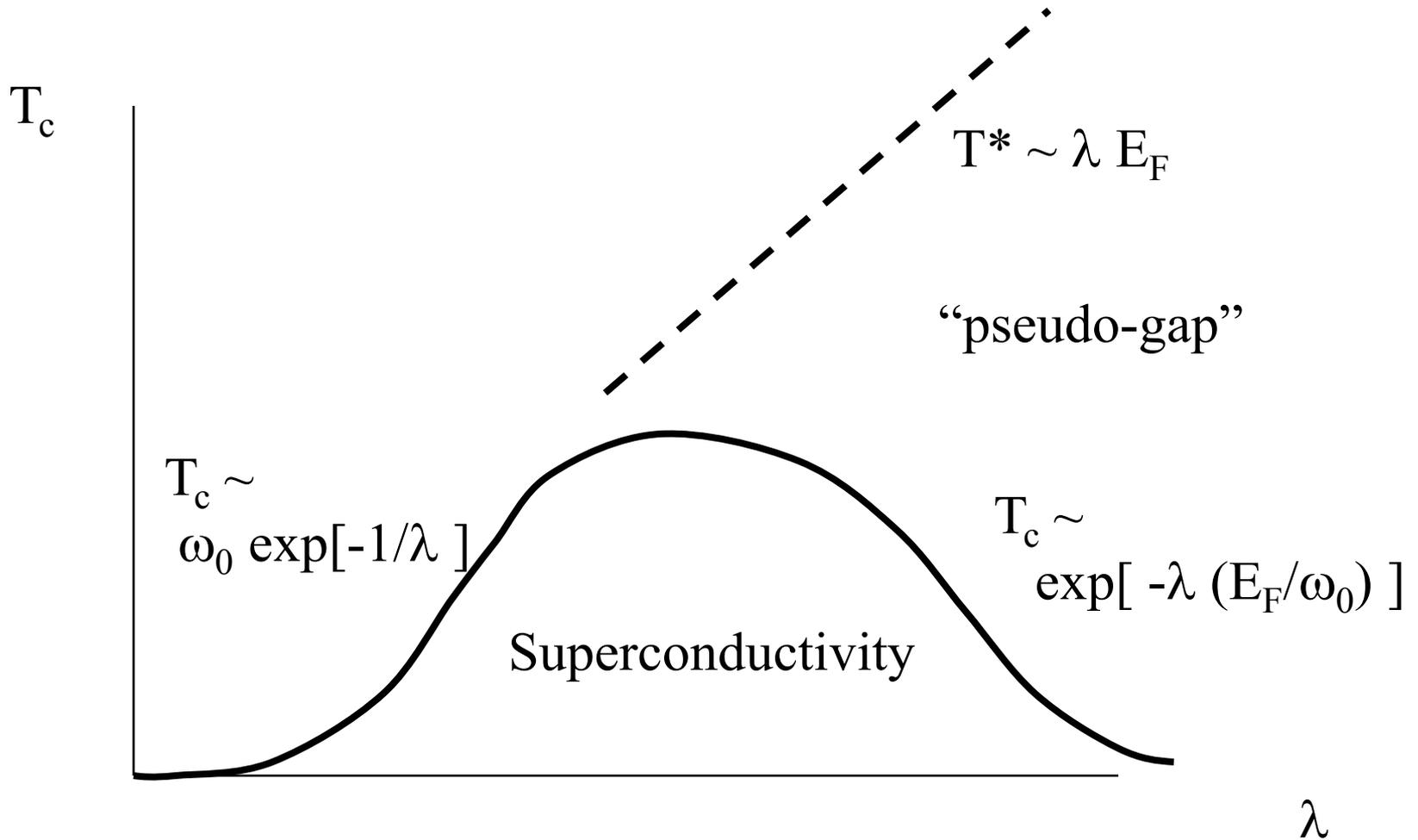
Large  $\lambda \gg 1$

$$T_c \sim \exp[ -\lambda (E_F/\omega_0) ]$$

$$\Delta_0 \sim E_F \lambda$$

“small bipolarons”

This is a breakdown of  
Eliashberg theory!!!



Again, optimal  $T_c$  occurs at the crossover from a pairing dominated to a condensation dominated regime.

## **Some solutions of model problems:**

- 1) The negative  $U$  Hubbard model
- 2) The Holstein model (electron-phonon problem).
- 3) The inhomogeneous negative  $U$  Hubbard model  
(in the weak coupling limit).

Suppose you have some (weak) attractive  $U$ 's  
of concentration  $x < 1$   
to distribute in some way on the lattice.

If they are distributed uniformly,

$$T_c \sim \exp[ -\alpha t / |U_{av}| ] \qquad |U_{av}| = x|U| < |U|$$

If they are macroscopically phase separated,

$$T_c \sim \exp[ -\alpha t / |U| ] \qquad \text{and} \qquad T_c = 0$$

With appropriate distribution can make a spatially  
structured phase with “uniform”

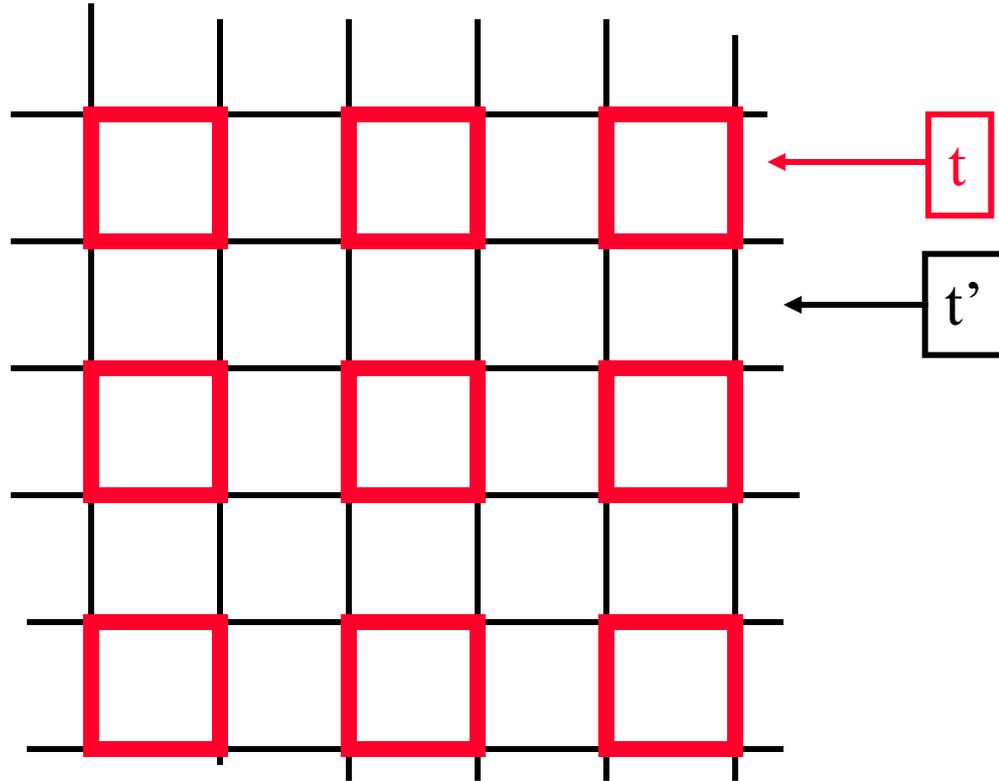
$$T_c \sim \exp[ -\alpha t / |U| ]$$

## Some solutions of model problems:

- 1) The negative  $U$  Hubbard model
- 2) The Holstein model (electron-phonon problem).
- 3) The inhomogeneous negative  $U$  Hubbard model  
(in the weak coupling limit).
- 4) The “Checkerboard Hubbard model.”  
(Similar, although not as complete, results pertain to the “Striped Hubbard model.”)

# Phase diagram of checkerboard Hubbard model

“Homogeneous” ( $t'/t=1$ ) to “highly inhomogeneous” ( $t'/t \ll 1$ )

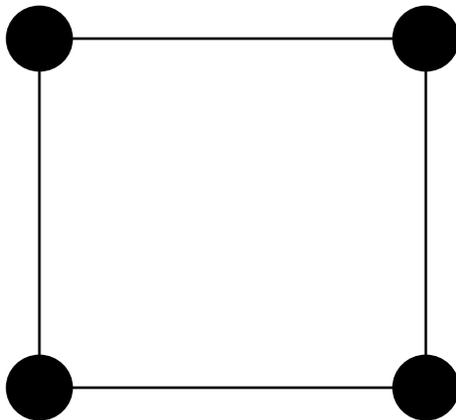


The Hubbard model for  $t = t'$

The Checkerboard Hubbard model for  $t > t'$

## Repulsive U Hubbard model on 4 sites

$$H = - \sum_{\langle \mathbf{r}, \mathbf{r}' \rangle, \sigma} t_{\mathbf{r}, \mathbf{r}'} (c_{\mathbf{r}, \sigma}^\dagger c_{\mathbf{r}', \sigma} + H.c.) + U \sum_{\mathbf{r}} n_{\mathbf{r}, \uparrow} n_{\mathbf{r}, \downarrow},$$



(Solution for  $t'=0$   
is direct product  
of solutions for  
isolated squares.)

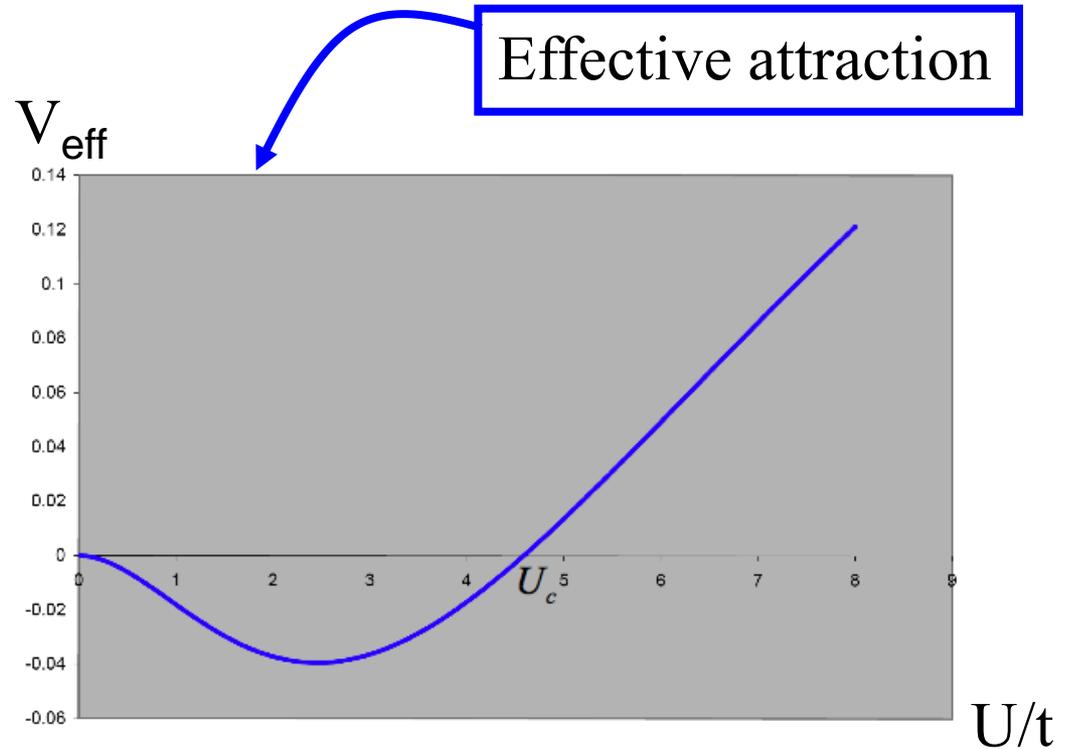
# 4 site Hubbard model

$$Q = 4 - N_{\text{electrons}} = N_{\text{holes}} \quad E(Q) = \text{Ground-state energy}$$

Q	E(Q)	S	$S_z$	symmetry	eigenstate
0	$\frac{\sqrt{3}U - 2\sqrt{16t^2 + U^2}\cos(\frac{\beta}{3})}{\sqrt{3}}$	0	0	d-wave	$\Psi_{111}$
1	$\frac{U - \sqrt{32t^2 + U^2} + 4\sqrt{64t^4 + 3t^2U^2}}{2}$	$\frac{1}{2}$	$\pm \frac{1}{2}$	$p_x \pm ip_y$	$\Psi_{46}, \Psi_{70}$ $\Psi_{50}, \Psi_{74}$
2	$\frac{U - 2\sqrt{48t^2 + U^2}\cos(\frac{\alpha}{3})}{3}$	0	0	s-wave	$\Psi_{22}$

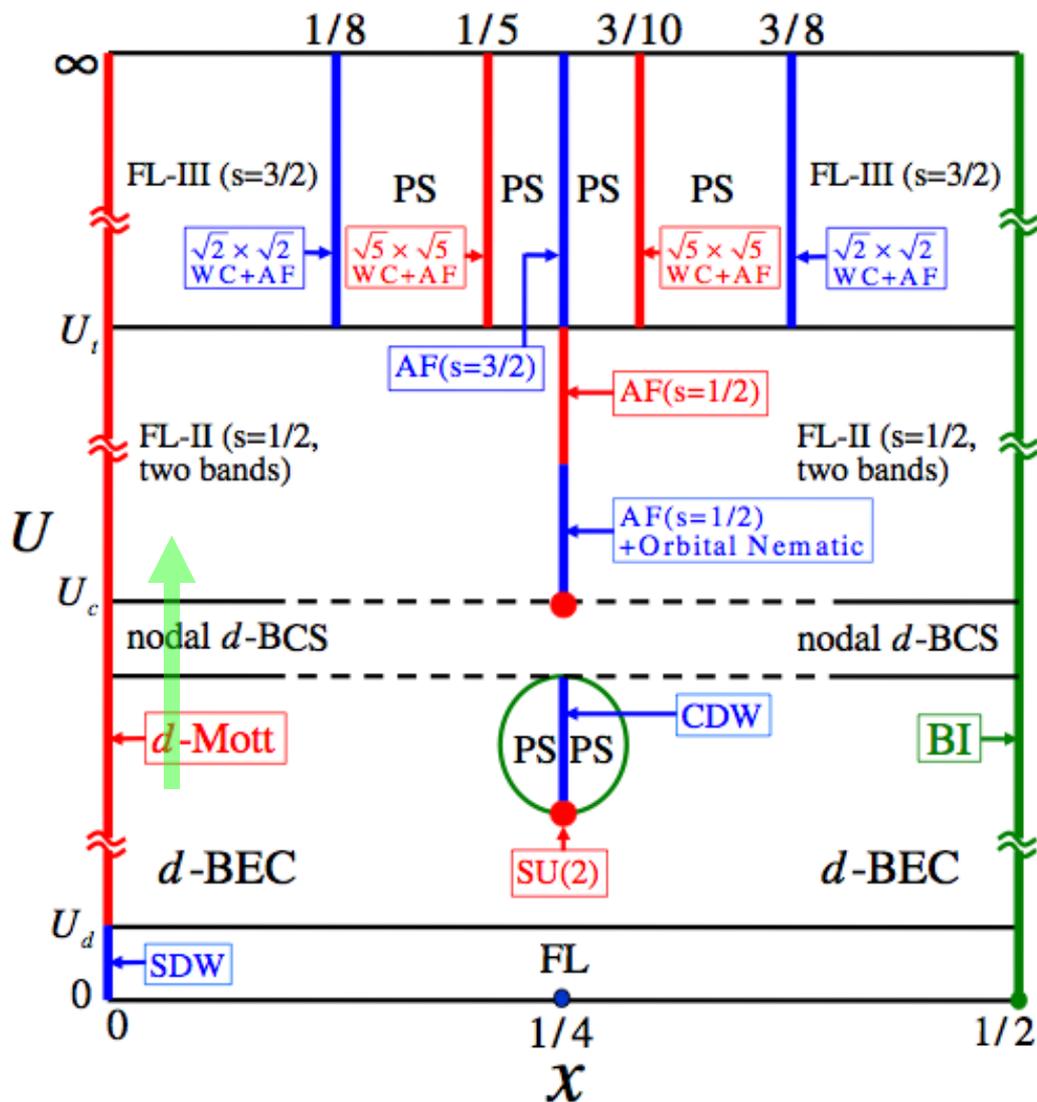
# 4-site Hubbard model

$$V_{\text{eff}} = E(2) + E(0) - 2E(1)$$

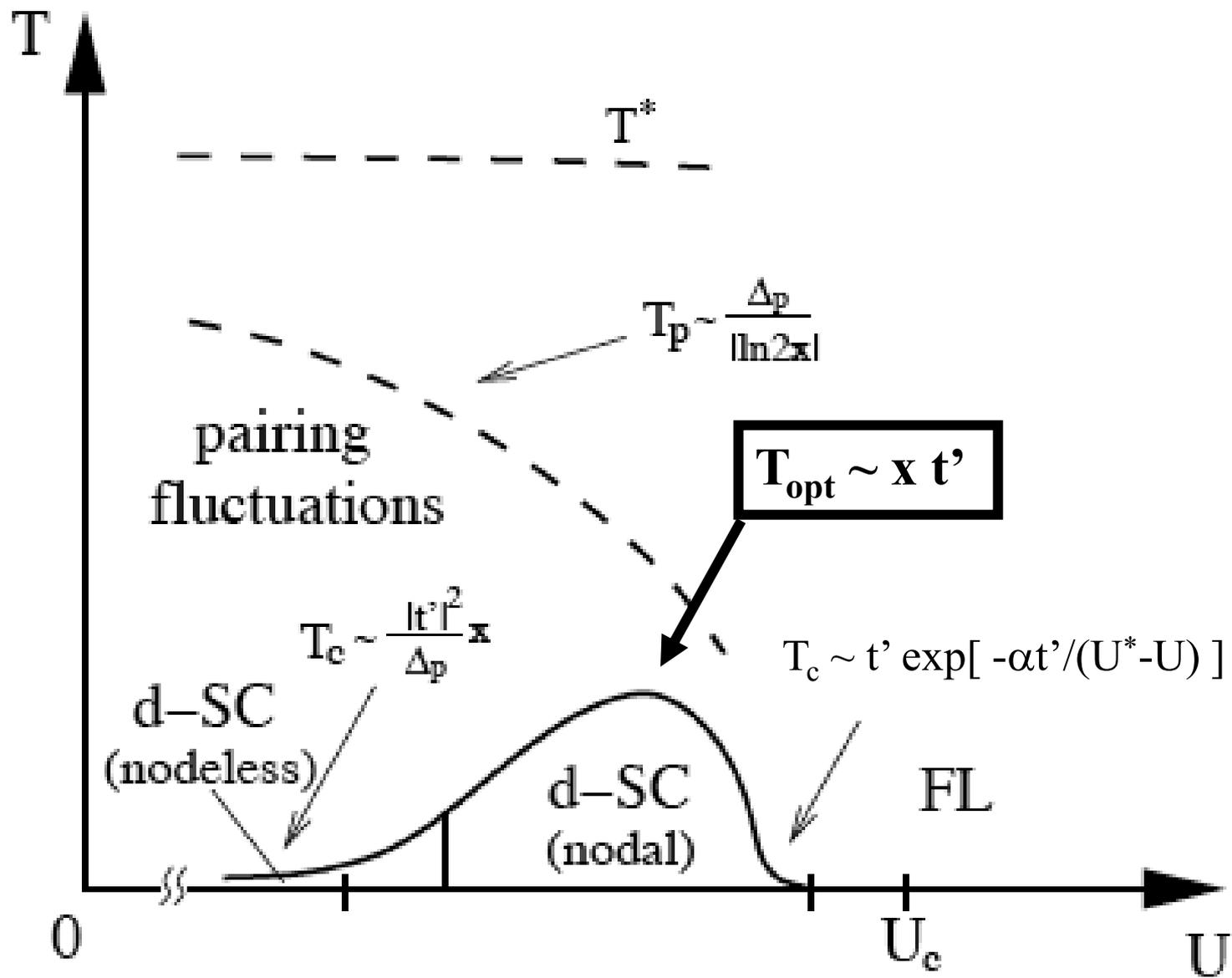


When  $V_{\text{eff}} < 0$ , it is energetically favorable to add two holes to one square rather than one hole to each of two squares.  $U_c/t = 4.58 \dots$

Phase diagram at  $T=0$  for checkerboard model with  $t' \ll t$ .



$t'/t \ll 1$  and  $x \ll 1$  and  $U$  near  $U_c$



Checkerboard Hubbard model proves many points of principle.

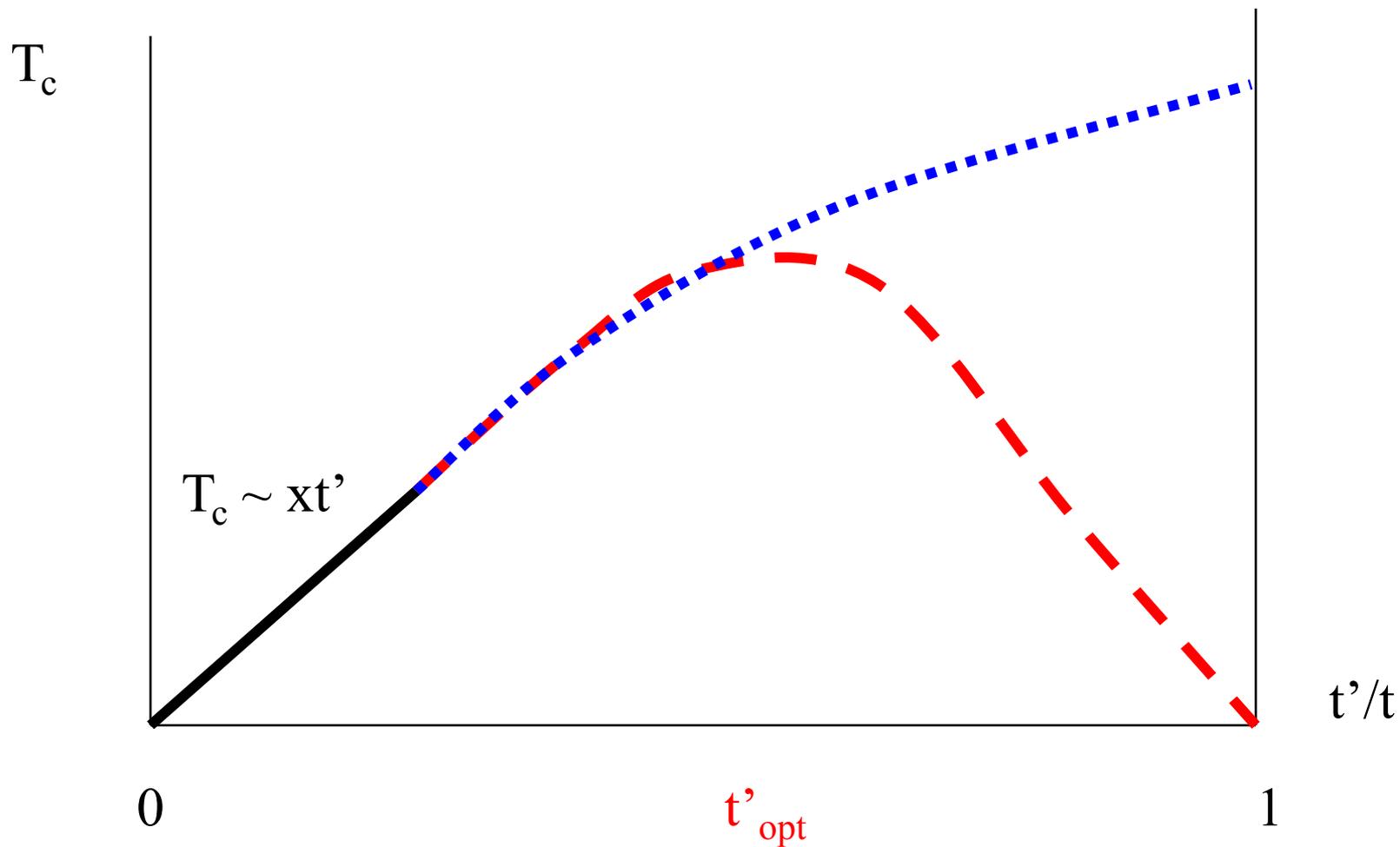
Can get superconductivity directly from strong repulsion between electrons

Highly non-BCS **mechanism** of SC - no well defined phonon (or any other well defined boson) exchanged, and no FL “normal” state.

D-wave superconductivity emerges naturally from lattice geometry and strong repulsion.

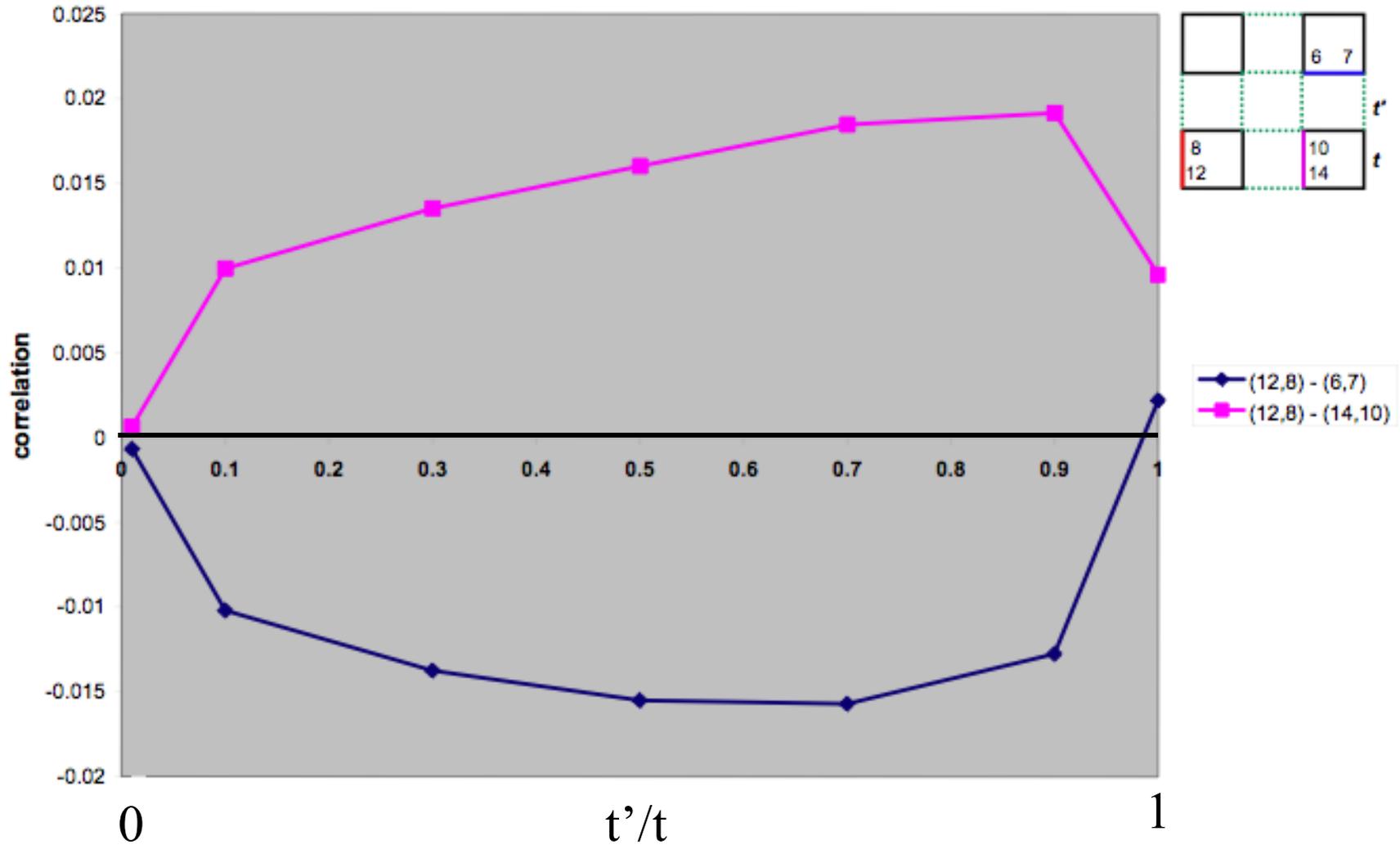
Also has a crossover from pairing to coherence at roughly  $T_{\text{opt}}$

Is inhomogeneity essential?

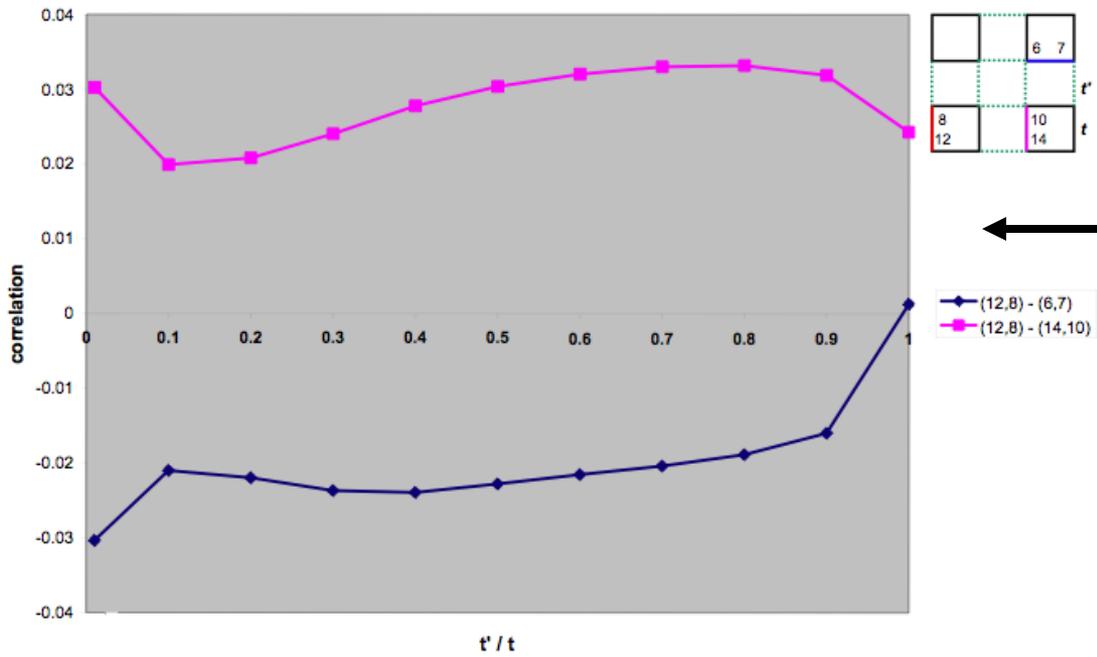
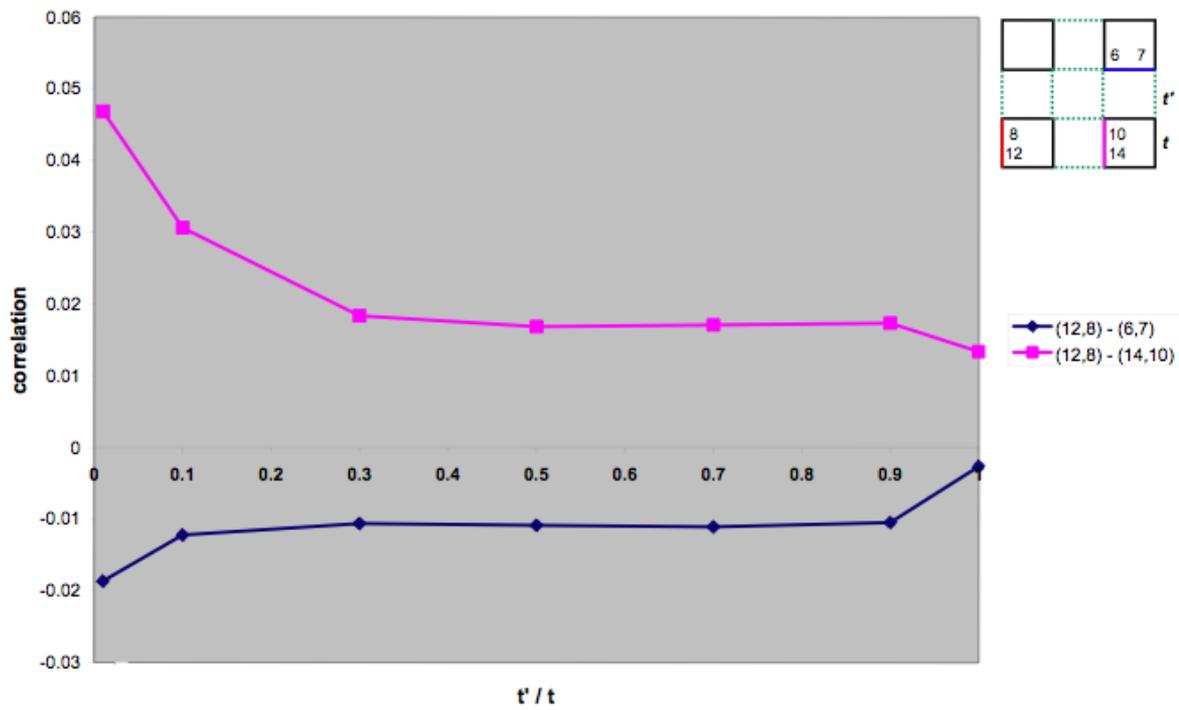


# Equal time Pairfield-pairfield correlation function on 4x4 checkerboard

14 electrons on 16 sites with  $U=8t$



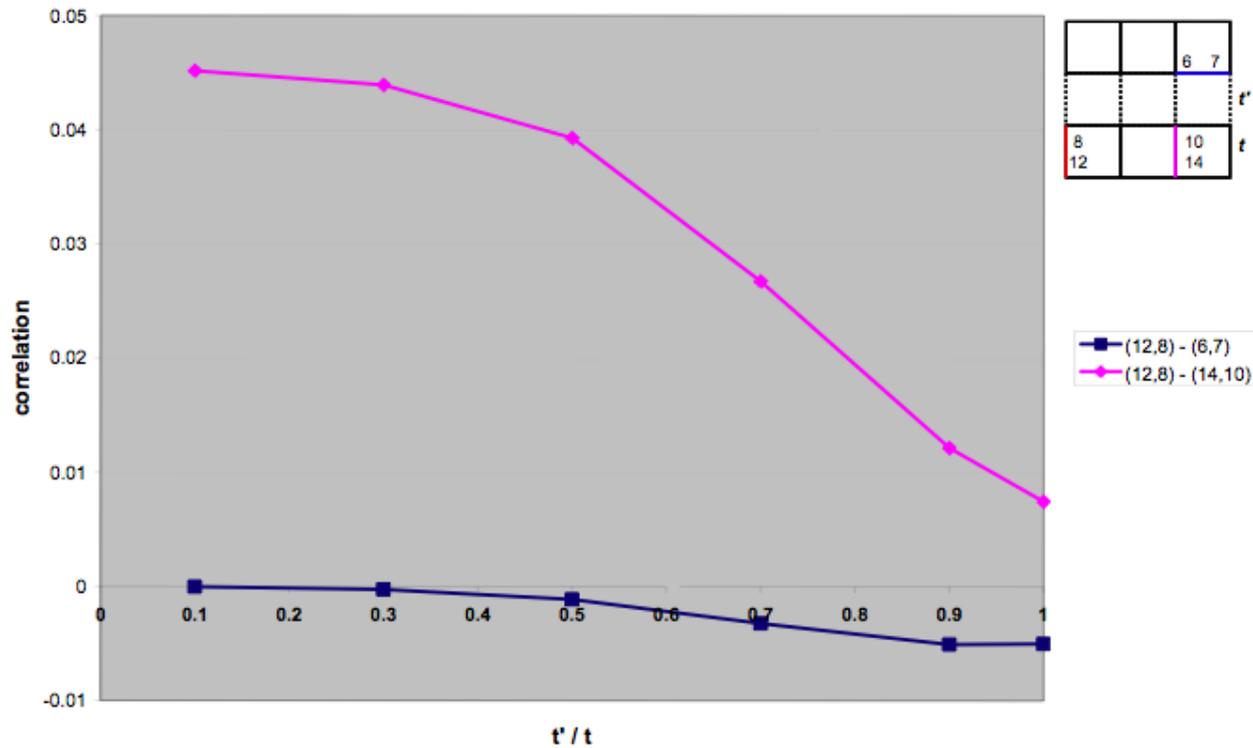
12 electrons  
 $U=4$



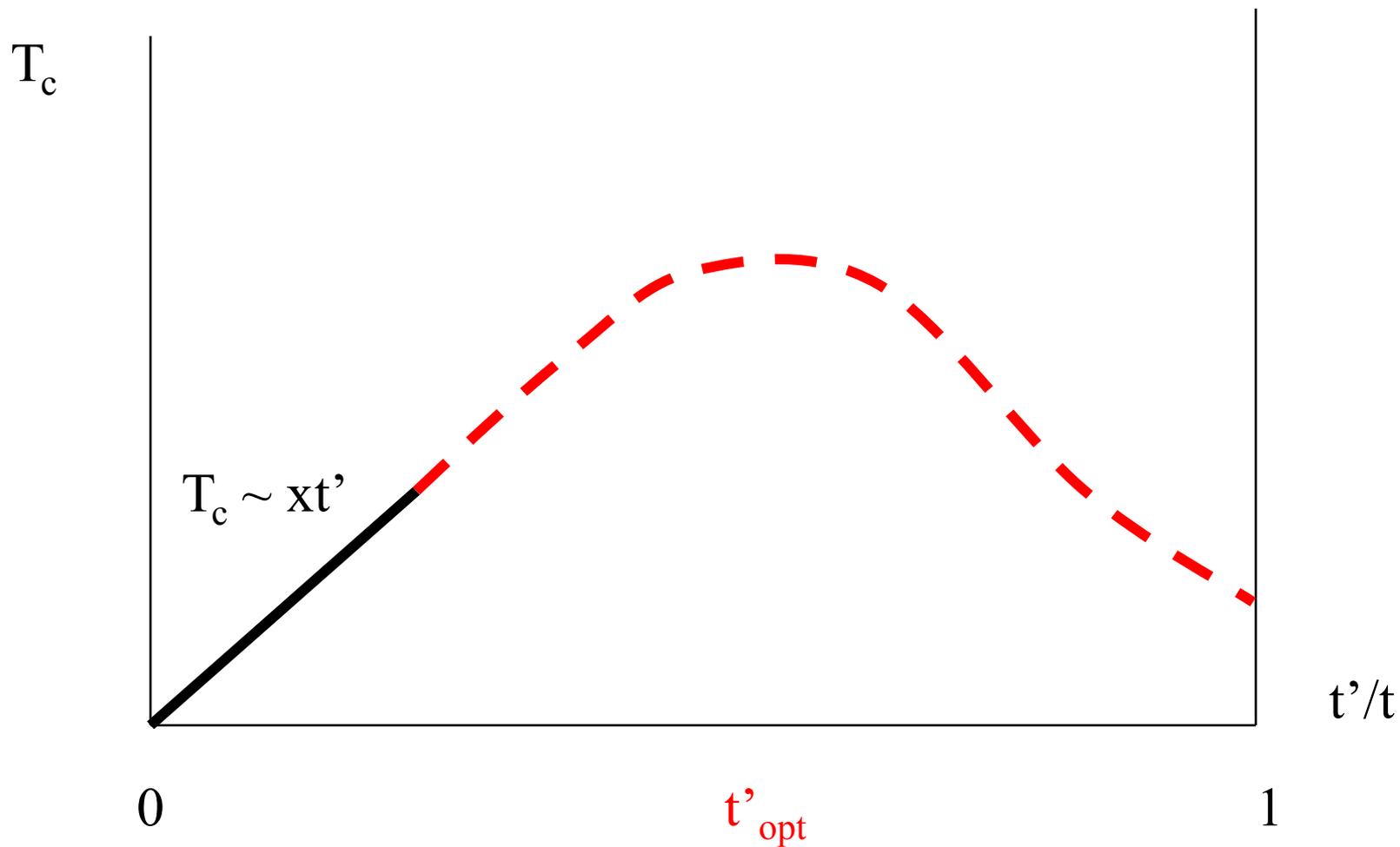
14 electrons  
 $U=4$

# Two “coupled two leg ladders”

$U=4t$     16 electrons in 16 sites



Probably inhomogeneity essential to optimize  $T_c$ !



Maybe there is an “optimal inhomogeneity” for HTC.

Then “stripes” may be essential - a form of self-organized inhomogeneity.

Mesoscale structure of another kind is demonstrably important  $T_c$  rises for  $n=1$  to 2 to 3, then drops for  $n=4$  to 5 ... ( $n$ =number of layers)

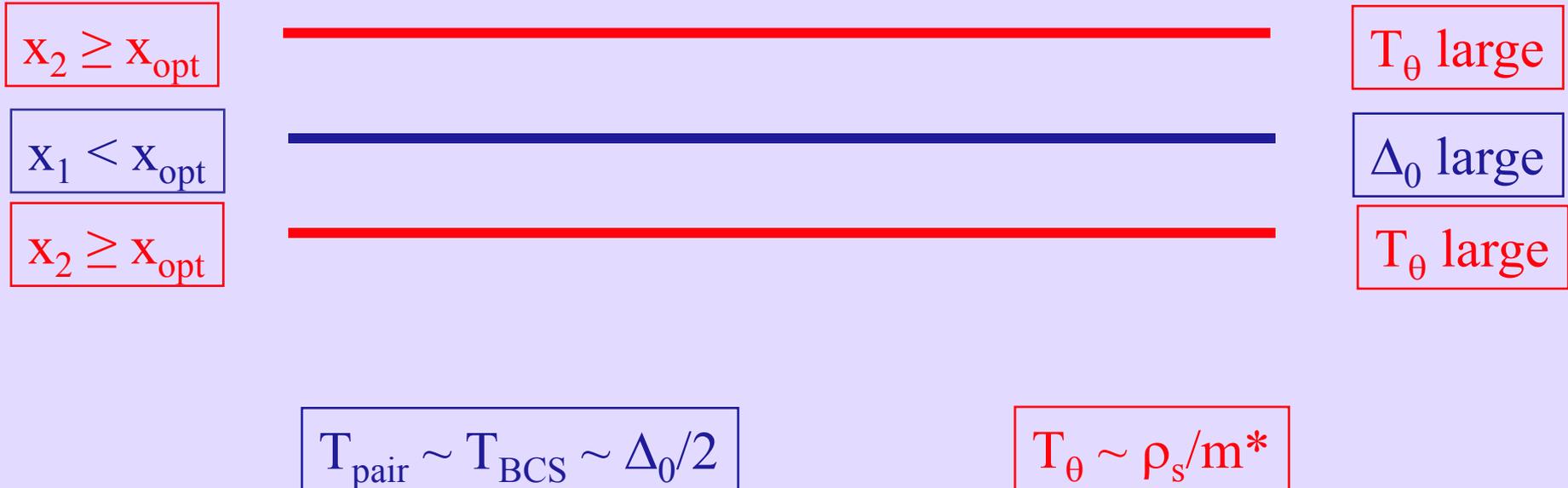
Search for ways of making inhomogeneous systems with high pairing regions and highly coherent (itinerant) regions.

# How to make High $T_c$ higher - a theoretical proposal

Physica B 318, 61-67 (2002).

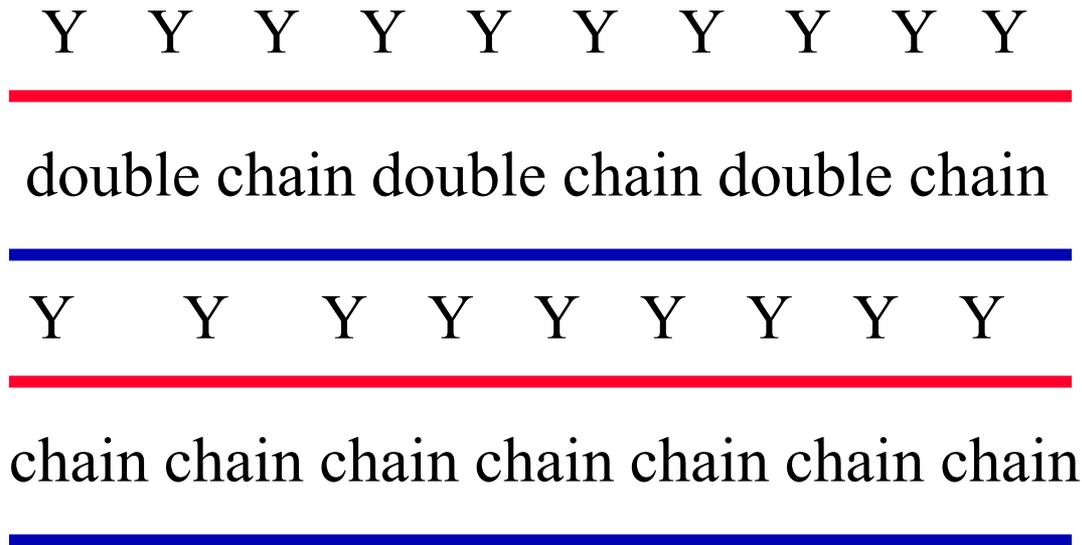
Suppose it is true that in underdoped cuprates,  $T_{\text{pair}} \gg T_c$ .

Then, to enhance  $T_c$ , we need to enhance  $T_\theta$ .



Consider a bilayer cuprate with two inequivalent layers vary, separately,  $x_1$  and  $x_2$  to optimize  $T_c$

Maybe this already occurs in  $Y_2Ba_4Cu_7O_{15}$



$$T_c = 95K = 3 K \text{ enhancement over } YBa_2Cu_3O_7$$

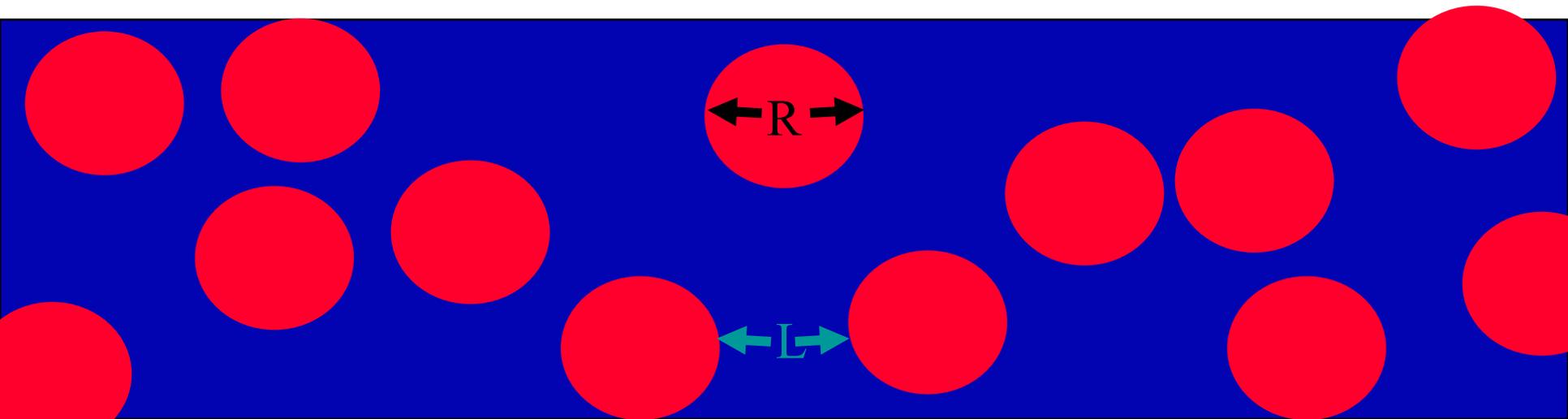
# Making an optimal high temperature superconductor from a mixture of two phases

A= strong pairing but small superfluid density  
(possibly both deriving from stripe order).

B= large Drude weight, but little or no pairing  
(possibly an overdoped metal).

$$R \sim \xi_0$$

$$L \sim L_T \sim v_F/T$$



Unless the superconducting phase is cut off by a (first order) transition to a competing phase, optimal  $T_c$  occurs at a crossover from a pairing dominated regime -  $T_c \sim \Delta_0$  to a condensation regime -  $T_c \sim T_\theta$

Breaking a system into intermediate scale “clusters” can, under special circumstances, produce enormous enhancement of  $\Delta_0$  but always at the expense of reduced  $T_\theta$ , so optimal  $T_c$  occurs at an “optimal inhomogeneity.”

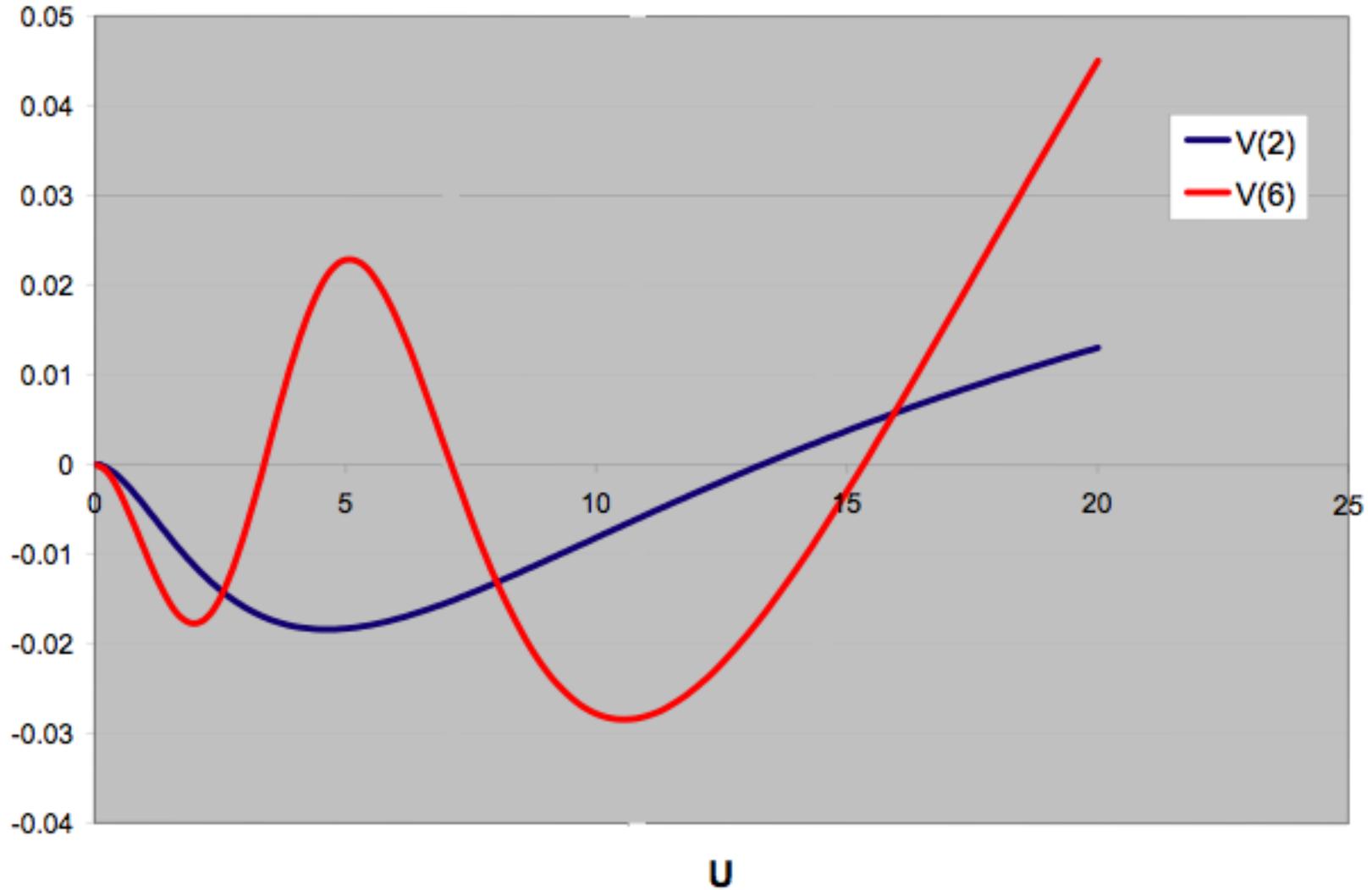
One can use these principles to develop strategies for making high  $T_c$  higher.

The end

$$V(6) = E(8) + E(6) - 2E(7)$$

$$V(2) = E(4) + E(2) - 2E(3)$$

**Pair binding energy for a cube**



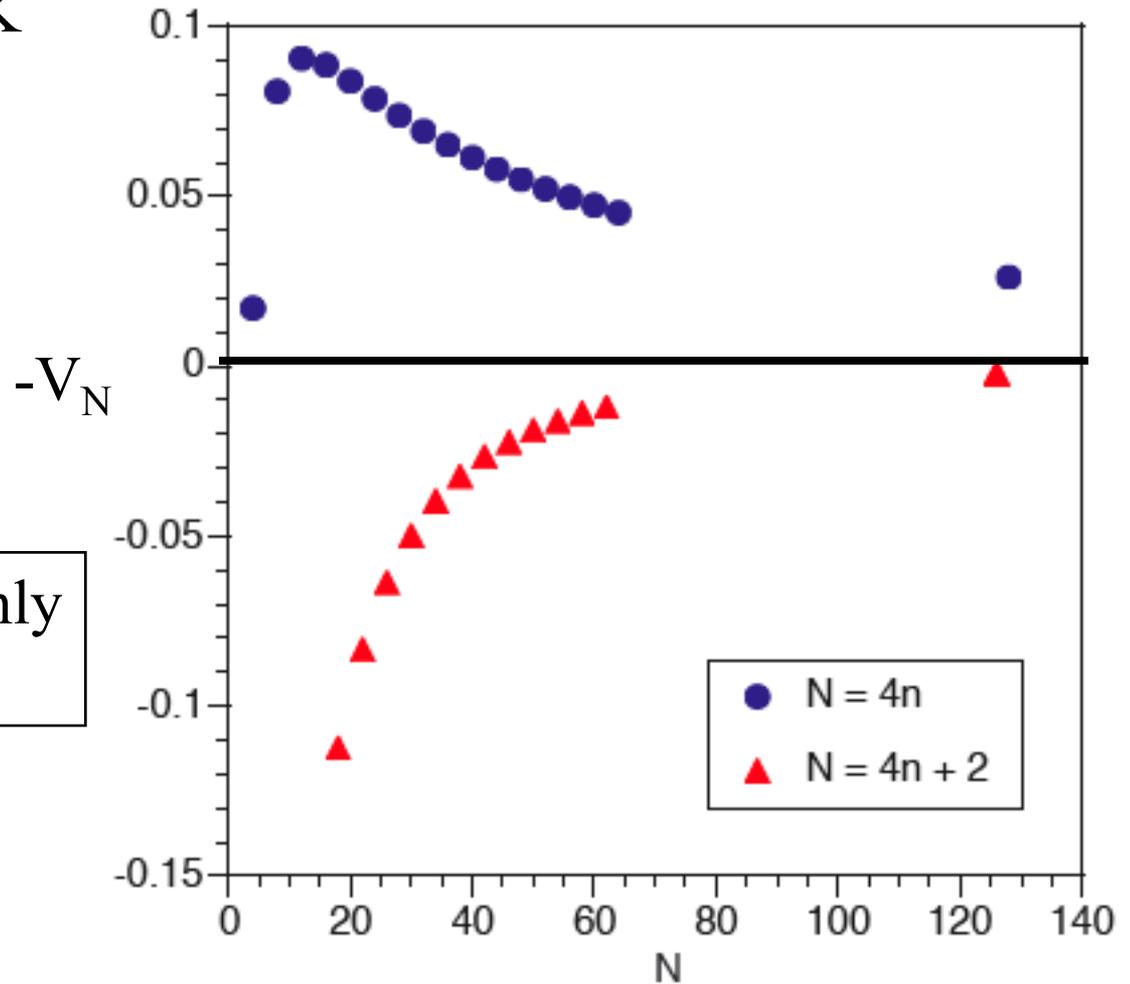
# Pair binding energy on N membered Hubbard ring

S.Chakravarty and SAK  
PRB (2001)

Pair-attraction occurs preferentially for intermediate sizes

Pair-attraction occurs only for intermediate  $U/t$ .

Pair-attraction occurs only on special clusters



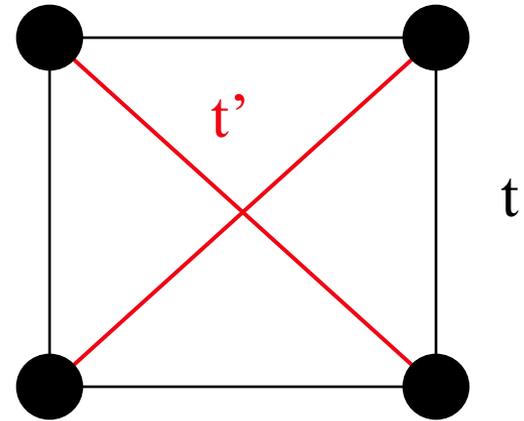
$$U=4t$$

CuO <sub>2</sub> / <i>c</i>	<i>n</i> =1		<i>n</i> =2		<i>n</i> 3	
	<i>T<sub>c</sub></i> (K)	Separations (Å)	<i>T<sub>c</sub></i> (K)	Separations (Å)	<i>T<sub>c</sub></i> (K)	Separations (Å)
LSCO-214	40	6.6	-	-	-	-
Hg-12( <i>n</i> -1) <i>n</i>	98	9.5	127	9.5	134	9.5
Tl-12( <i>n</i> -1) <i>n</i>	-	-	103	-	133	-
Tl-22( <i>n</i> -1) <i>n</i>	95	11.5	118	11.5	125	11.5
Bi-22( <i>n</i> -1) <i>n</i>	38	-	96	-	120	-
Y123 (6 GPa)	-	-	95	7.9	-	-
Y124 (6 GPa)	-	-	105	9.8	-	-

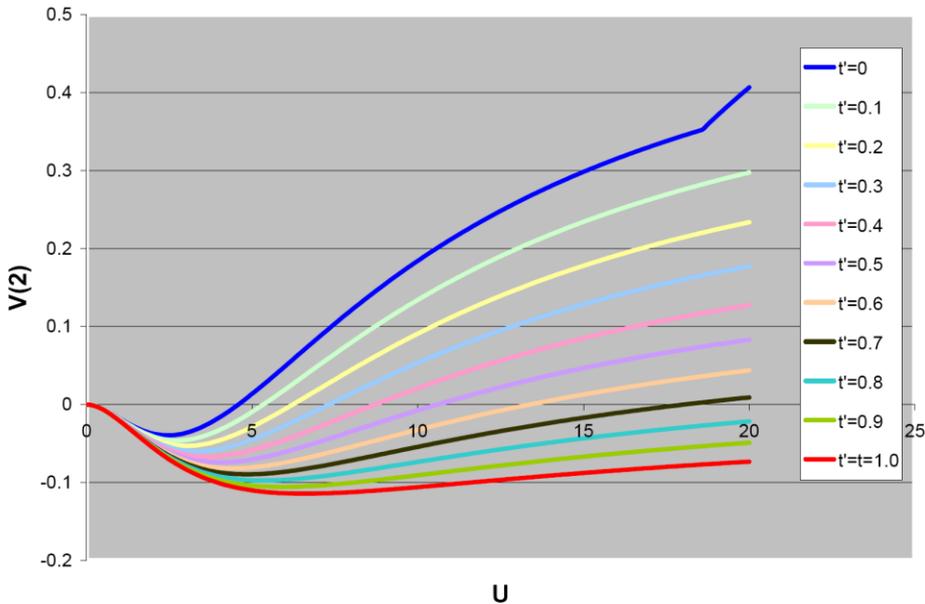
From “What can *T<sub>c</sub>* teach about superconductivity?”  
 by Geballe and Koster, cond-mat/0604026

# More about the Hubbard square

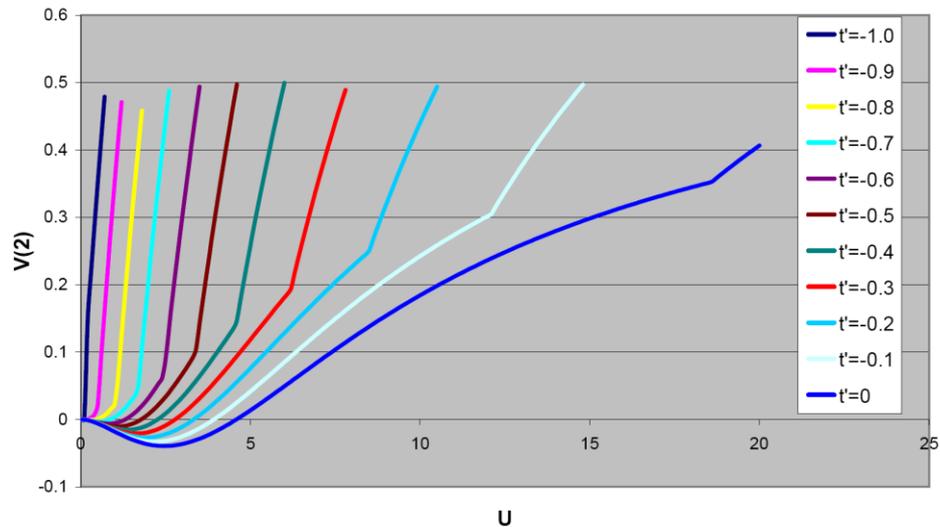
$t'=t$  is the tetrahedron -  
the “best” cluster we  
have found yet.



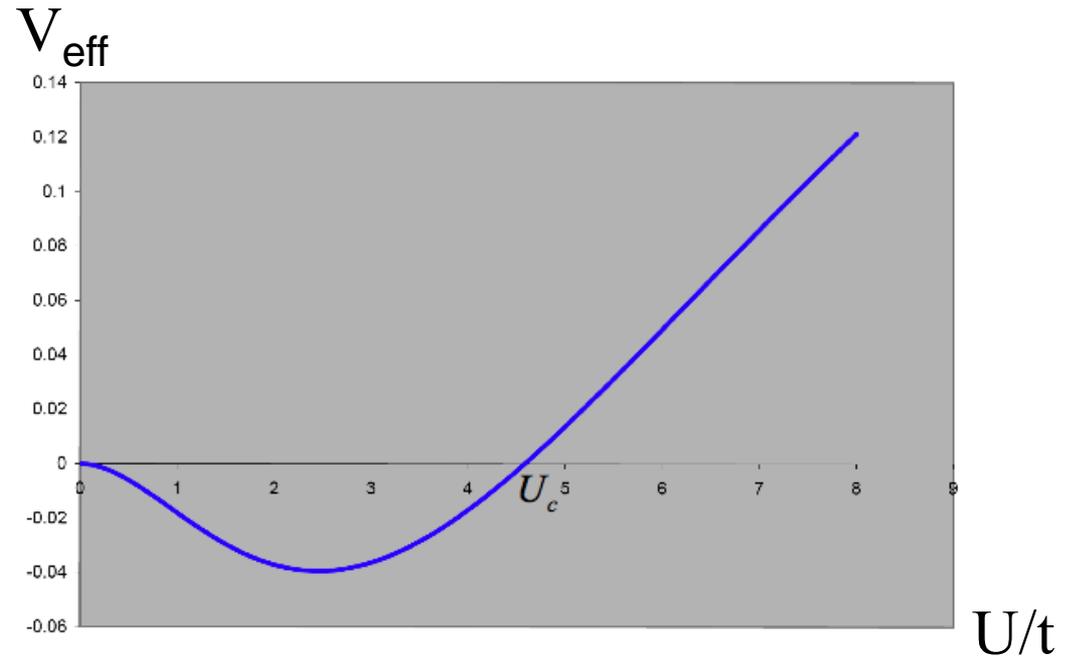
Pair binding energy for a square with positive  $t'$



Pairing binding energy on a square with negative  $t'$



# Four-site Hubbard



We can understand the onset of the effect using perturbation theory.

$$V_{\text{eff}} = A U - B U^2 + \dots \quad A \geq 0 \quad B > 0$$

Under what circumstances is  $A=0$ ?

Pair-Pair Correlation Function, Ne=16, U=2, Checkerboard

