

# Electronic Structure of Rocksalt Copper Monoxide: A Proxy for High Temperature Superconductivity

Paul M. Grant

Visiting Scholar, Stanford University  
IBM Research Staff Member, Emeritus

Stanford Collaborators:

Ted Geballe  
Bob Hammond  
Mac Beasley  
Gert Koster  
Wolter Siemons

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*Con grazie mille e speciale a:*

Paolo Gianozzi, Udine  
Matteo Cococcioni, U Minn  
Nicola Marzari, MIT  
Axel Kohlmeyer, U Penn  
Evyaz Isaev, MISA  
Tone Kokalj, Ljubljana  
*...e tutto di consorzio*  
“Quantum Espresso,” ICTP

# 22 Years Ago...

## Possible High $T_c$ Superconductivity in the Ba – La – Cu – O System

J.G. Bednorz and K.A. Müller

IBM Zürich Research Laboratory, Rüschlikon, Switzerland

Received April 17, 1986

*...Still No Theory!*

# Agenda

- ...Still No Theory
- Structural Issues
- “Experimental Apparatus”
- Band Structure, DOS and Fermiology
- Superconductivity
- The da Vinci Code
- Conclusions/Homework

## Theory of Everything

$$\mathcal{H} = - \sum_j \frac{k^2}{2m_j} p_j^2 - \sum_a \frac{k^2}{2M_a} p_a^2 - \sum_{j,a} \frac{q_a e^2}{|r_j - R_a|}$$
$$+ \sum_{j \neq k} \frac{e^2}{|r_j - r_k|} + \sum_{a \neq b} \frac{q_a q_b e^2}{|R_a - R_b|}$$

- Hydrogen atom
- Methane molecule
- water
- Air
- Rocks
- Concrete
- Steel
- Glass
- Plastic
- Buildings
- Cities
- Continents
- Proteins
- DNA
- Viruses
- Bacteria
- Yeast
- Slime mold
- Butterflies
- Sharks
- Rats
- Lawyers
- Ebola virus
- Legislatures
- Civilizations

## Bob Laughlin's “Theory of Everything” (that matters)

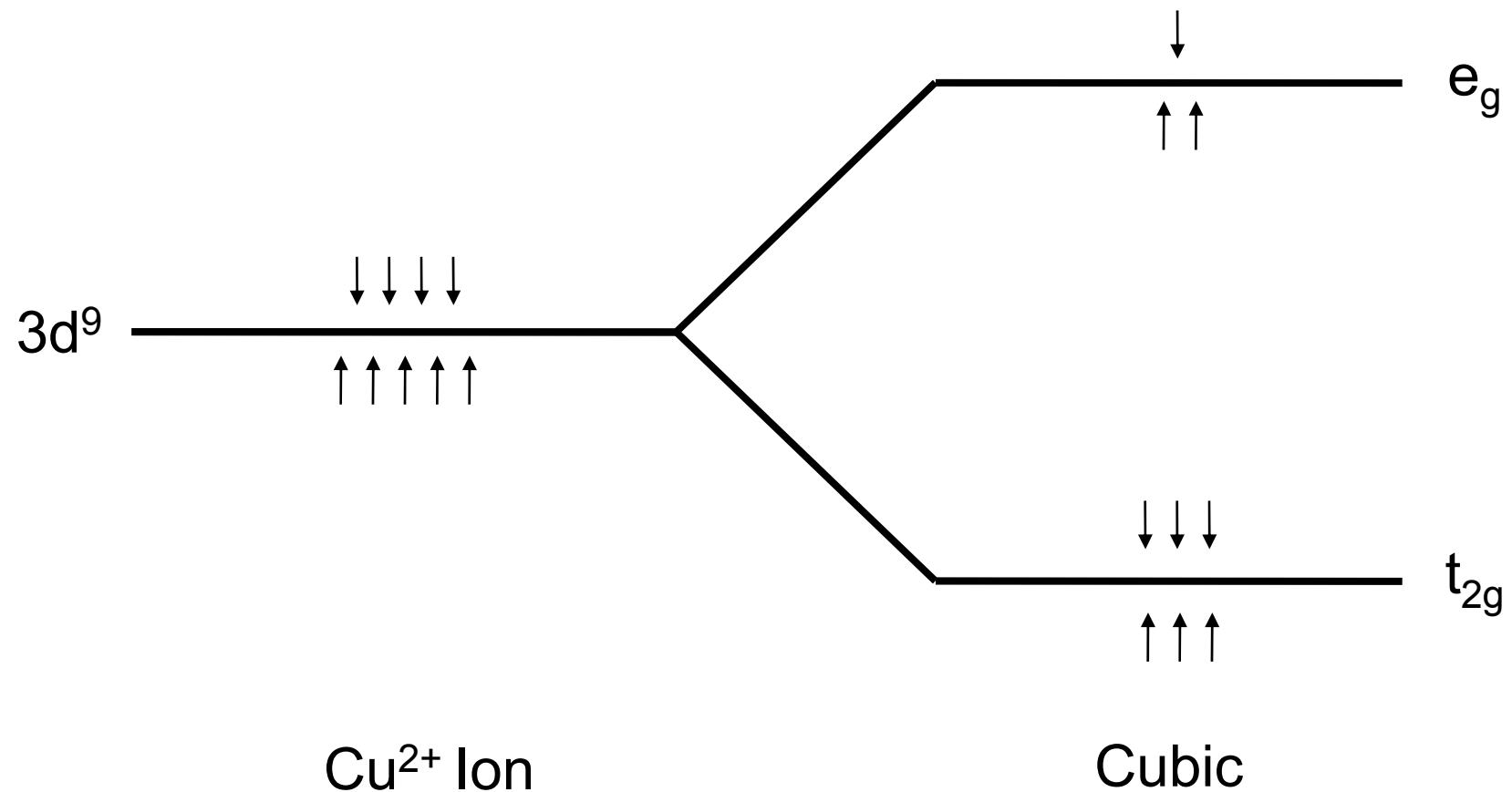
Should use the many body Dirac equation!

And Add Maxwell, Boltzman and Gibbs, and Newton

The crunch comes when  $\Sigma_i$  with  $i \geq 3 \rightarrow$   
“thermodynamic limit.”

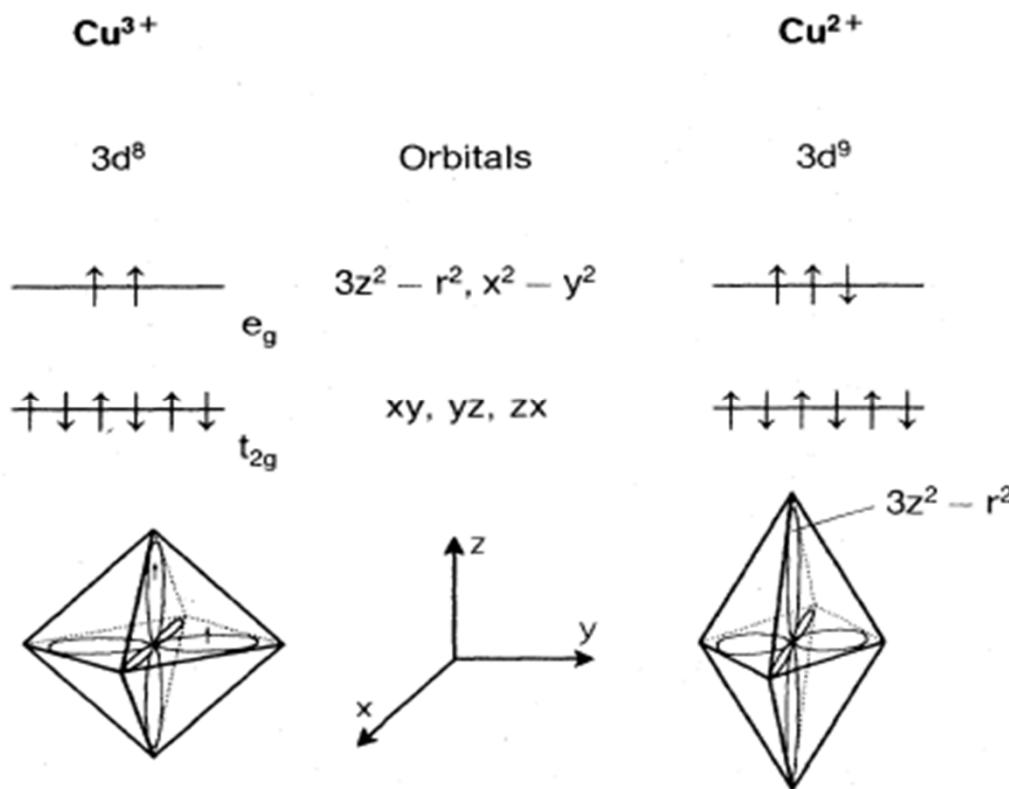
**“Size Matters !”**

# $\text{Cu}^{2+}$ 3d Multiplet Splitting (Cubic)

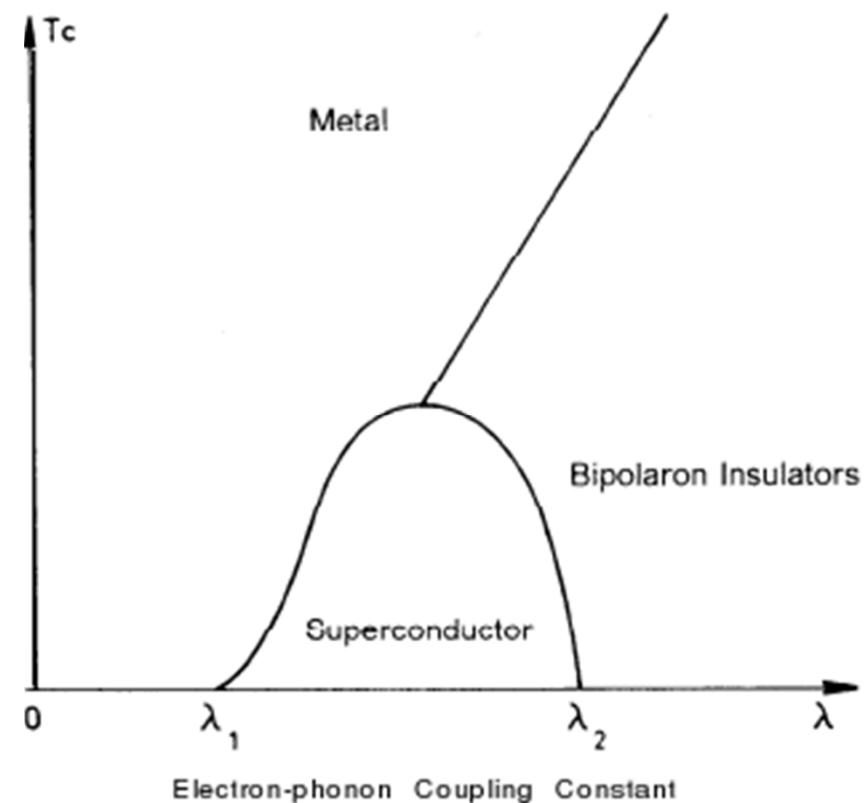


# Bednorz-Mueller Nobel Lecture

## Copper Ions in the Oxide Octahedron



*Jahn-Teller Effect:  
Elongation of  
the Octahedron*



After Chakravarty, (1979)

# Hubbard Theory

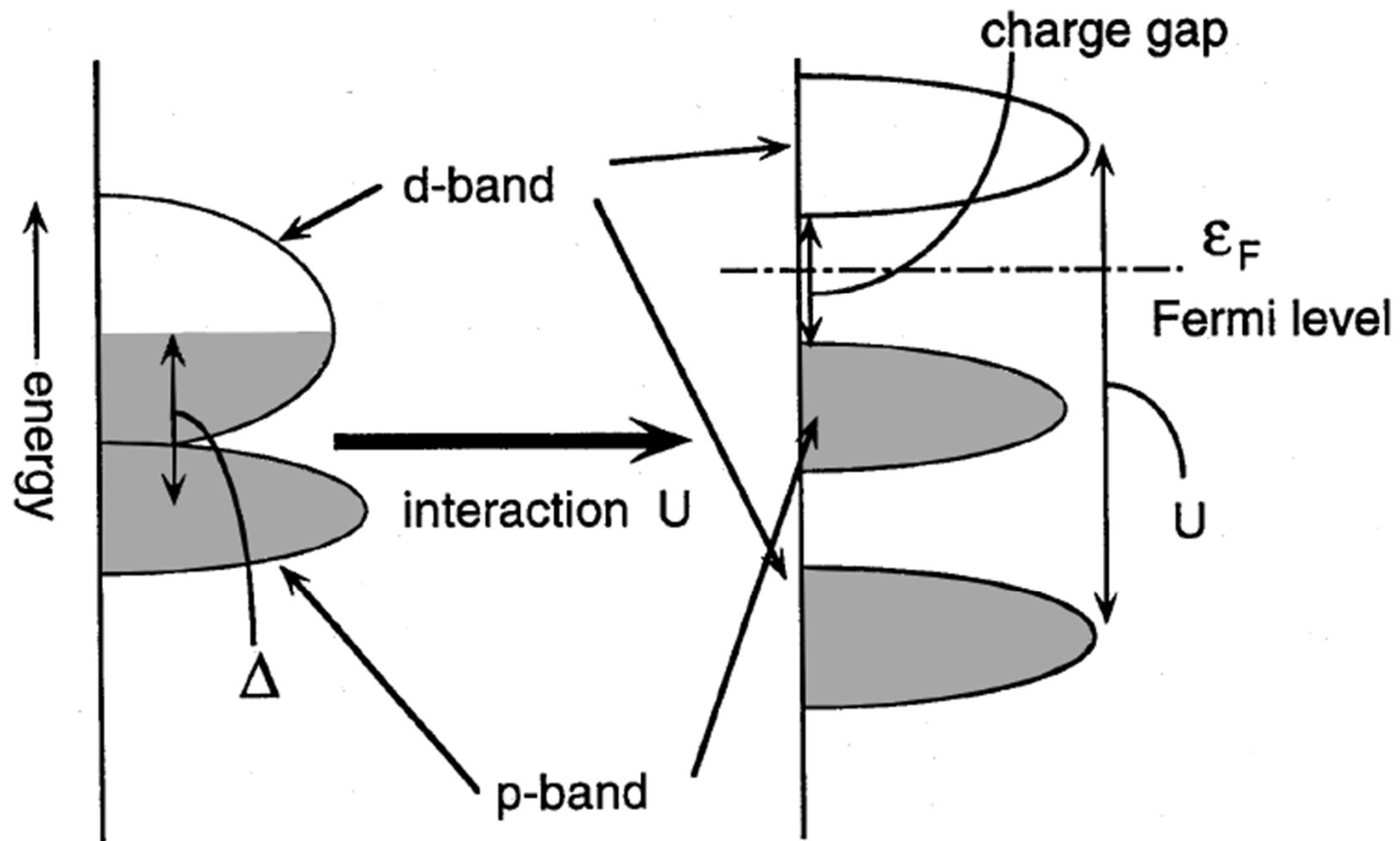
$$H = \sum_{\langle ij \rangle, \sigma} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + U \sum_i n_{i\downarrow} n_{i\uparrow} + \frac{V}{2} \sum_{\langle ij \rangle, \sigma, s} n_{i\sigma} n_{js}$$

One-electron  
“band” term

On-site “Hubbard”  
double occupation  
coulomb repulsion

Off-site  
repulsion

# Charge Transfer Insulator



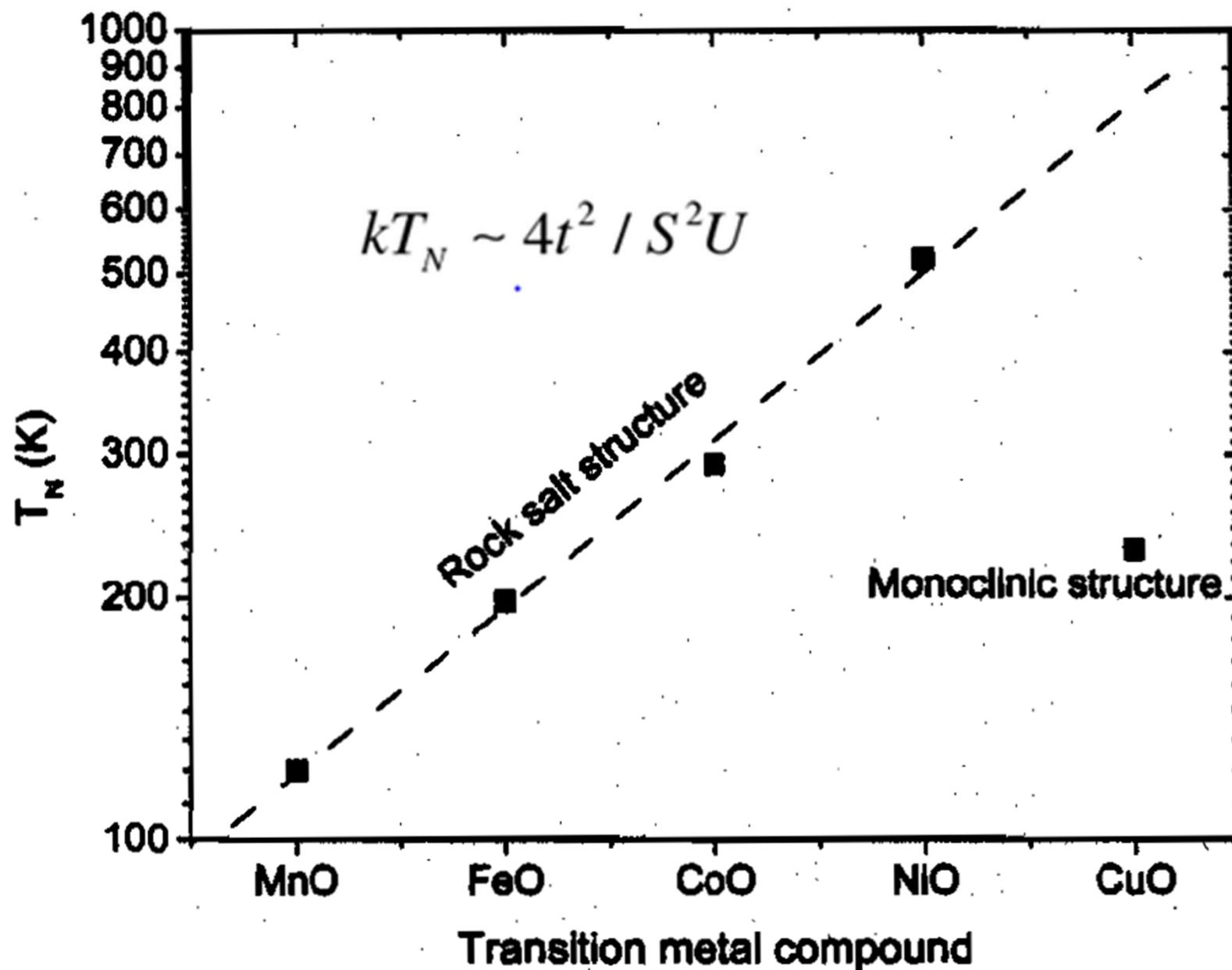
After Imada, et al, RMP 70, 1039 (1998)

# Cubic Rocksalt Divalent TMOs

TMO	3d Config	Properties
MnO	5	MH-CTI (5.6)
FeO	6	MH-CTI (5.9)
CoO	7	MH-CTI (6.3)
NiO	8	MH-CTI (6.5)
CuO	9	<b>XX <i>Doesn't Exist!</i></b>

See Imada, Fujimori,  
Tokura, RPM 70 (1988)

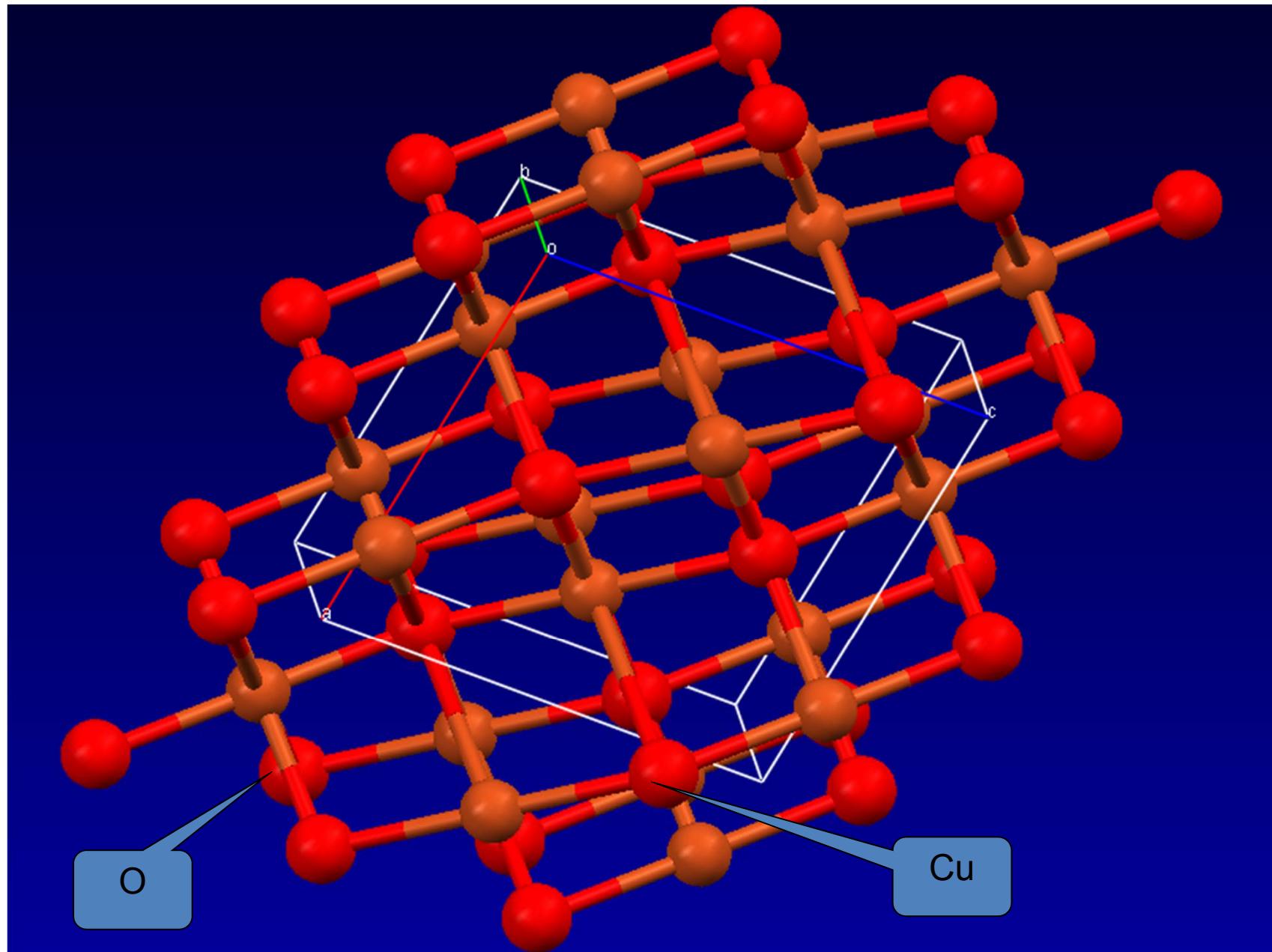
# Néel Temperature vs. TMO Atomic Number



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# Tenorite (Monoclinic CuO)



# DFT & (LDA + U)

$$E_{\text{LDA+U}}[n(\mathbf{r})] = E_{\text{LDA}}[n(\mathbf{r})] + E_{\text{HUB}}\left[\left\{n_m^{l\sigma}\right\}\right] - E_{\text{DC}}\left[\left\{n^{l\sigma}\right\}\right]$$

- Implemented in LMTO by Anisimov, et al, JPCM 2, 3973 (1990)
  - Applied to NiO, MnO, FeO, CoO and  $\text{La}_2\text{CuO}_4$
- Plane-Wave Pseudopotential Implementation by Cococcioni and de Gironcoli, PRB 71, 035105 (2005)
  - Applied to FeO and NiO
  - Download open-source package from <http://www.pwscf.org>

# Experimental Equipment (Software)

- QUANTUM-ESPRESSO Suit of Codes

- DFT (LDA+U) plus electron-phonon
  - Graphics by Tone Kolalj (XCrysDen)
  - [www.quantum-espresso.org](http://www.quantum-espresso.org)

- “Dial-in” Parameters

- $G^2 = 40 \text{ Ry}$        $\rho = 320 \text{ Ry}$
  - Convergence  $\leq 10^{-6} \text{ Ry}$
  - “Smearing” = Methfessel-Paxton
  - Pseudopotentials: Ultrasoft, XC = Perdew-Zunger  
Cu:  $3d^94s^2$       O:  $2s^22p^4$

# Experimental Equipment (Hardware)

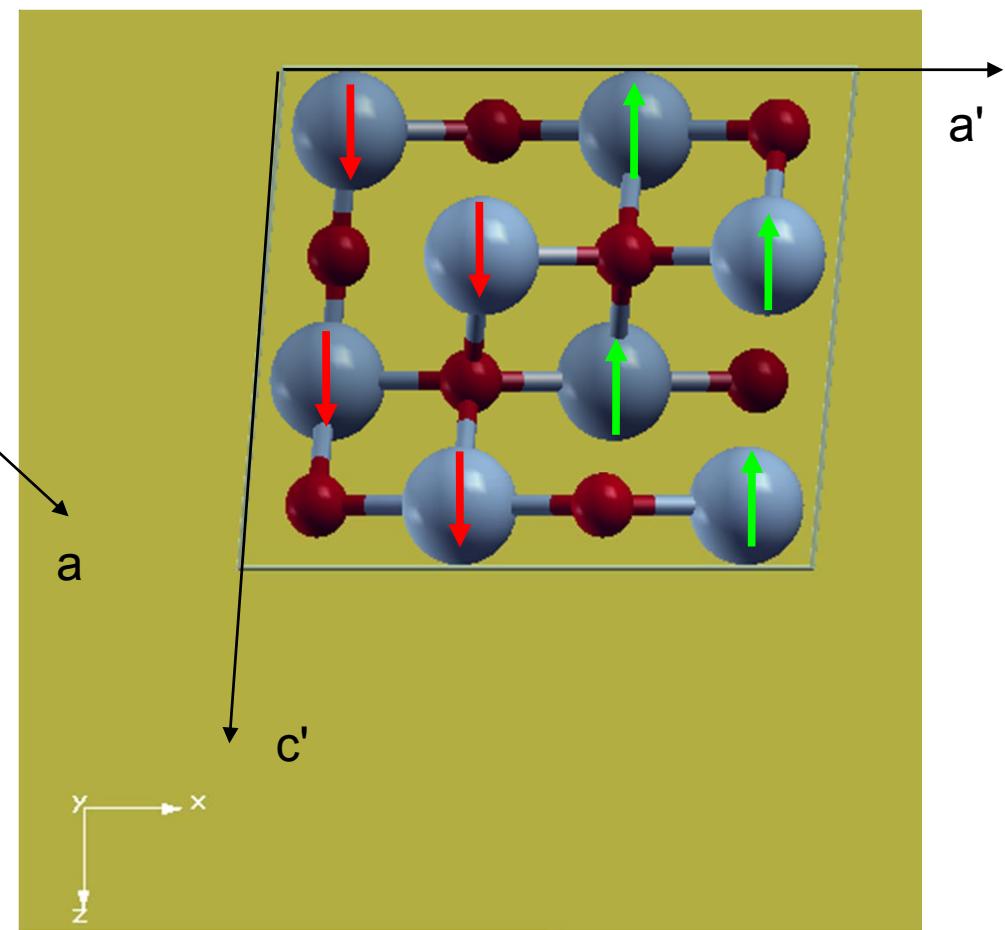
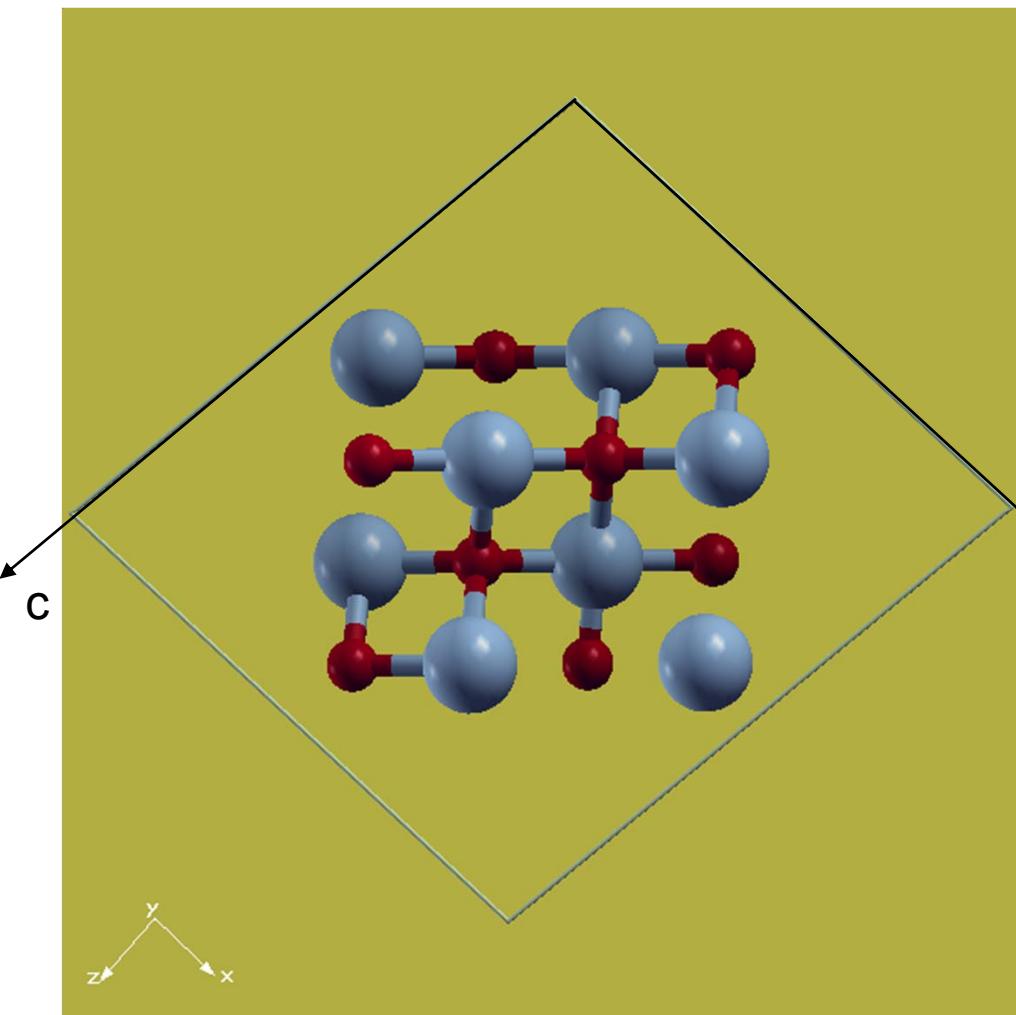
3-Cluster Home Network: AMD64 dual 3.5 GHz, 12 GB +  
IBM-X41 +...



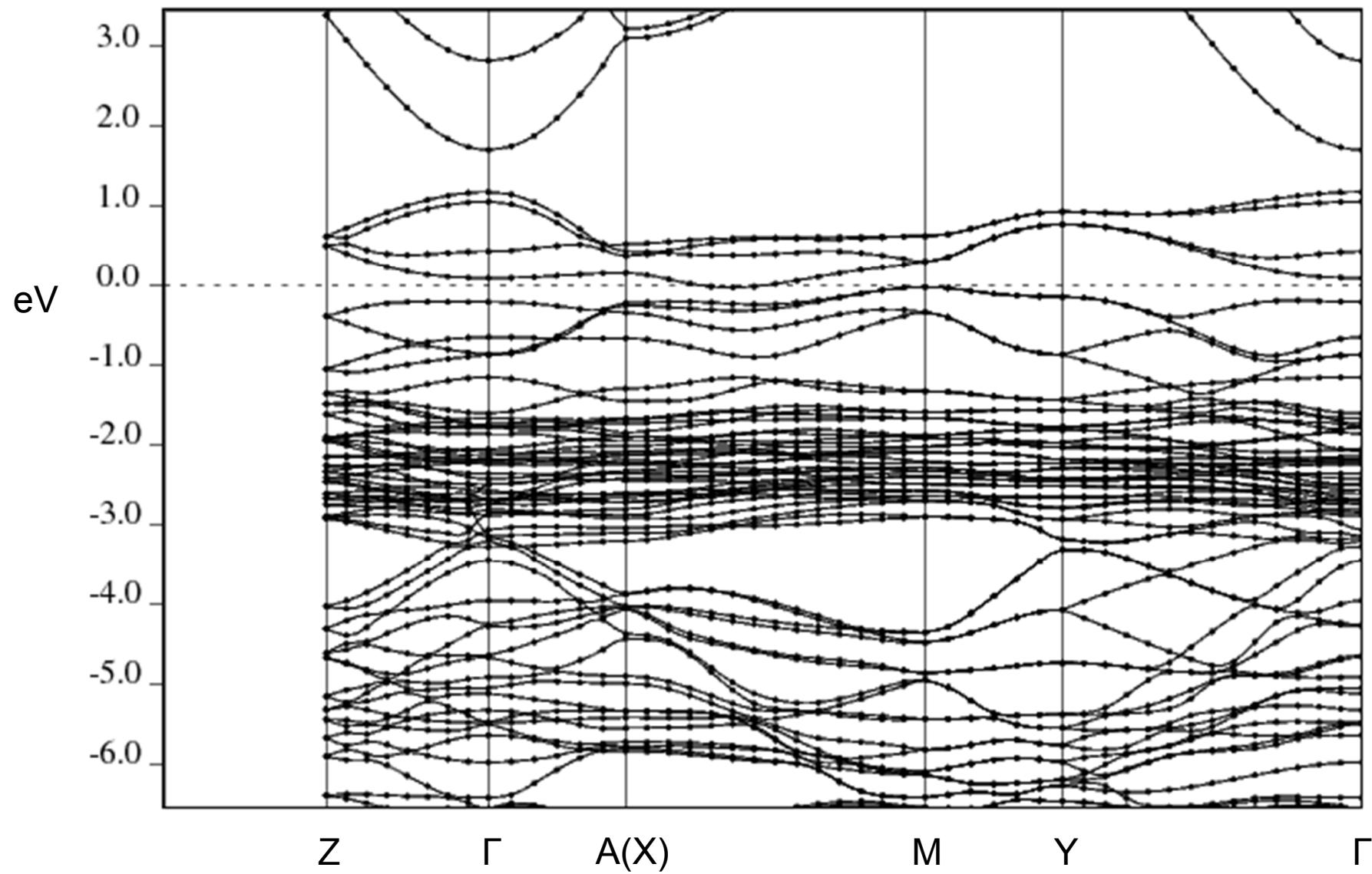
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# nm & af Unit Cells

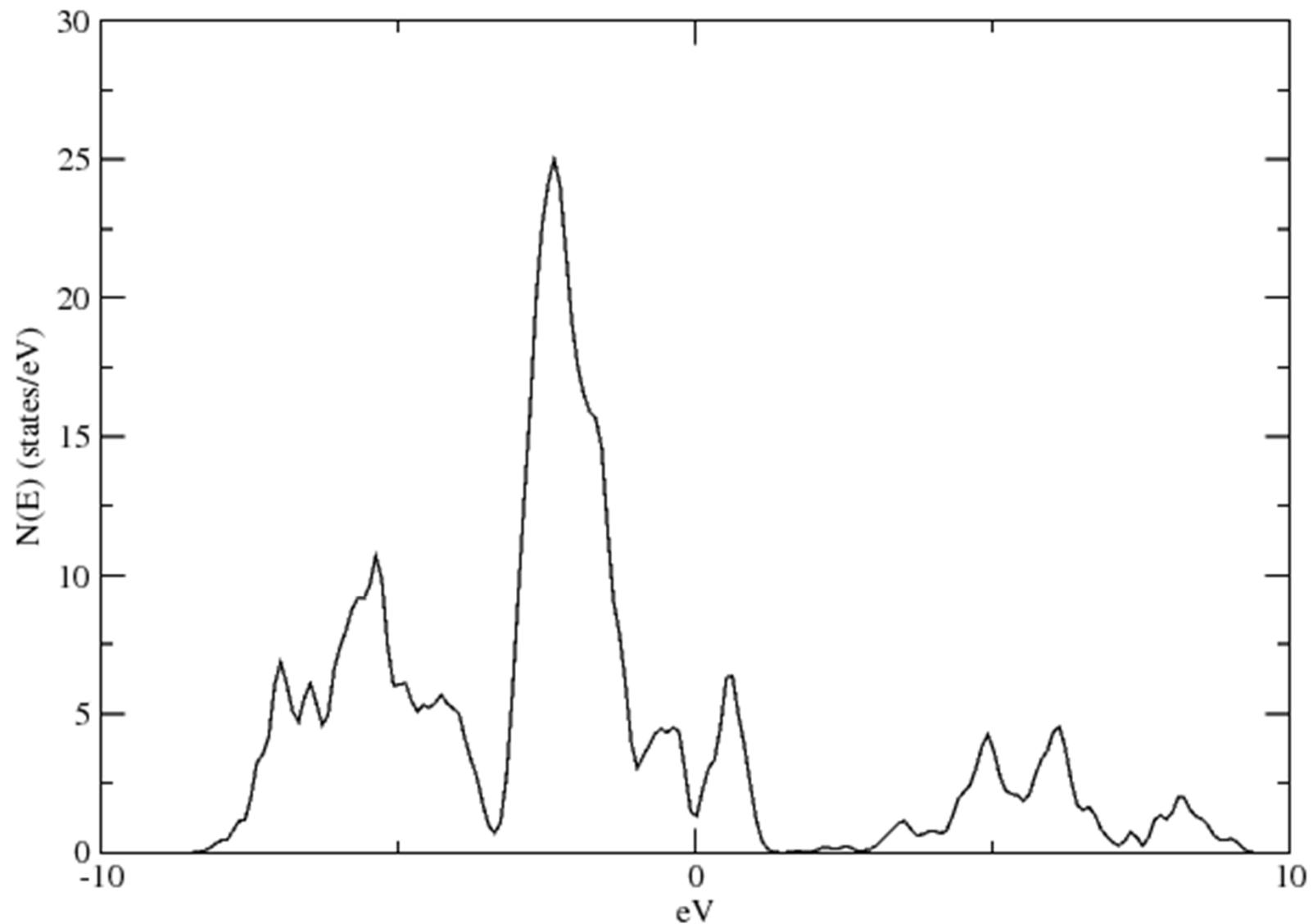


# Tenorite ( $U = 0$ )

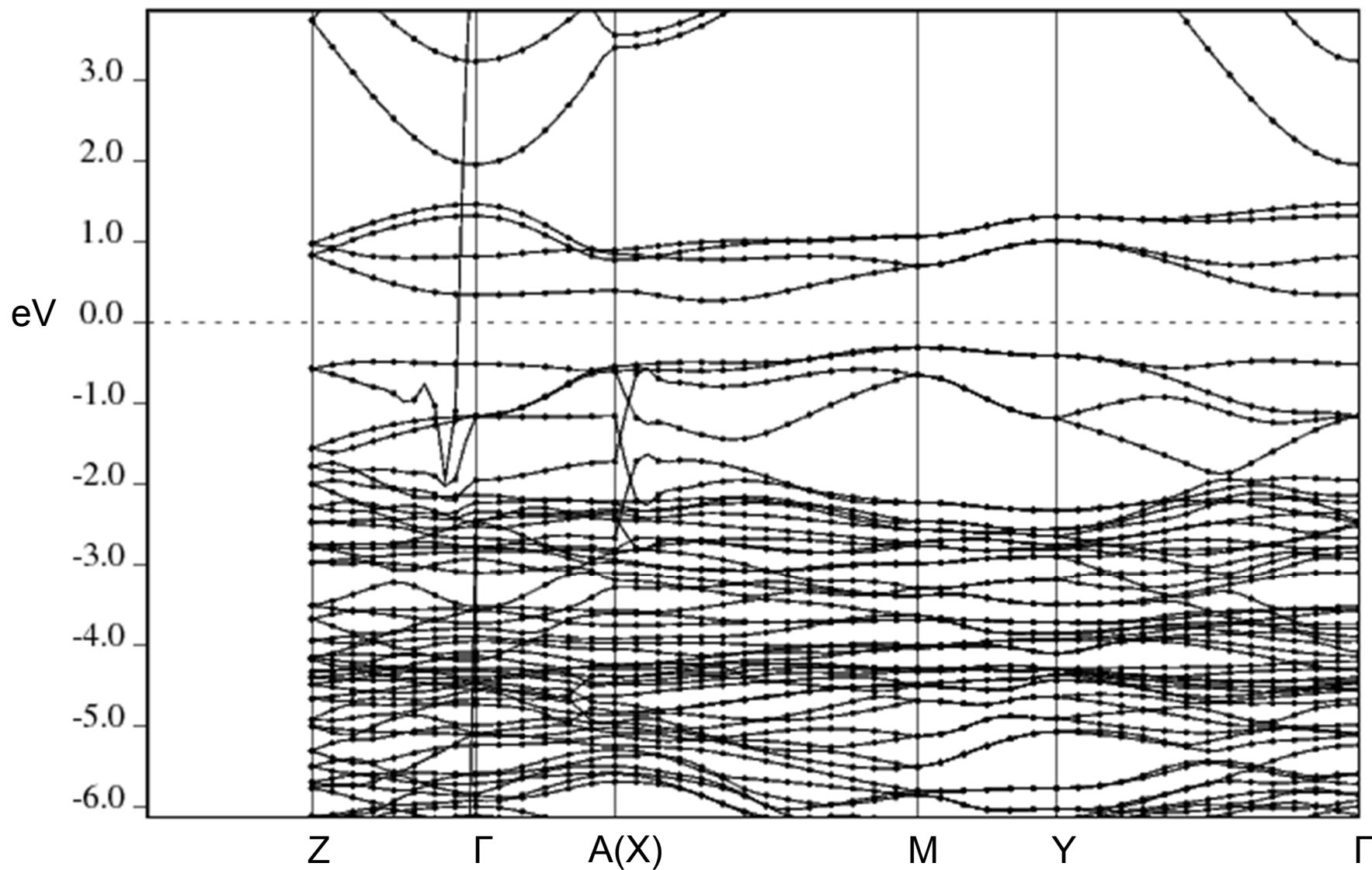


## af-Tenorite DOS Plot

$U = 1.d-7$   $E_f = 10.5211$

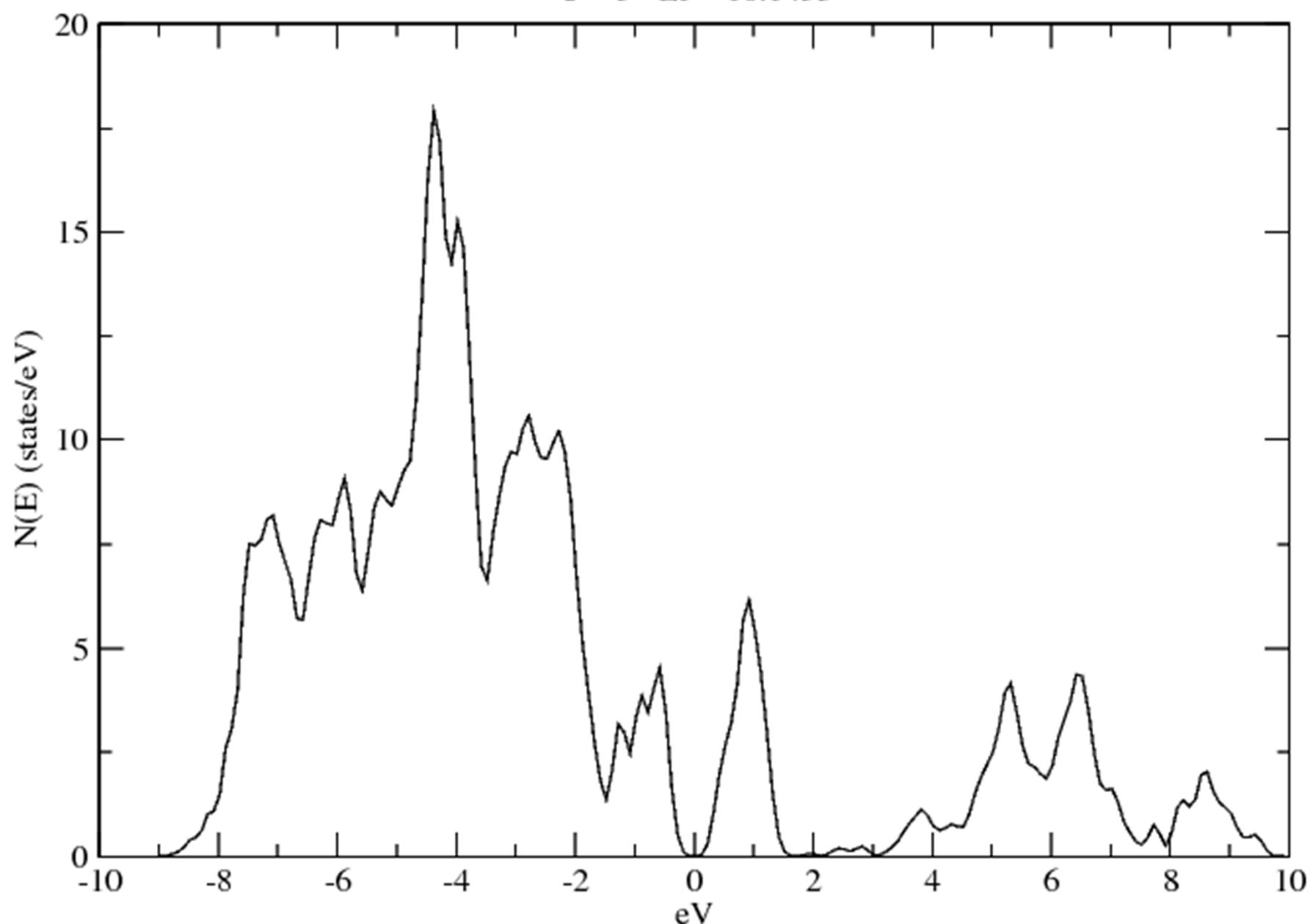


# Tenorite ( $U = 5$ )



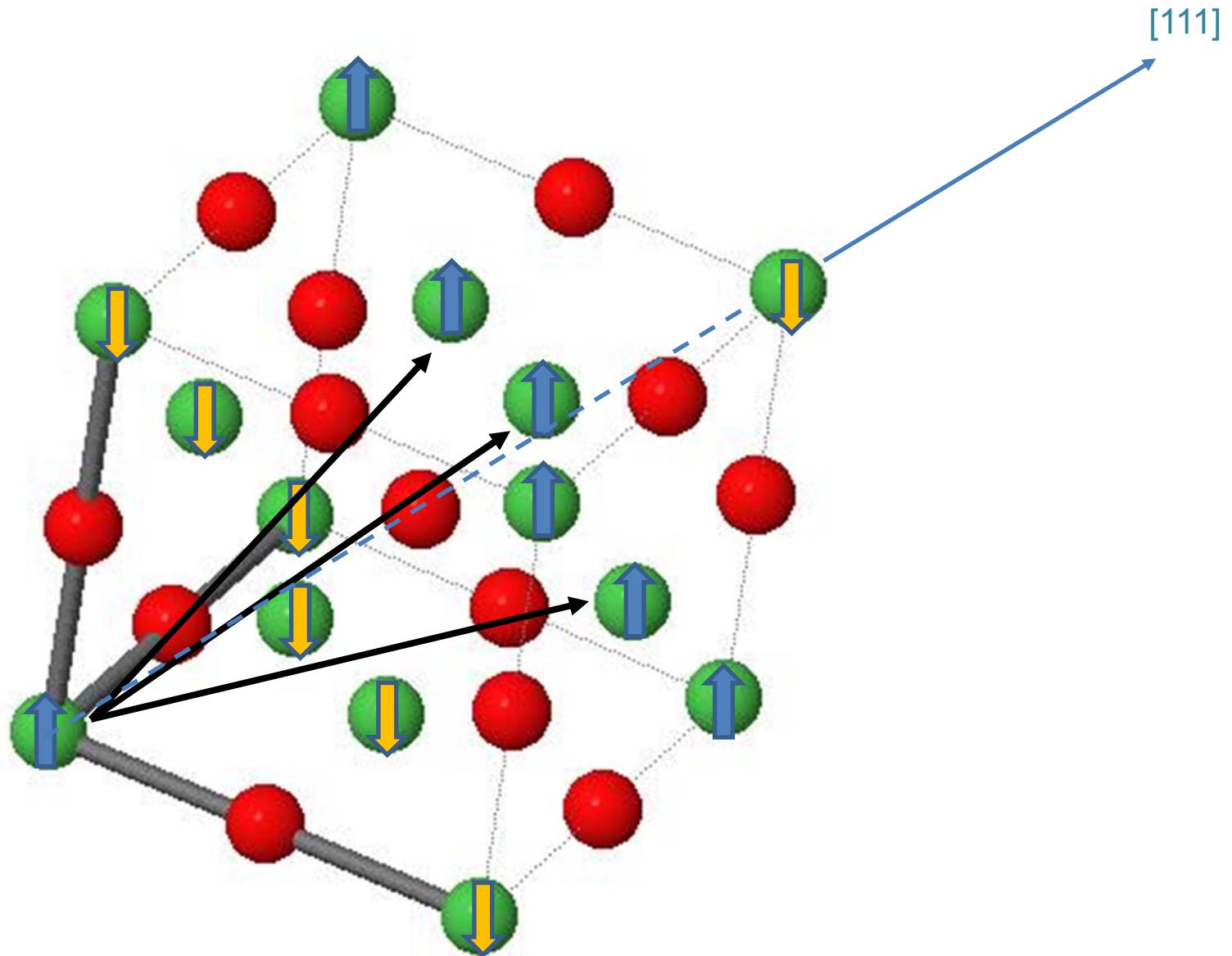
# af-Tenorite DOS Plot

$U = 5$   $E_f = 10.1435$



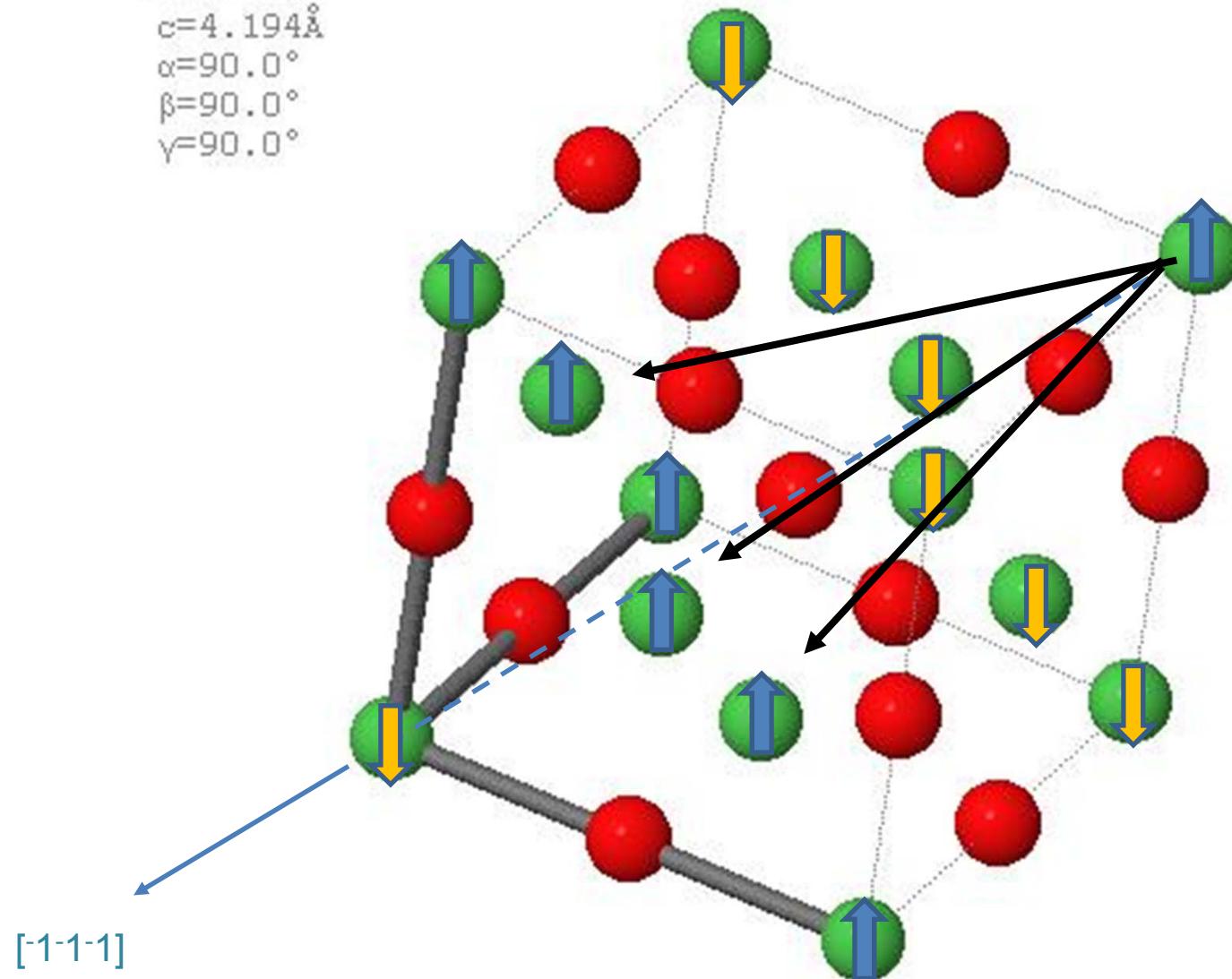
# Proto-TMO AF Rock Salt

Fm-3m  
 $a=4.194\text{\AA}$   
 $b=4.194\text{\AA}$   
 $c=4.194\text{\AA}$   
 $\alpha=90.0^\circ$   
 $\beta=90.0^\circ$   
 $\gamma=90.0^\circ$

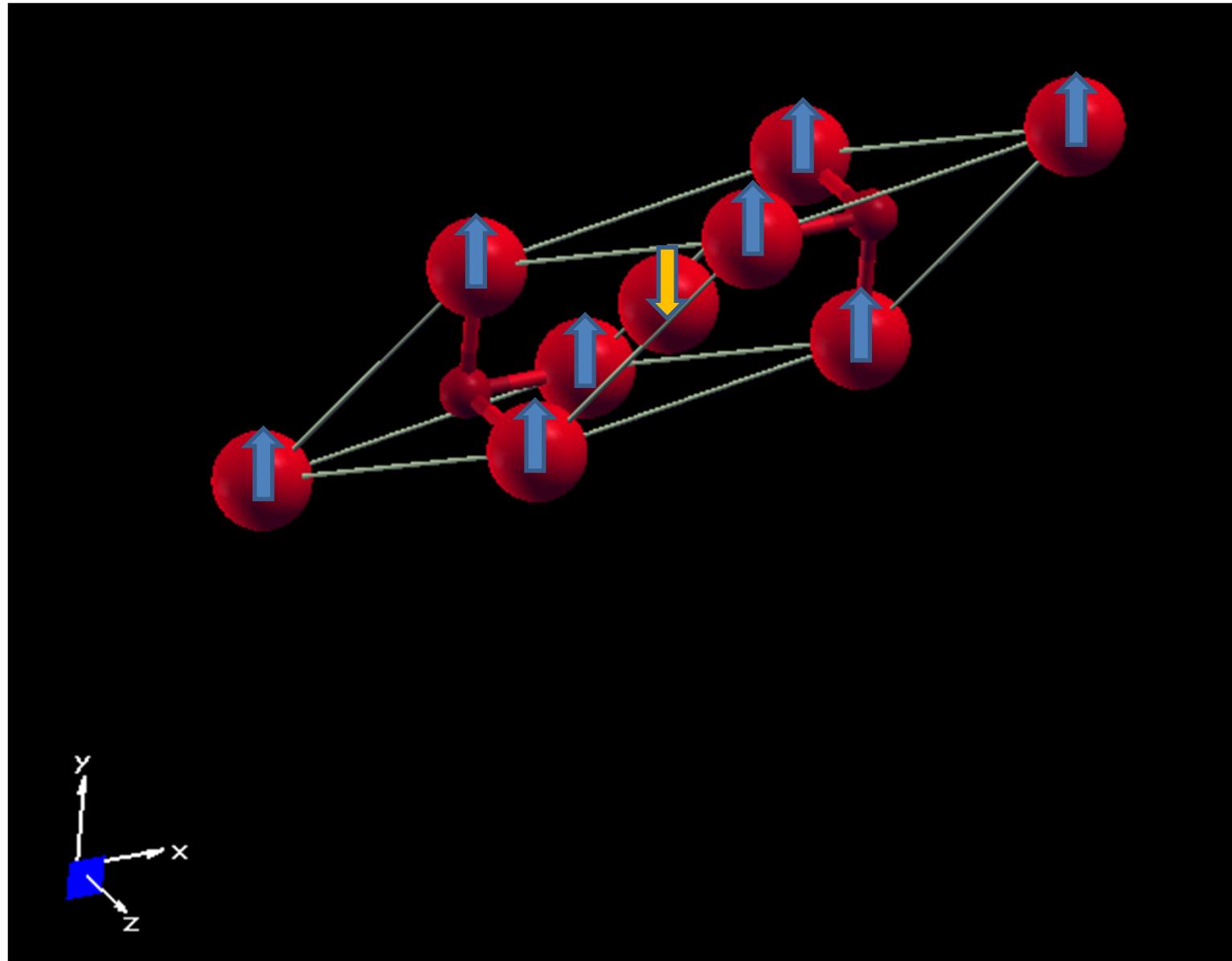


# Proto-TMO AF Rock Salt

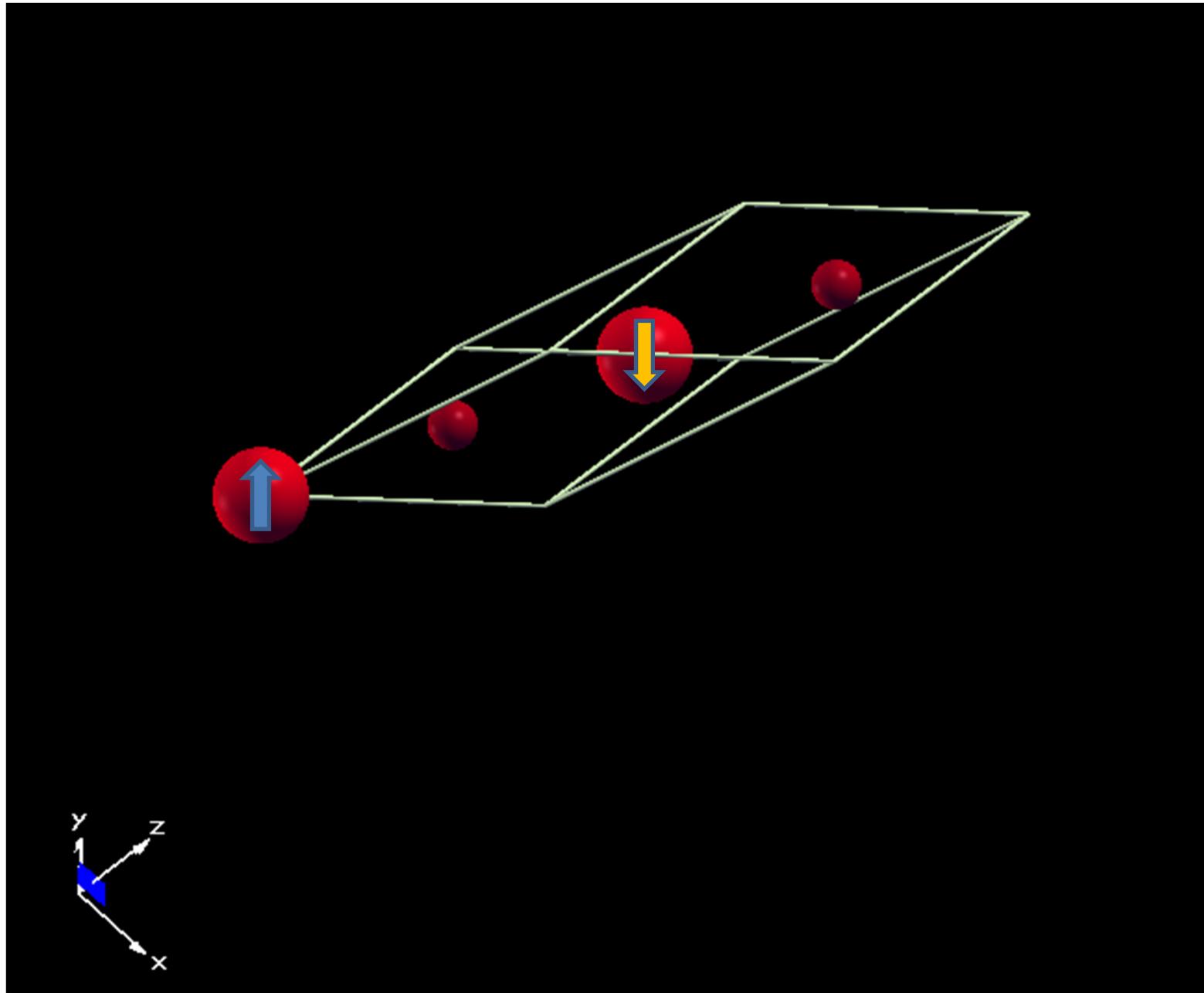
Fm-3m  
 $a=4.194\text{\AA}$   
 $b=4.194\text{\AA}$   
 $c=4.194\text{\AA}$   
 $\alpha=90.0^\circ$   
 $\beta=90.0^\circ$   
 $\gamma=90.0^\circ$



# AF Type II Primitive Cell



# Basic Asymmetric AF Cell

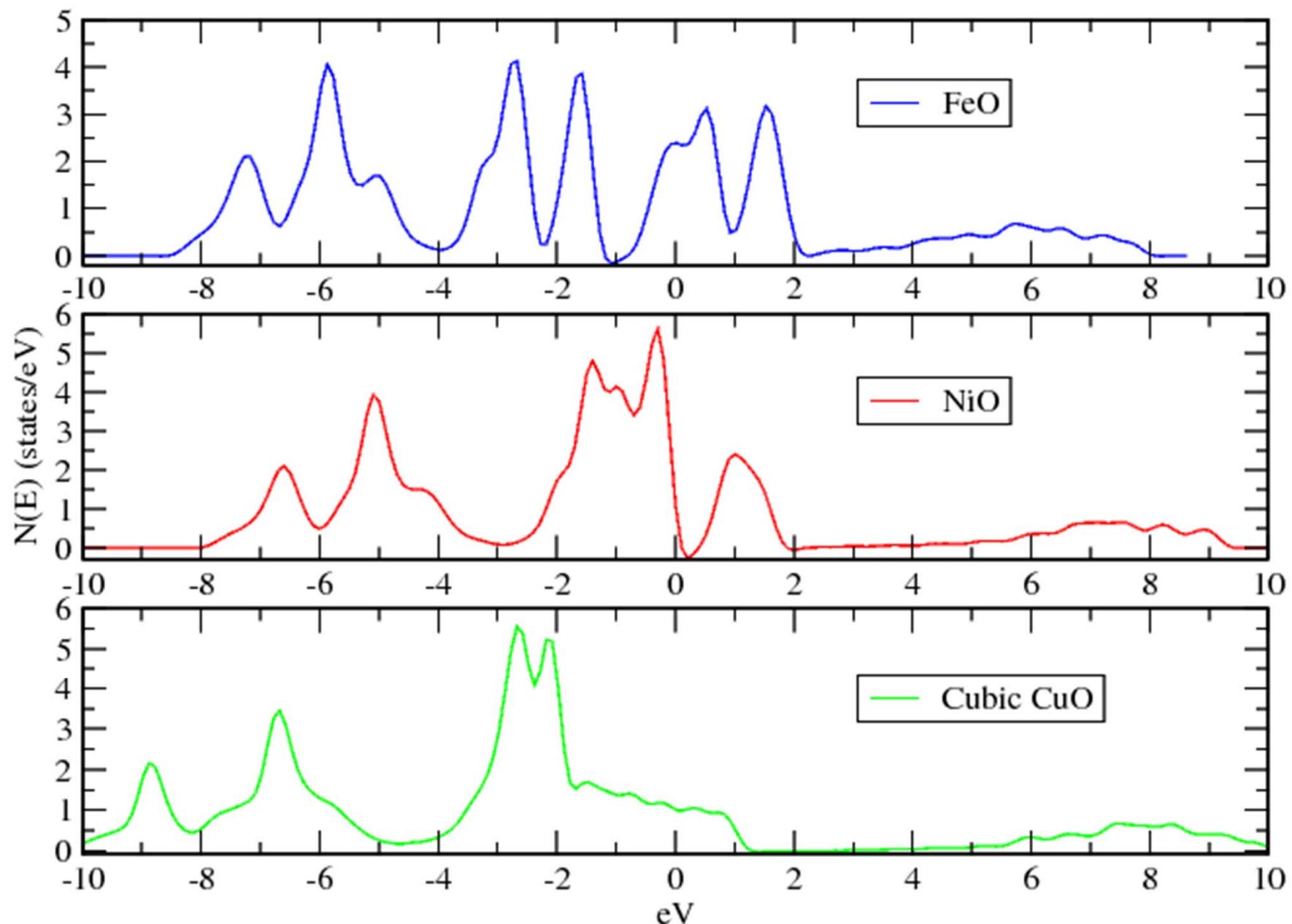


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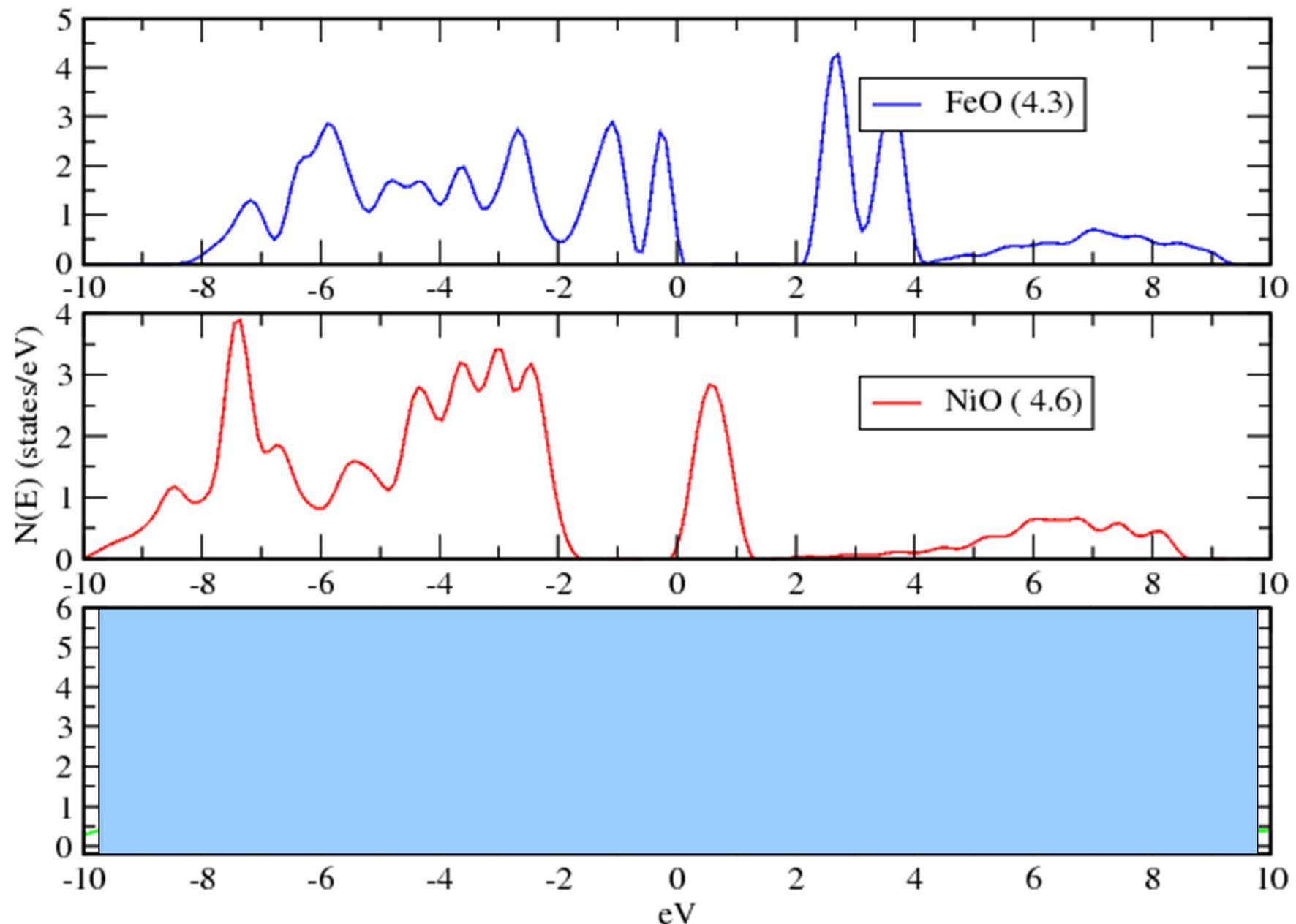
# TMO\_dos Plot

$U = 0$

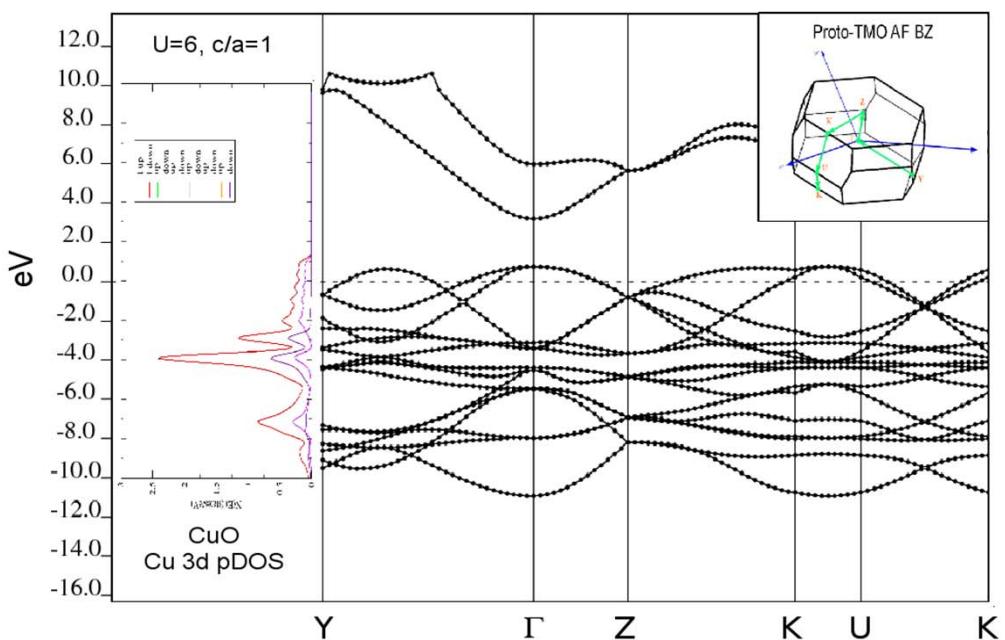
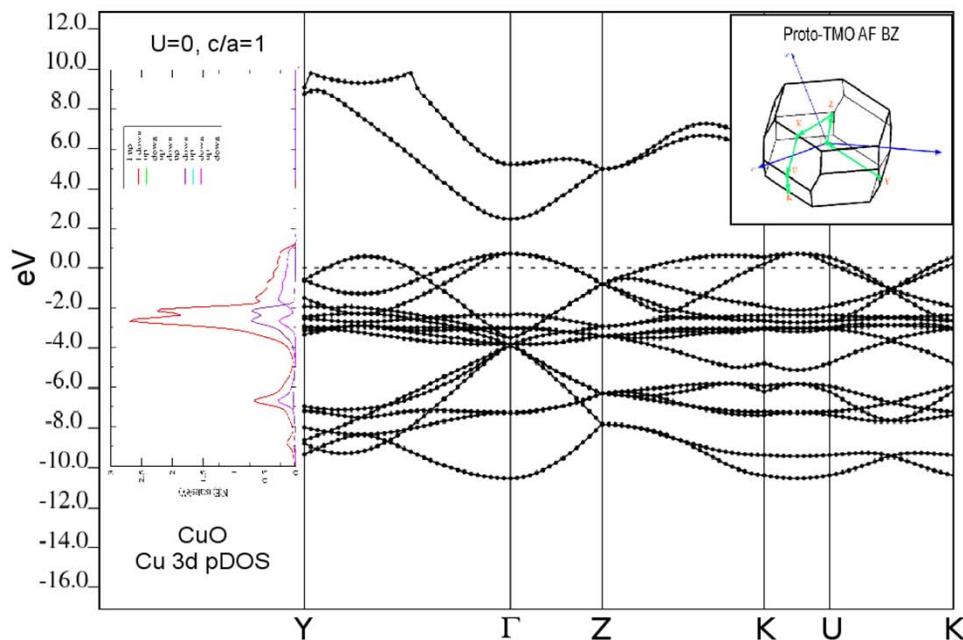
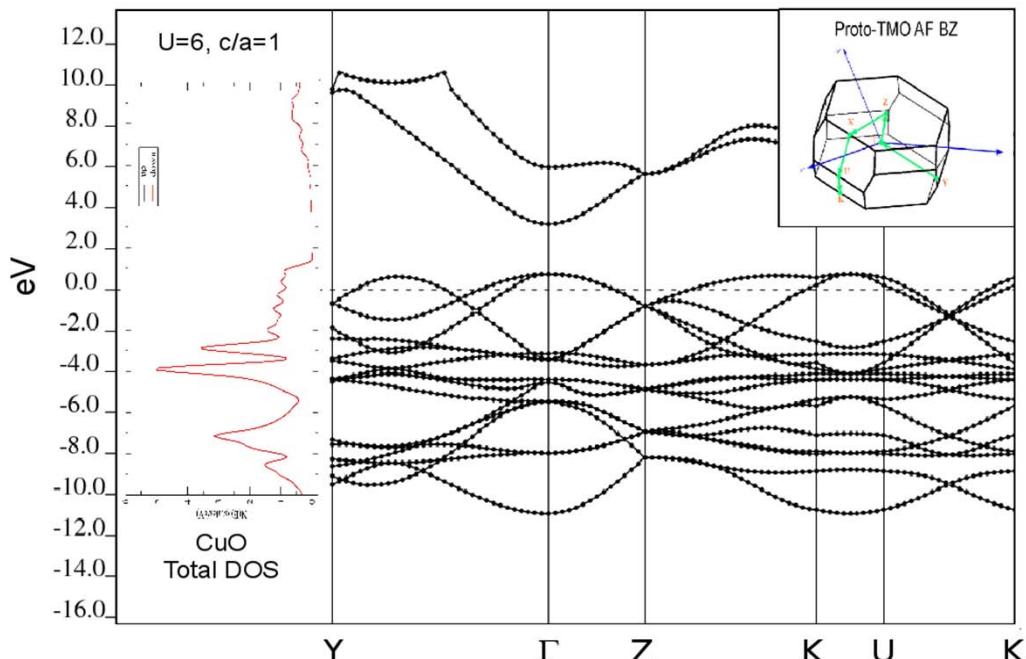
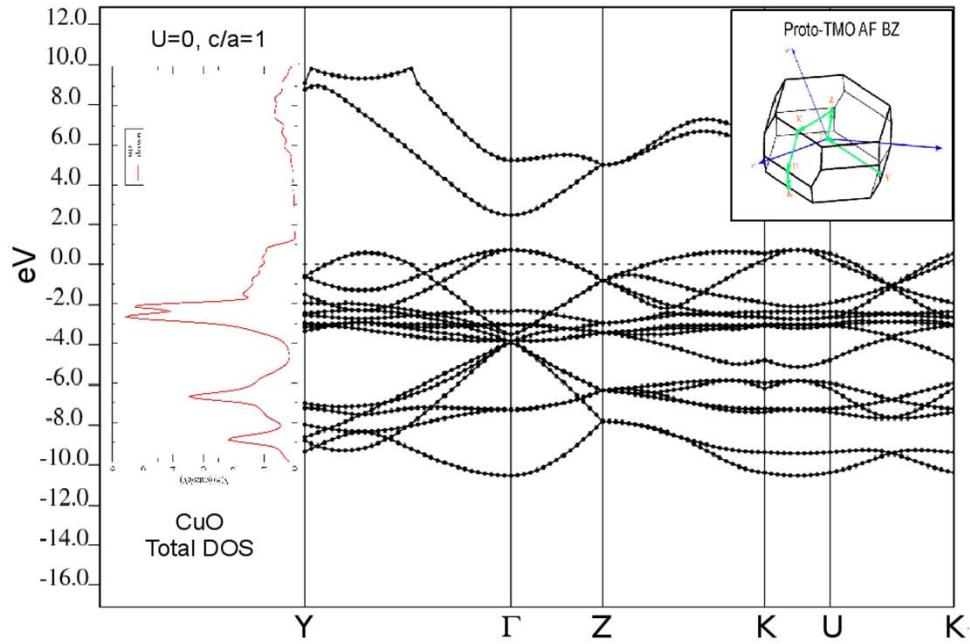


# TMO\_dos Plot

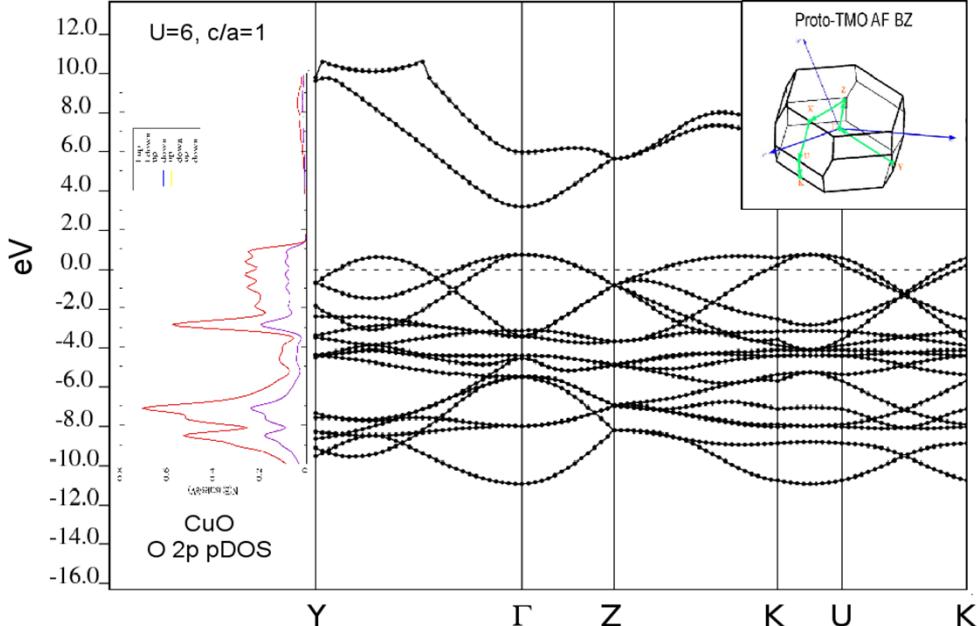
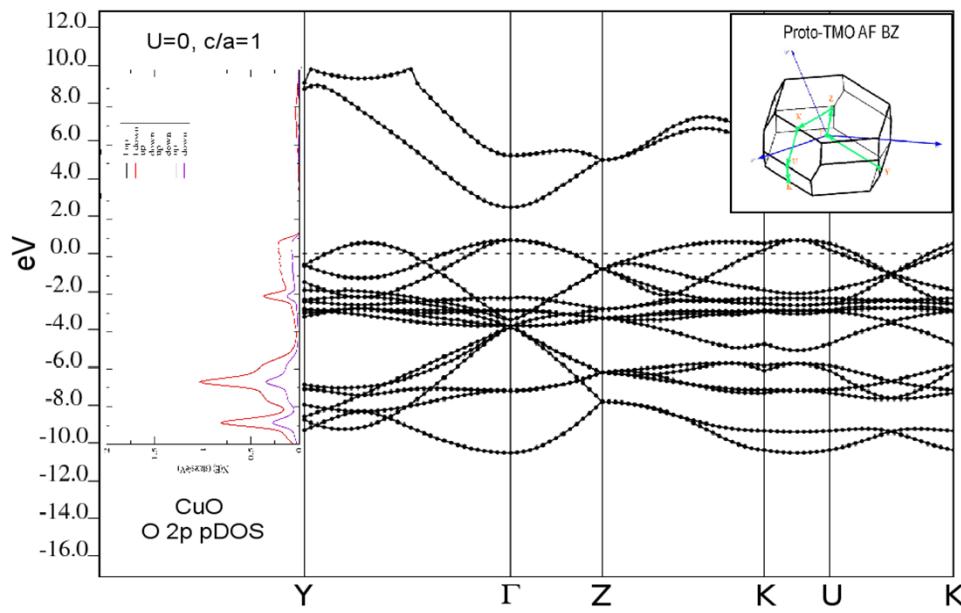
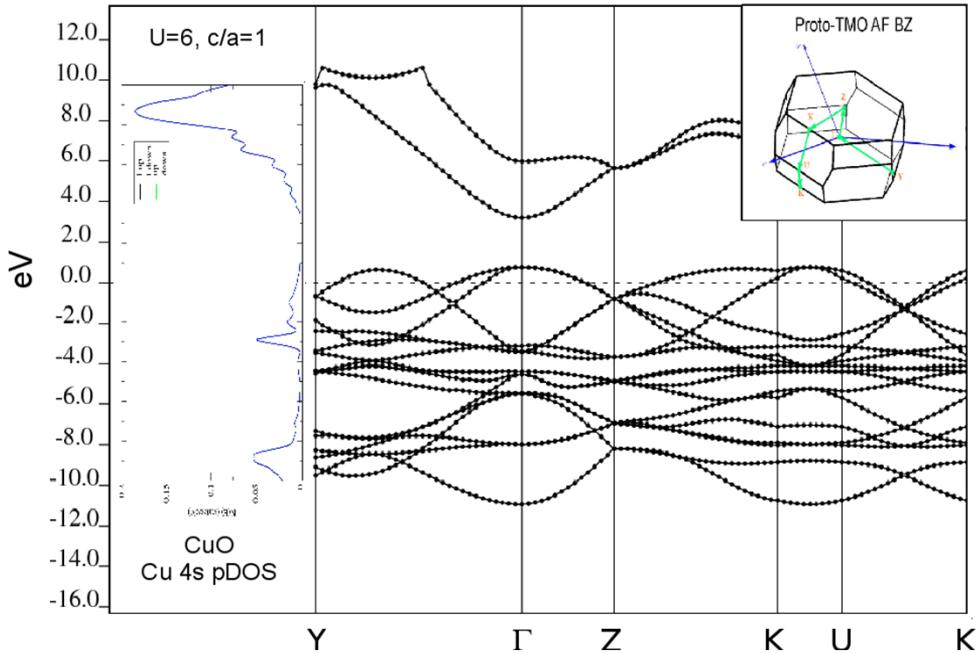
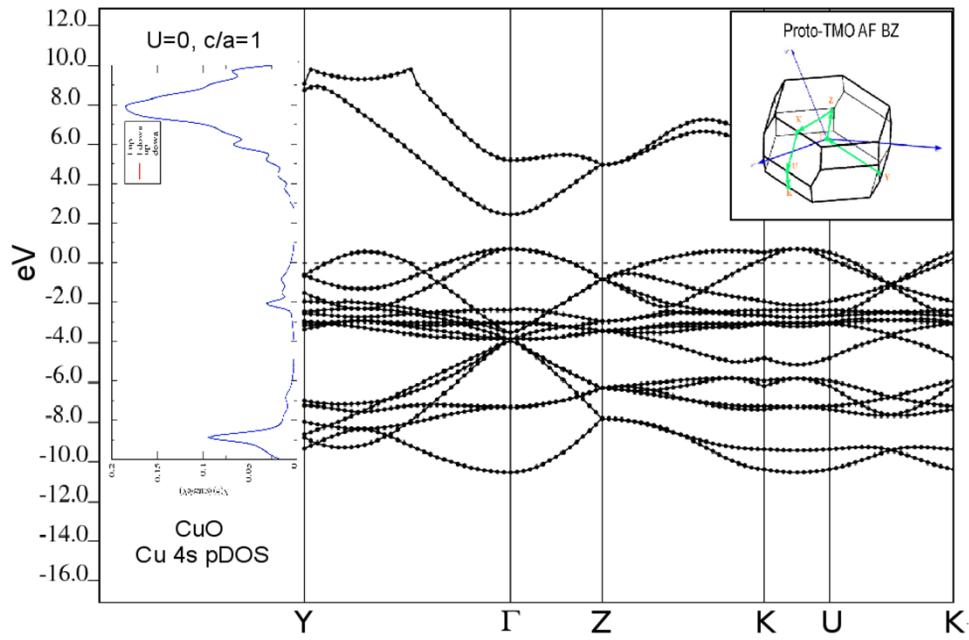
$U > 0$

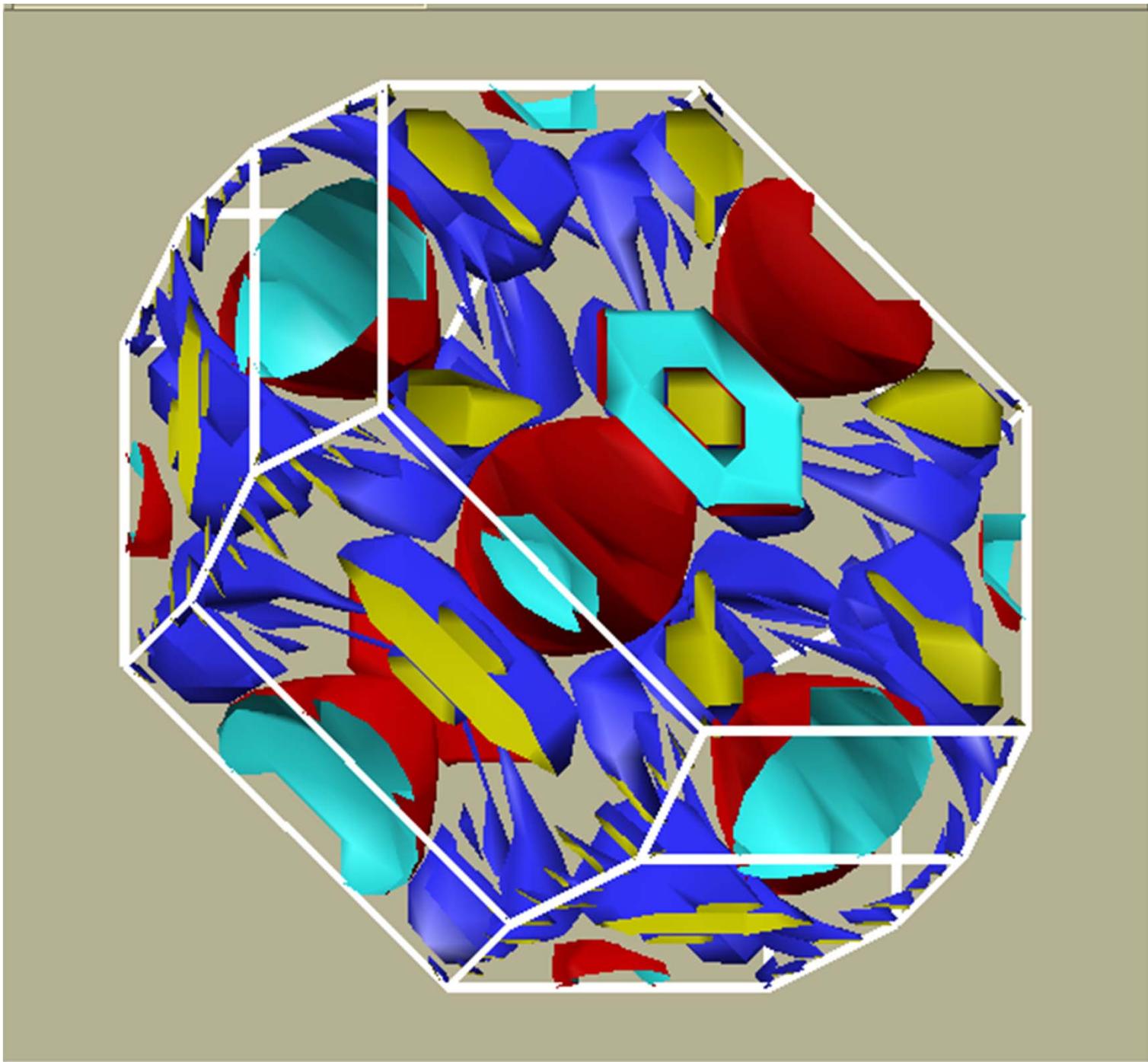


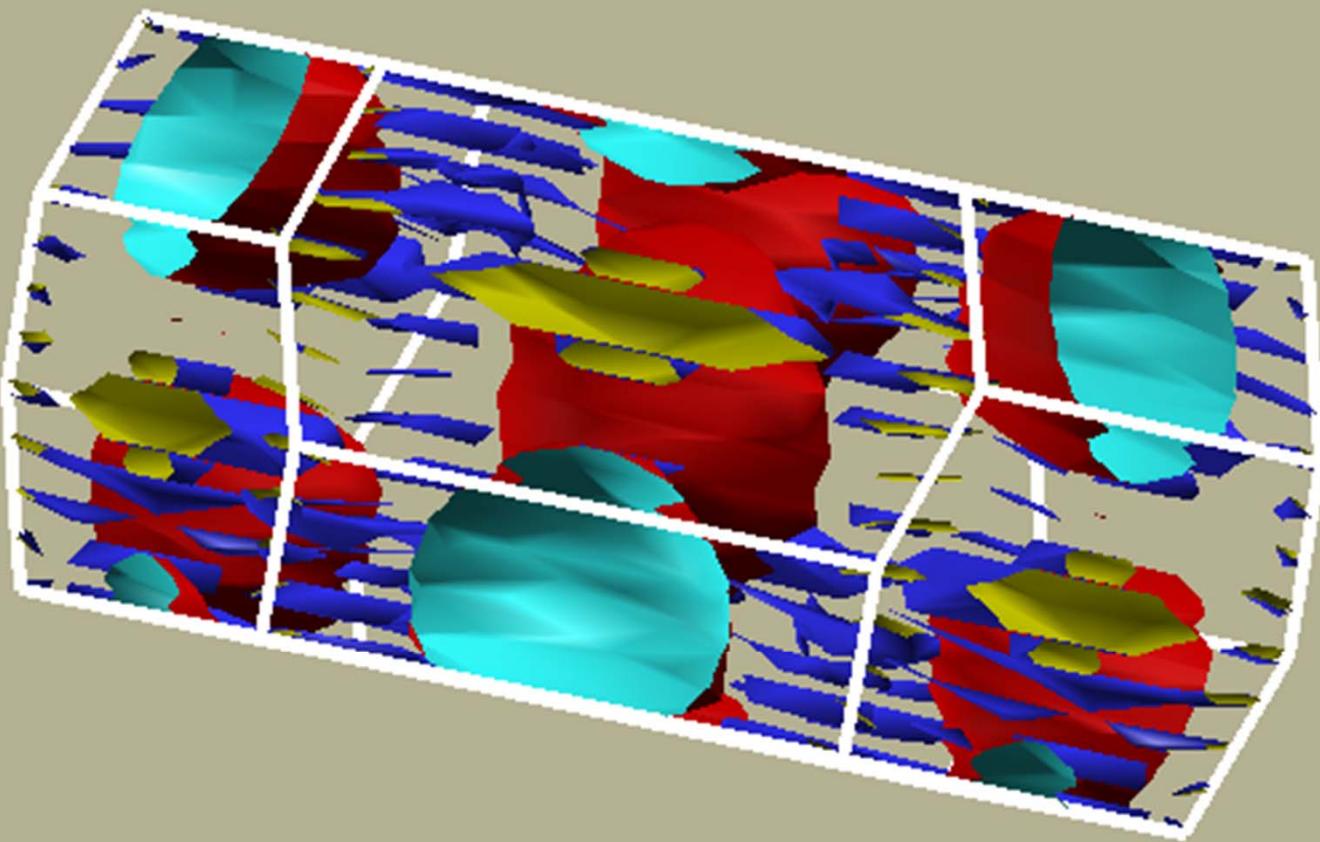
# Cubic Rocksalt CuO



# Cubic Rocksalt CuO (Cu 4s & O2p)







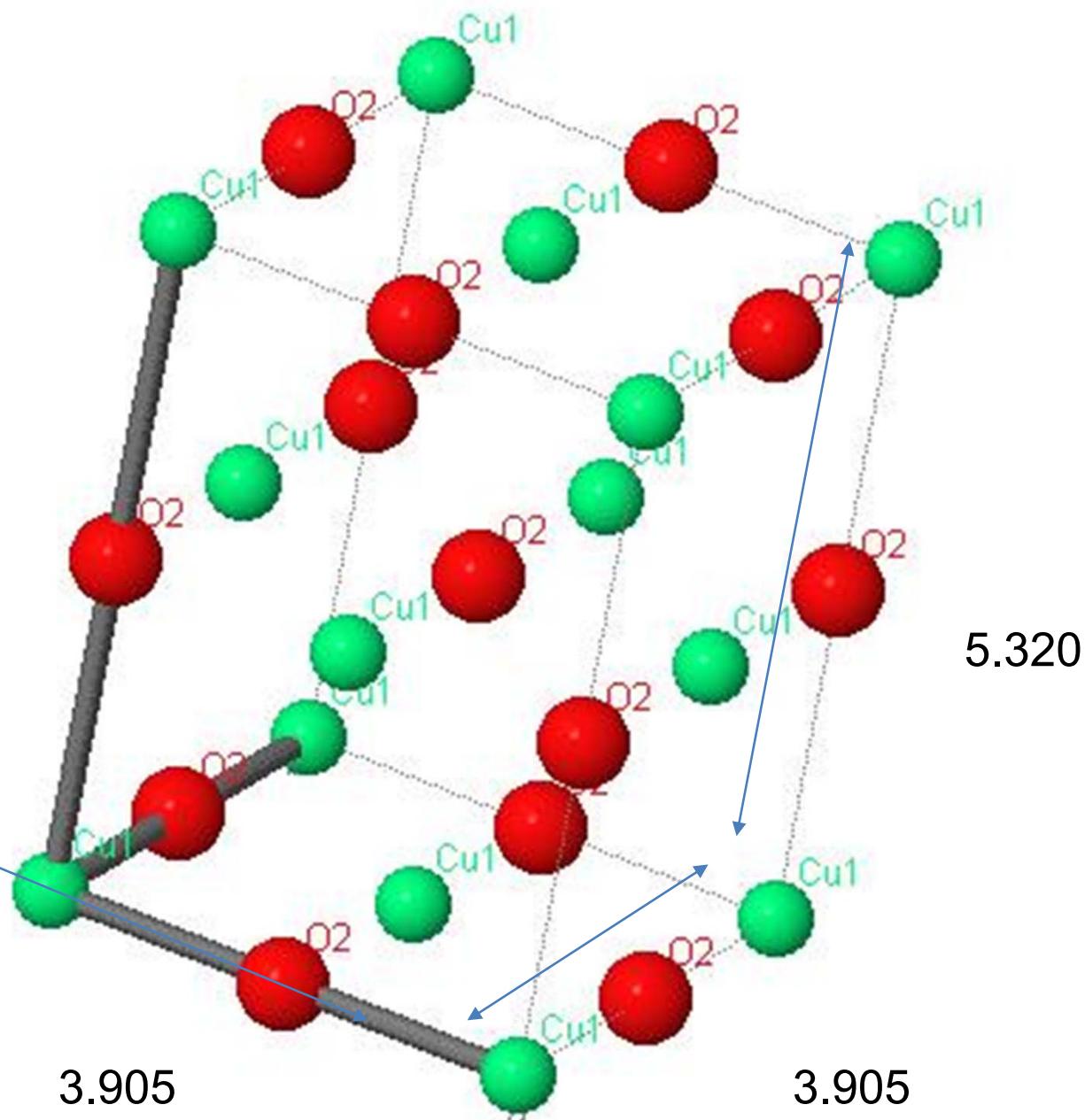
# Tetragonal CuO

Fm-3m  
 $a=3.905\text{\AA}$   
 $b=3.905\text{\AA}$   
 $c=5.320\text{\AA}$   
 $\alpha=90.0^\circ$   
 $\beta=90.0^\circ$   
 $\gamma=90.0^\circ$

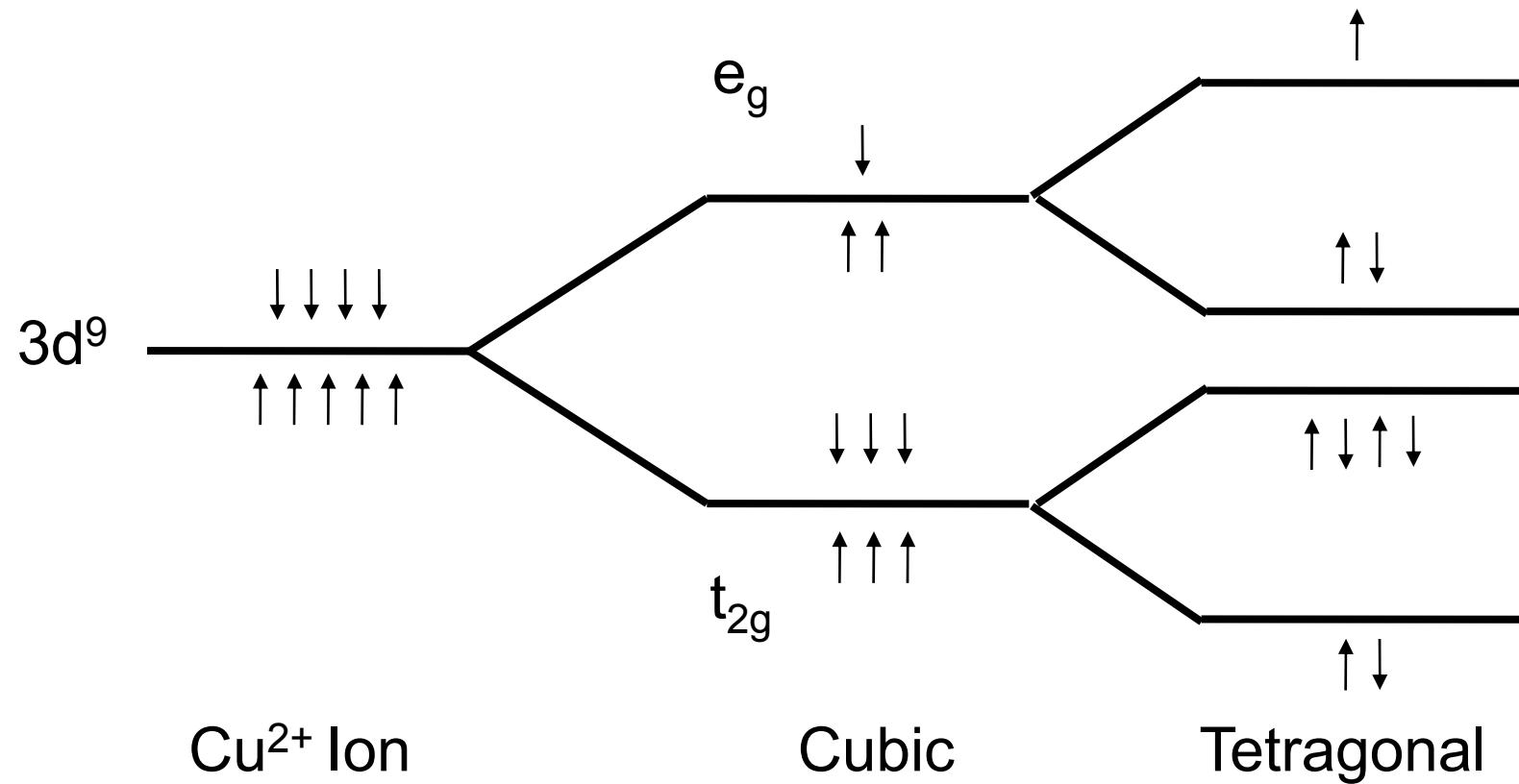
$$c/a = 1.36$$

## Measurements (Wolter Siemons)

- 2-4 ML epi on STO
- No Fermi Edge
- No Exchange Bias on ferro-SRO  
( $T_c \sim 100-150$  K)

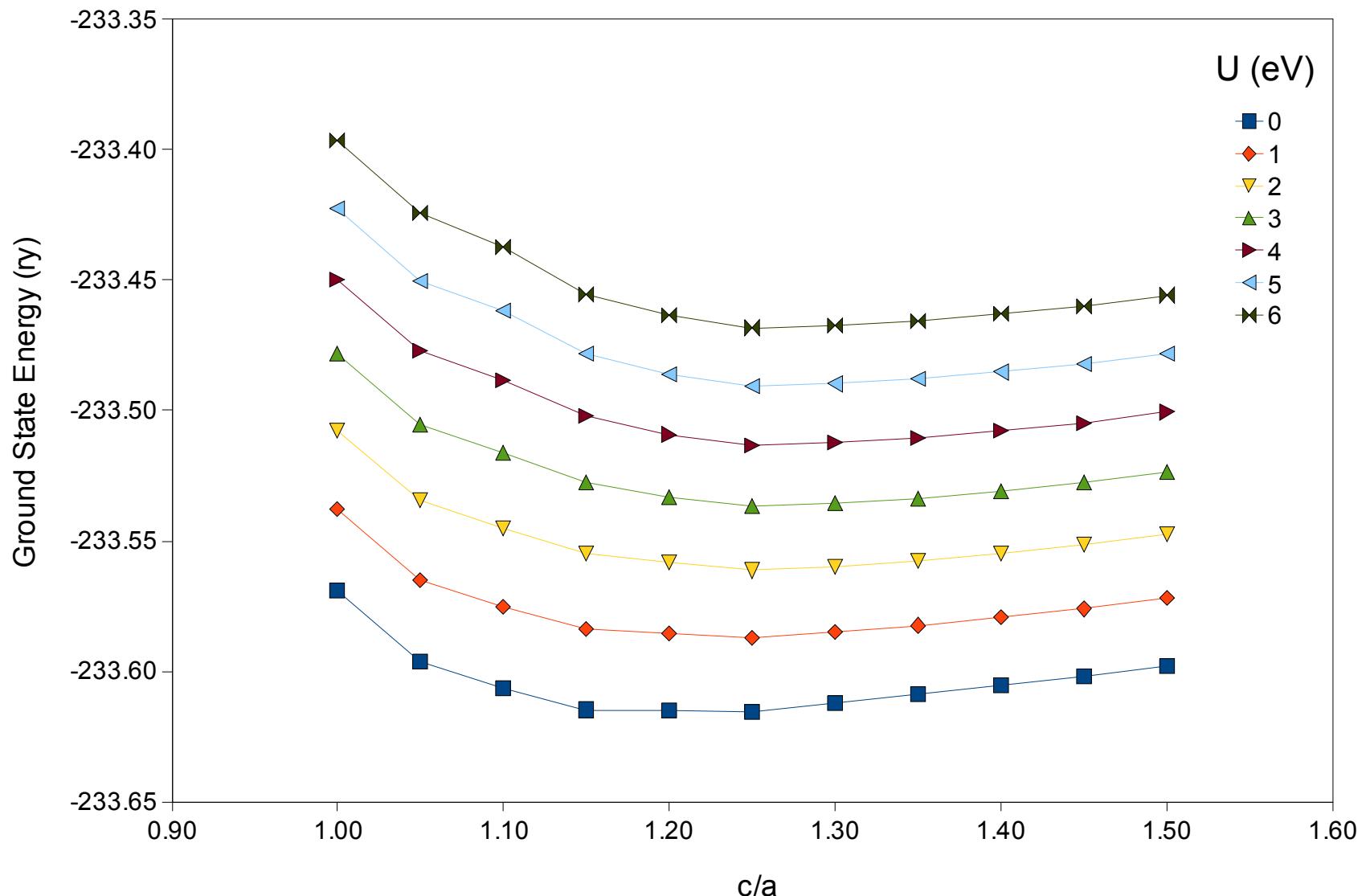


# Cu<sup>2+</sup> 3d Multiplet Splitting (Tetra)



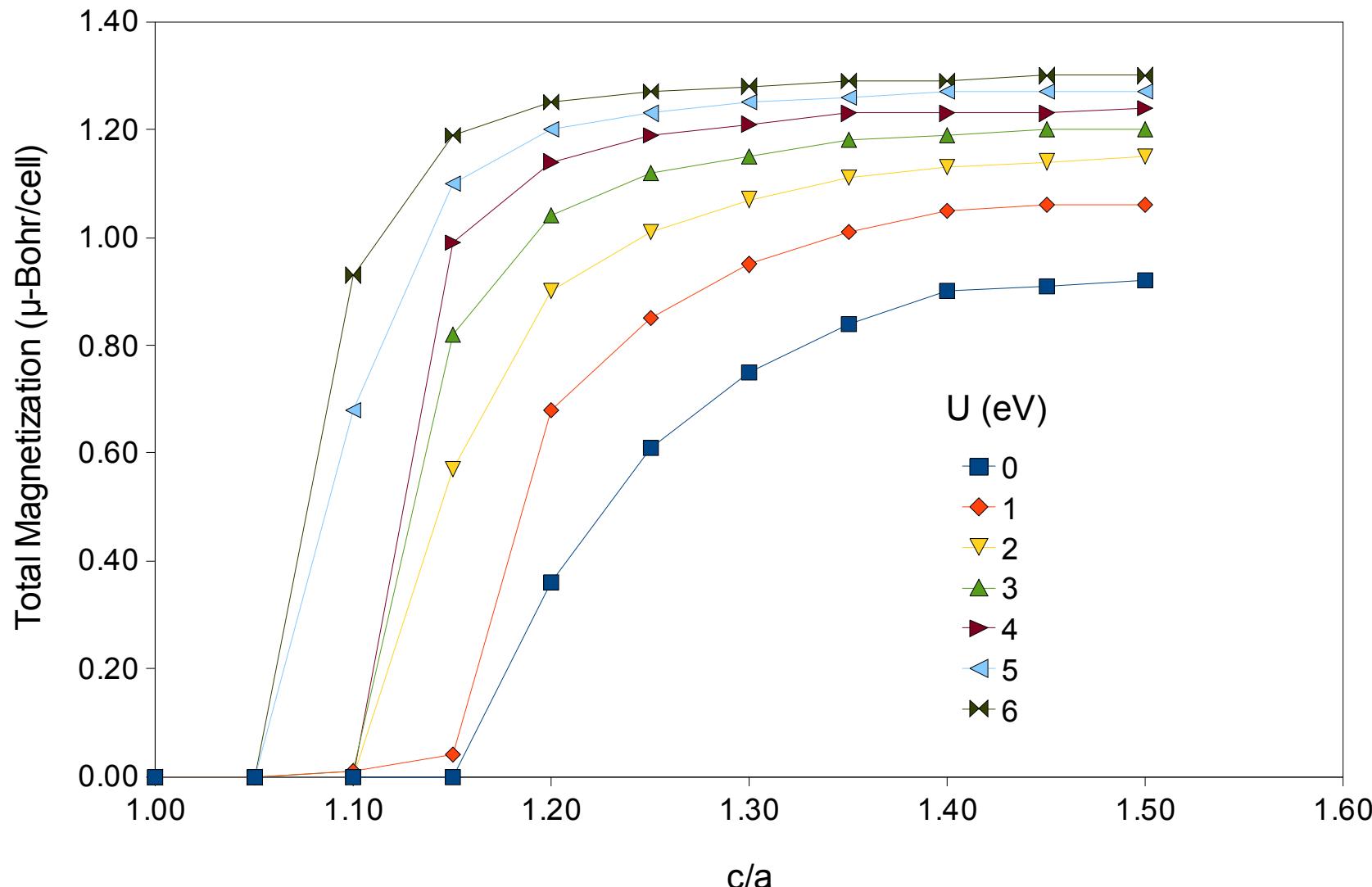
Rocksalt CuO -  $a = 3.905$  Angstroms, PP = Cu.pz-3d9\_4s2-rrkjus.UPF

## Ground State Energy vs c/a & U(ev)

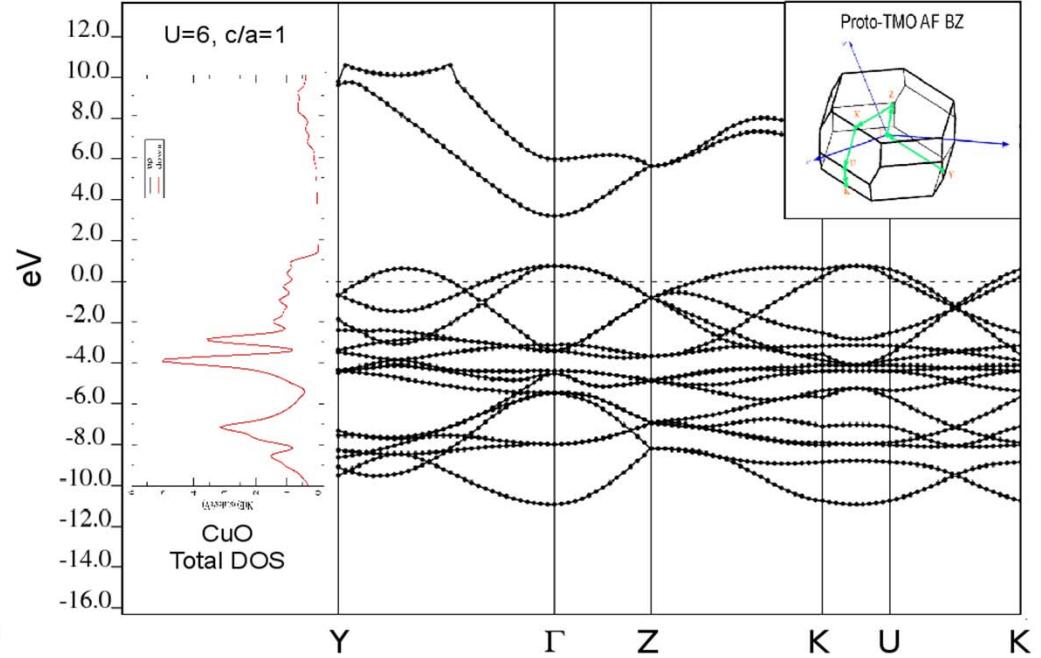
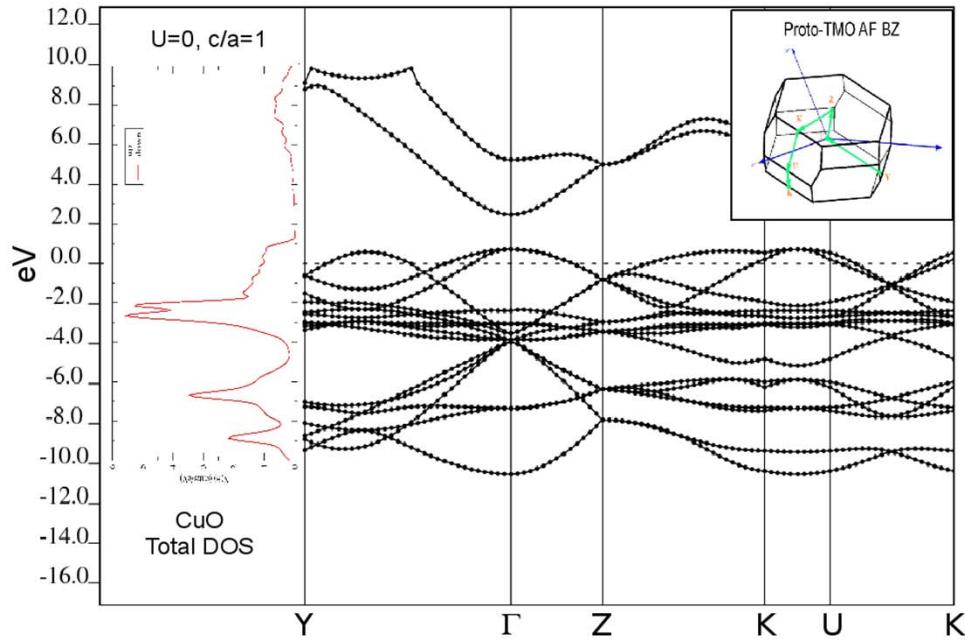


Rocksalt CuO -  $a = 3.905 \text{ \AA}$ , PP = Cu.pz-3d9\_4s2-rrkjus.UPF

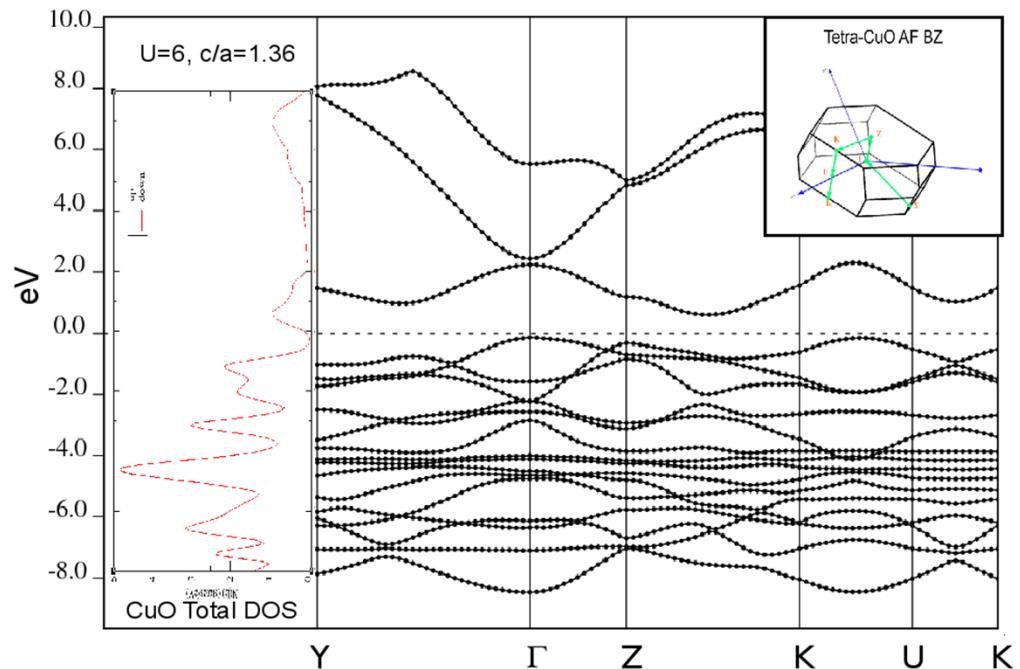
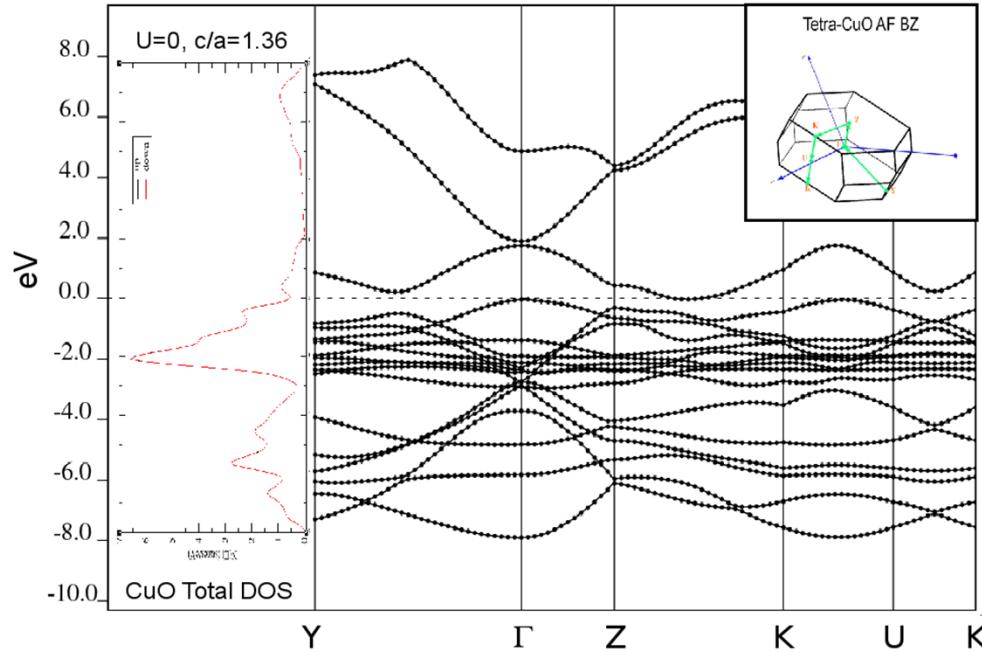
### Total Magnetization vs $c/a$ & $U(\text{eV})$



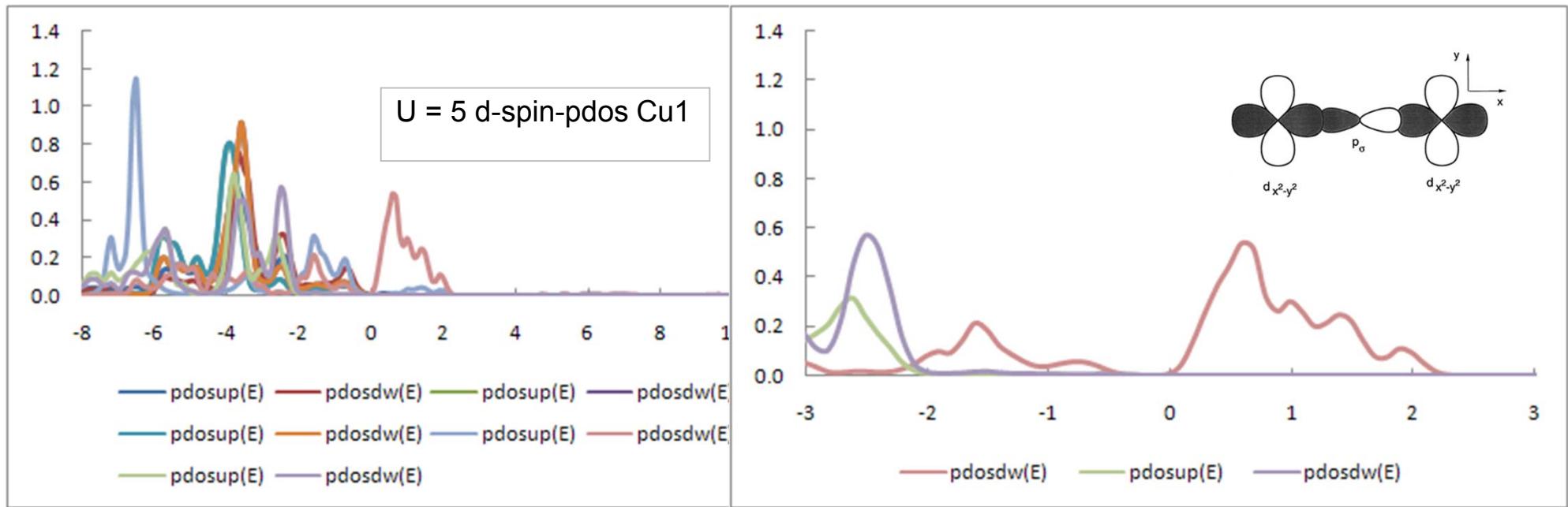
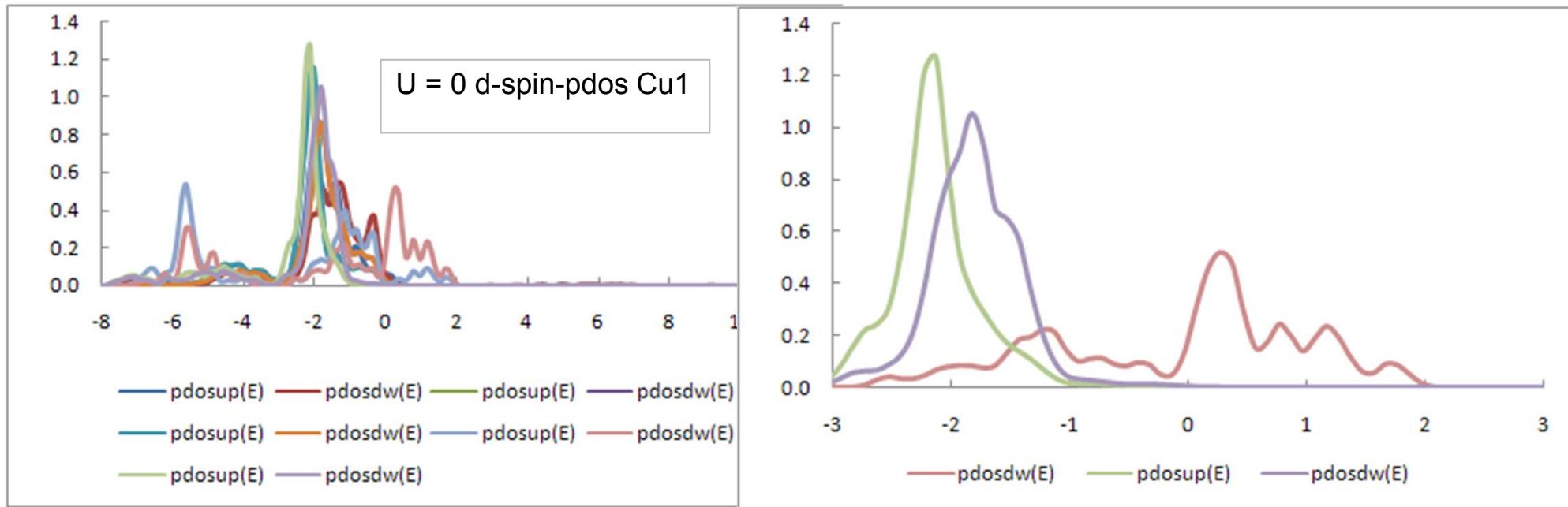
# Cubic



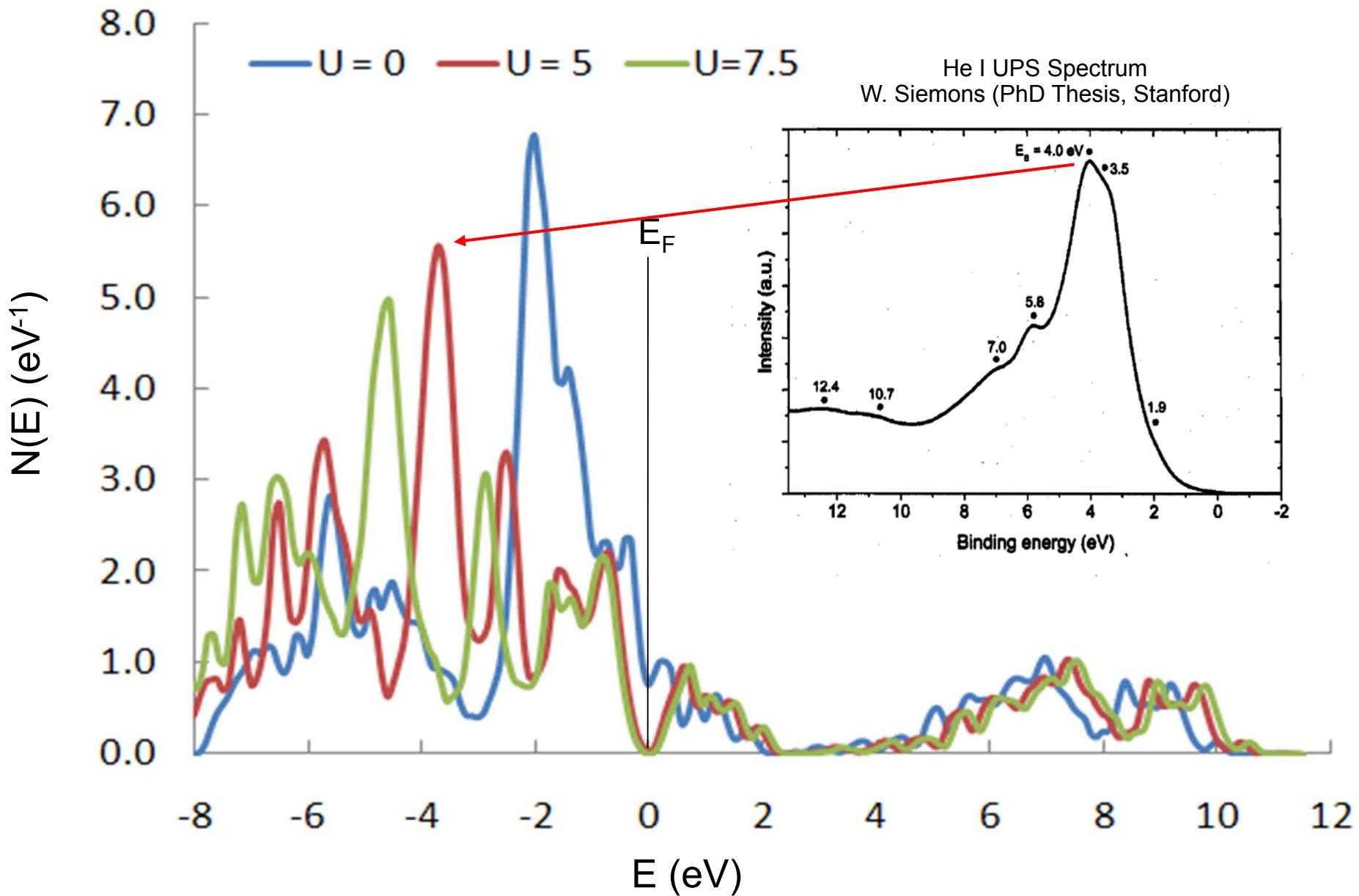
# Tetragonal



# Spin Composition of Cu 3d pDOS as fn(Hubbard): c/a = 1.36

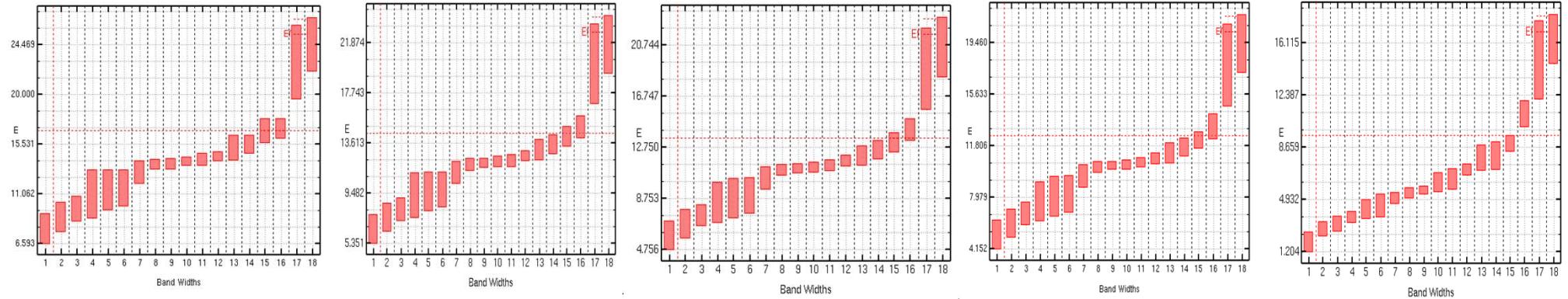


# t-CuO Density-of-States



# Af-CuO: Spin Up Bands

$U = 0$



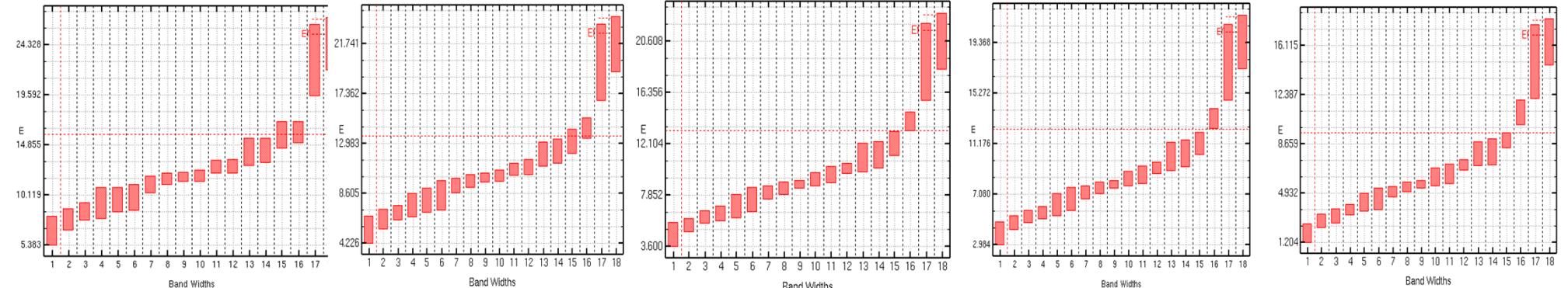
1.0

1.1

$c/a$   
1.115

1.2

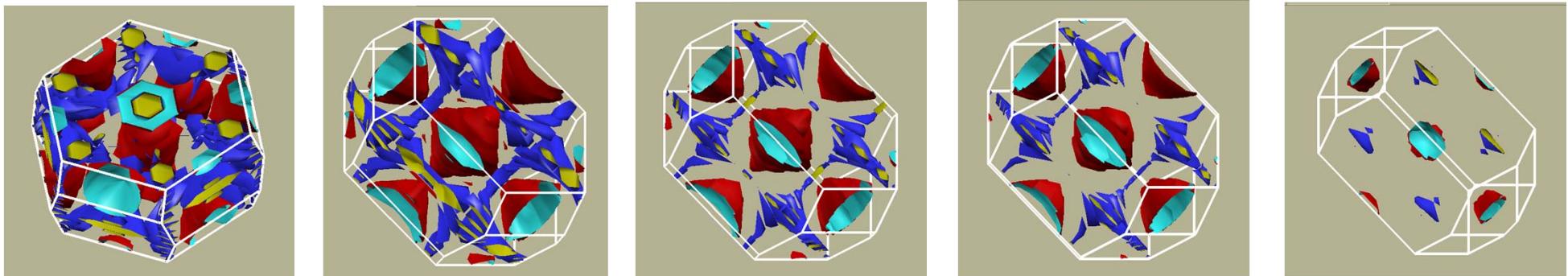
1.36



$U = 6$

# Af-CuO: FS Spin Up

**U = 0**



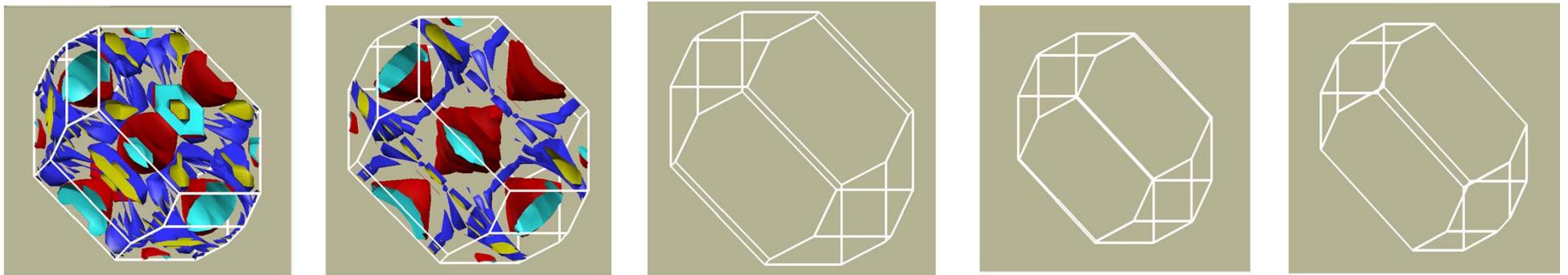
1.0

1.1

$\frac{c}{a}$   
1.115

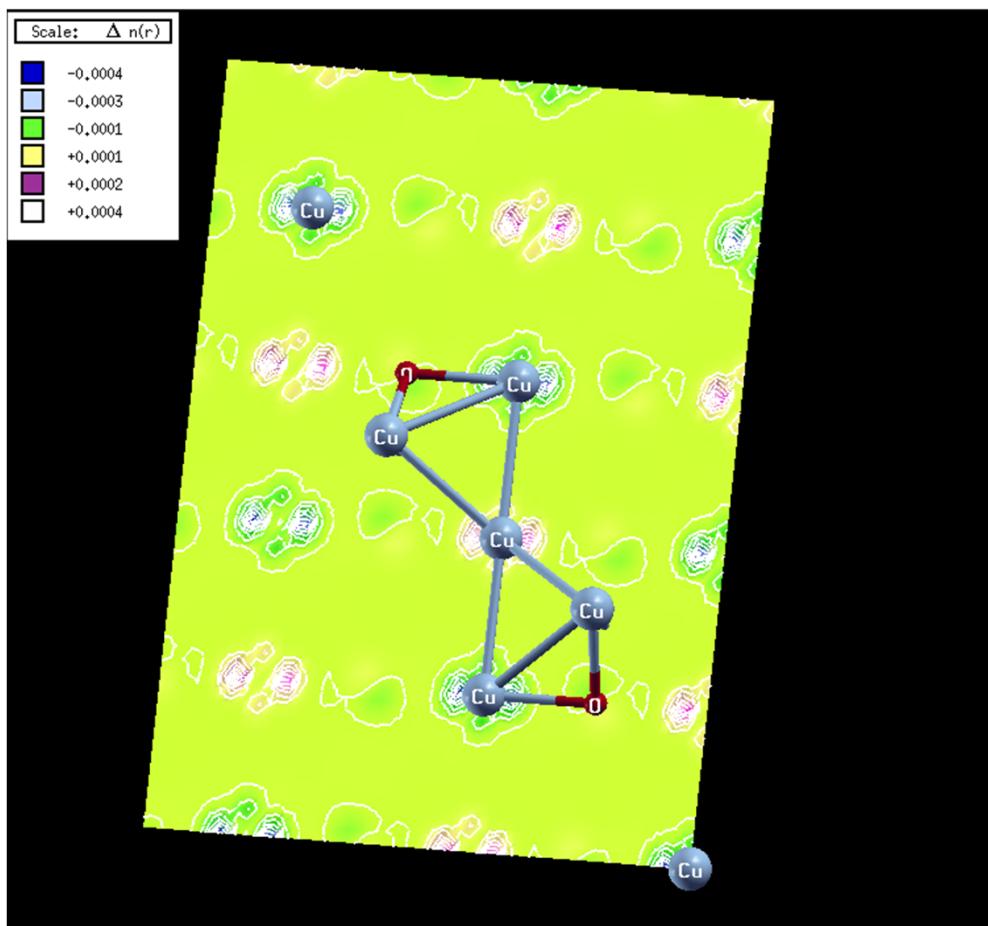
1.2

1.36

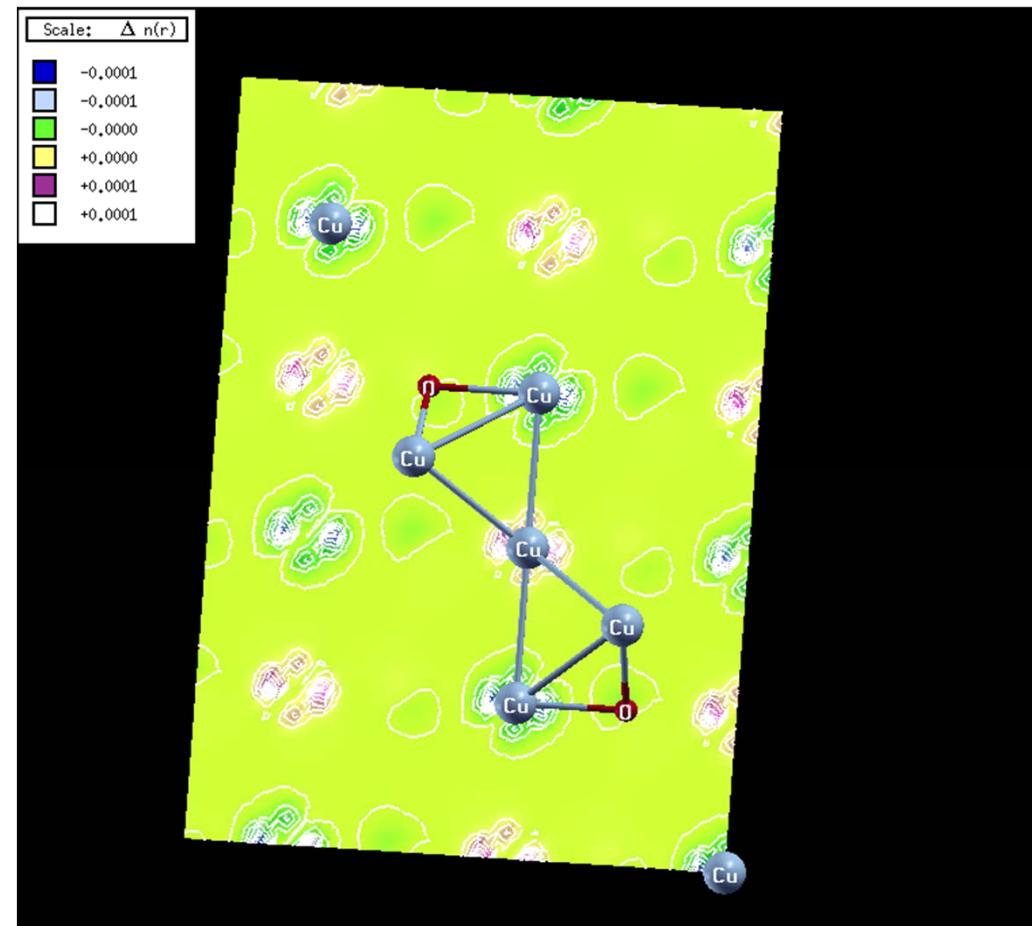


**U = 6**

$$c/a = 1.00$$

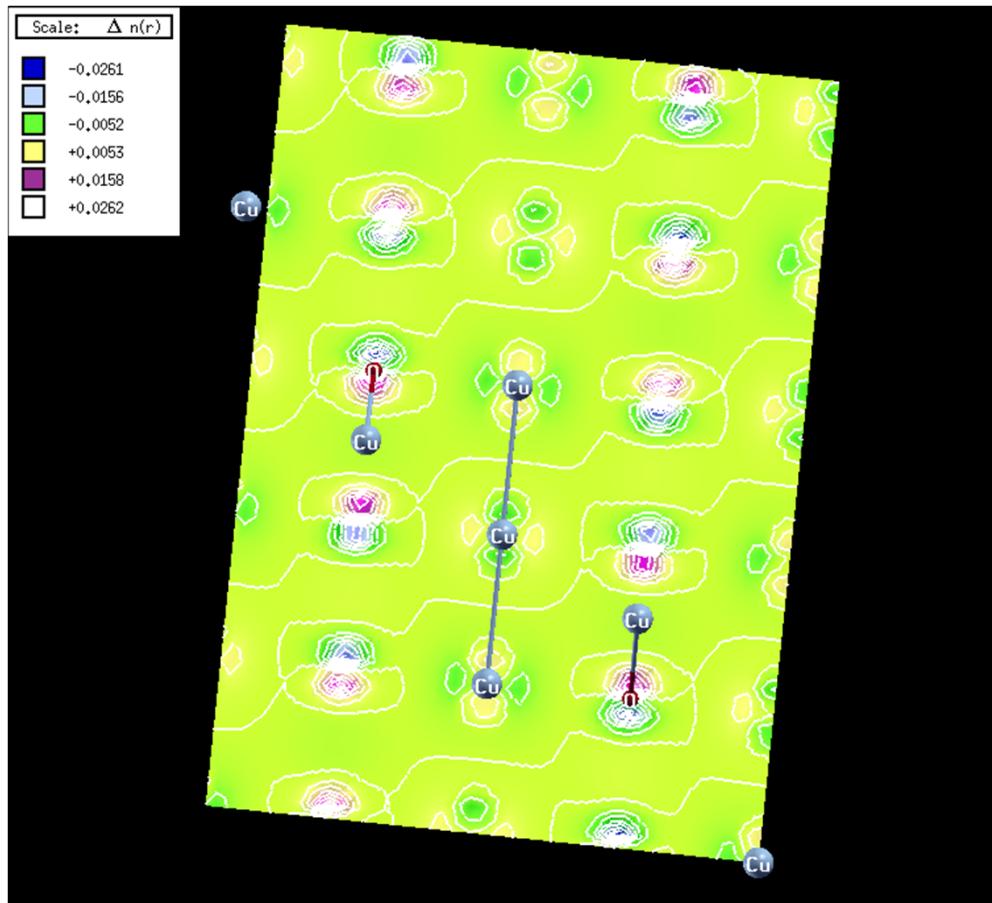


$$U = 0$$

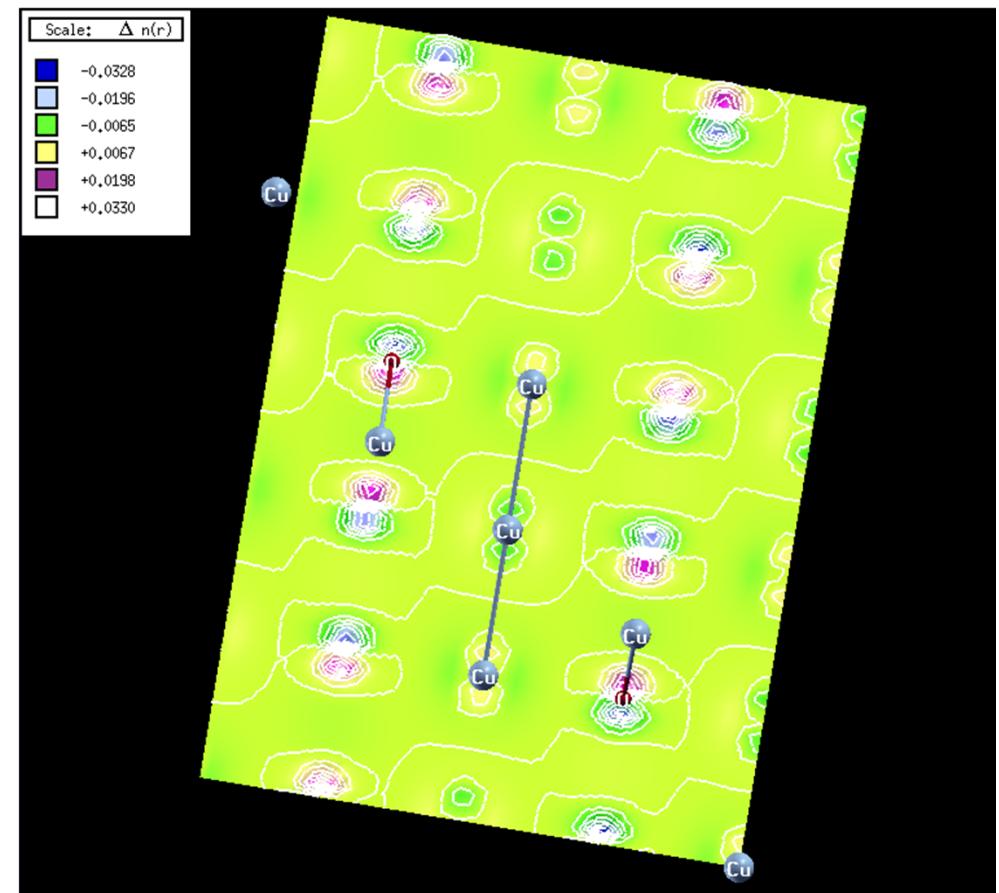


$$U = 6$$

$$c/a = 1.36$$



$$U = 0$$



$$U = 6$$

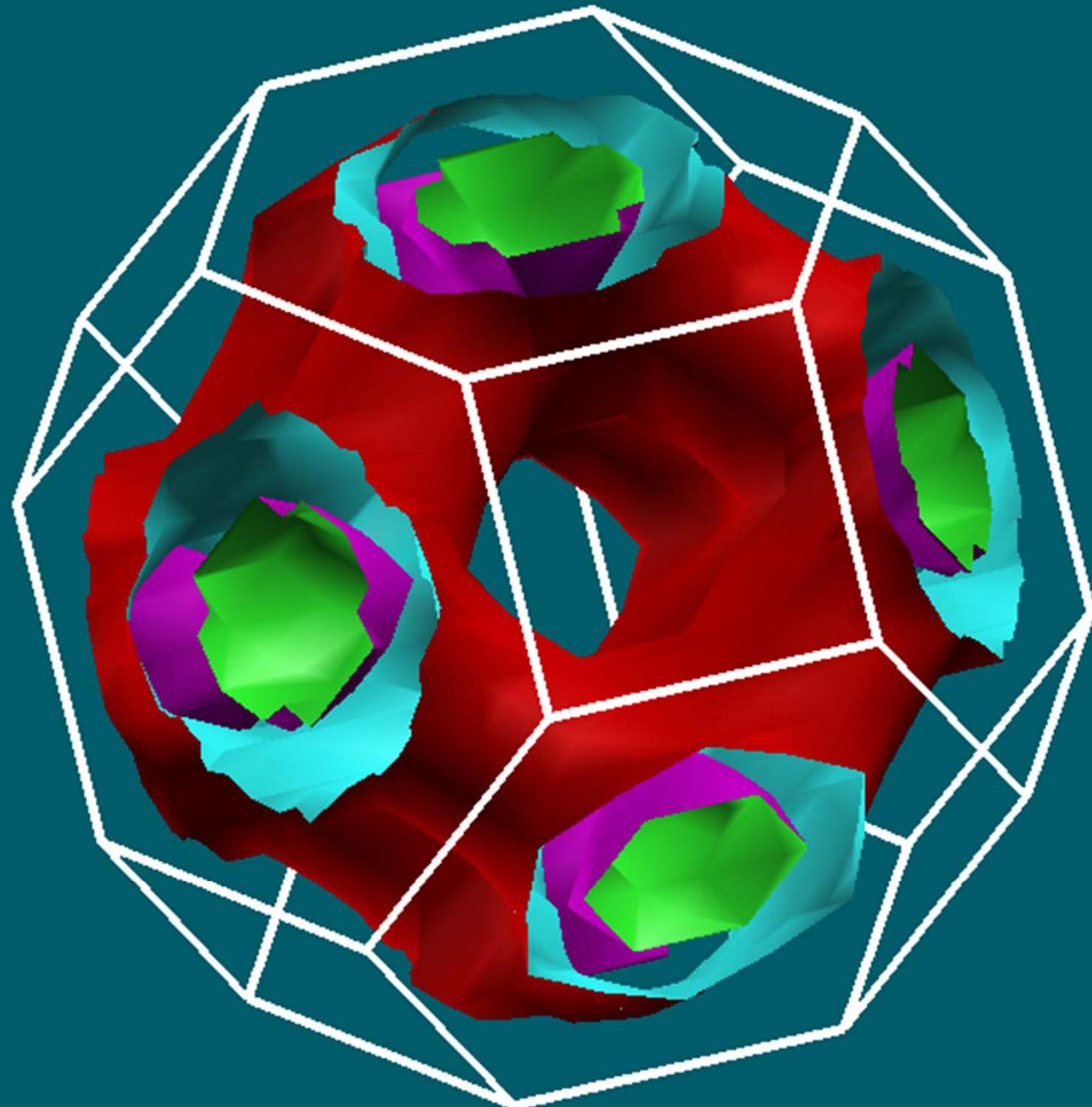
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# Electron-Phonon Coupling

## - Superconductivity -

- QE package for e-p coupling with spin-polarized bands still “under construction,” so...
- Since the bands near the Fermi level hardly change from  $U = 0$  to  $U = 6$ , let’s...
- Just ignore the AF II symmetry and see what happens!



# Electron-Phonon Coupling a la Migdal-Eliashberg-McMillan

(plus Allen & Dynes)

$$H_{el-ph} = \sum_{\mathbf{k}\mathbf{q}\nu} g_{\mathbf{k}+\mathbf{q},\mathbf{k}}^{\mathbf{q}\nu,mn} c_{\mathbf{k}+\mathbf{q}}^{\dagger m} c_{\mathbf{k}}^n (b_{-\mathbf{q}\nu}^{\dagger} + b_{\mathbf{q}\nu}) \quad (1)$$

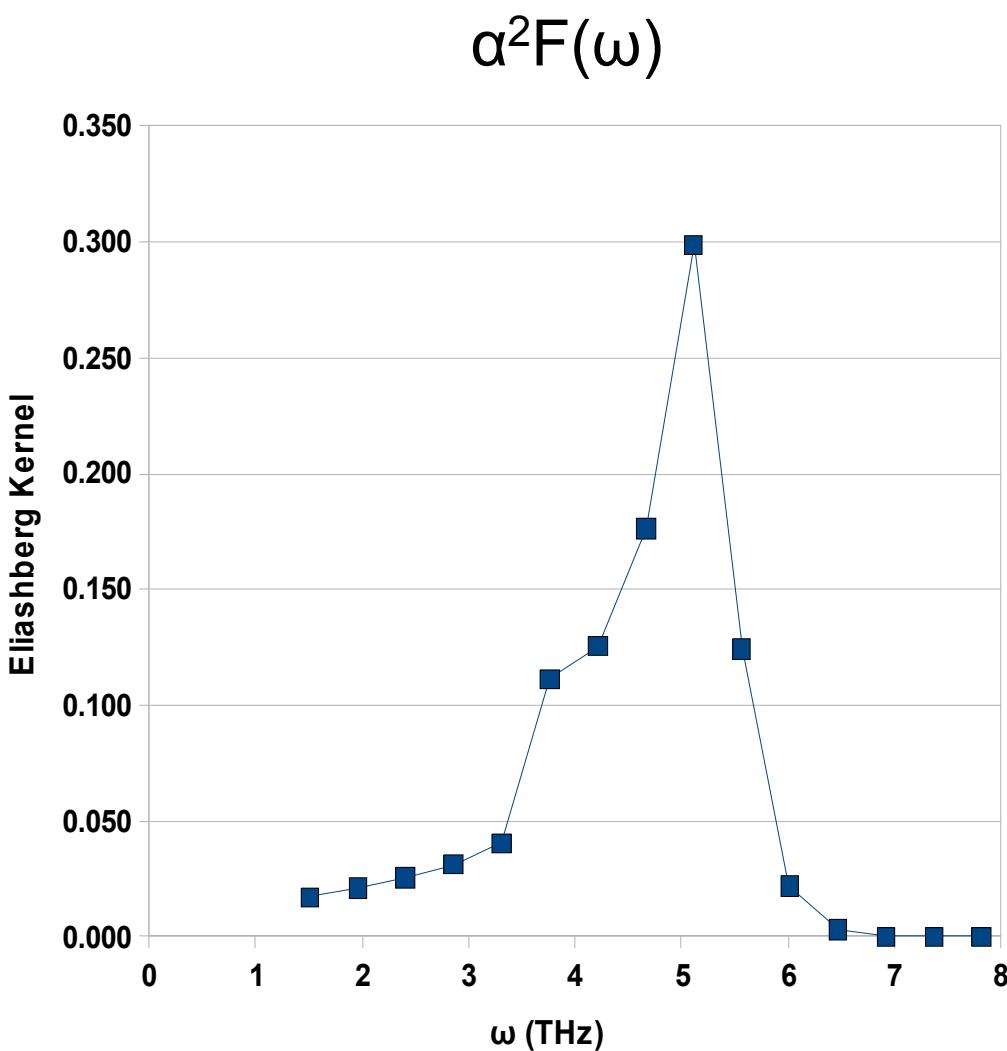
$$\begin{aligned} \alpha^2 F(\omega) = & \frac{1}{N(\varepsilon_F)} \sum_{mn} \sum_{\mathbf{q}\nu} \delta(\omega - \omega_{\mathbf{q}\nu}) \sum_{\mathbf{k}} |g_{\mathbf{k}+\mathbf{q},\mathbf{k}}^{\mathbf{q}\nu,mn}|^2 \\ & \times \delta(\varepsilon_{\mathbf{k}+\mathbf{q},m} - \varepsilon_F) \delta(\varepsilon_{\mathbf{k},n} - \varepsilon_F), \end{aligned} \quad (2)$$

$$\lambda = 2 \int \frac{\alpha^2 F(\omega)}{\omega} d\omega = \sum_{\mathbf{q}\nu} \lambda_{\mathbf{q}\nu}, \quad (3)$$

$$\begin{aligned} \lambda_{\mathbf{q}\nu} = & \frac{2}{N(\varepsilon_F) \omega_{\mathbf{q}\nu}} \sum_{mn} \sum_{\mathbf{k}} |g_{\mathbf{k}+\mathbf{q},\mathbf{k}}^{\mathbf{q}\nu,mn}|^2 \\ & \times \delta(\varepsilon_{\mathbf{k}+\mathbf{q},m} - \varepsilon_F) \delta(\varepsilon_{\mathbf{k},n} - \varepsilon_F). \end{aligned} \quad (4)$$

# Non-Magnetic Cubic Rocksalt CuO

## -- Electron-Phonon Properties --



$$\sigma = 0.04$$

- $\lambda \sim 0.6 - 0.7$
- Other sc's...

$$T_C = a\Theta e^{-\frac{1}{\lambda - \mu^*}}$$

$$\lambda k \Theta \ll E_F$$

	$T_C$ (K)	$\lambda$	$\mu^*$
$K_3C_{60}$	16.3	0.51	-
$Rb_3C_{60}$	30.5	0.61	-
$Cs_3C_{60}$	47.4	0.72	-

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Does the  
**DA VINCI CODE**  
Hold the Key to Room Temperature  
Superconductivity?

Paul M. Grant

Visiting Scholar, Stanford

IBM Research Staff Member Emeritus

EPRI Science Fellow (Retired)

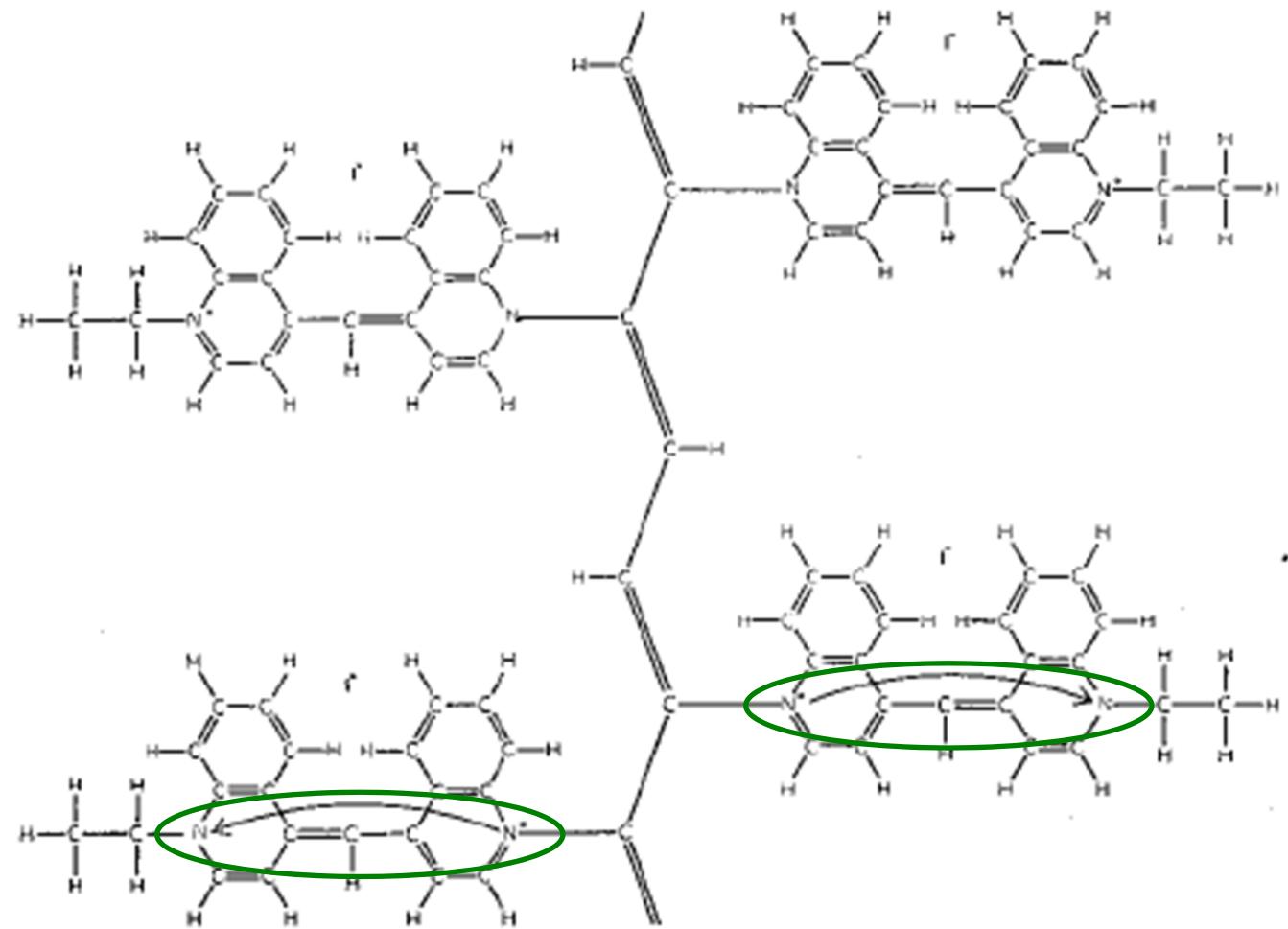
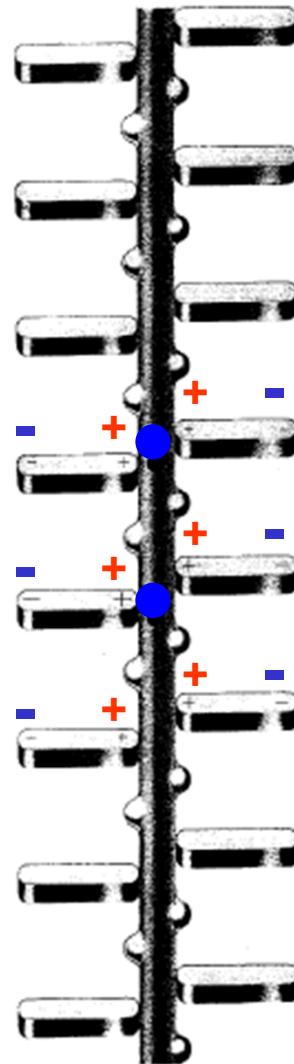
Principal, W2AGZ Technologies

The Road to Room Temperature Superconductivity

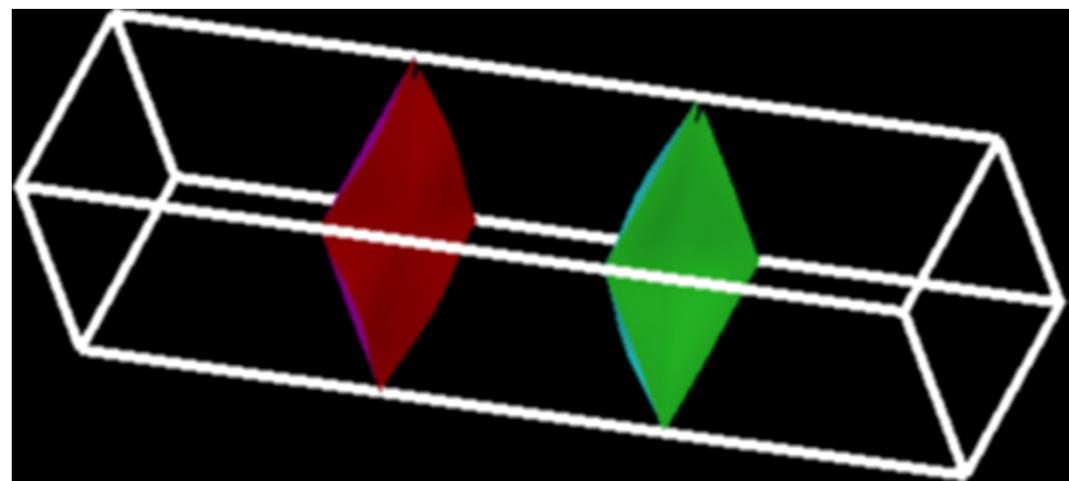
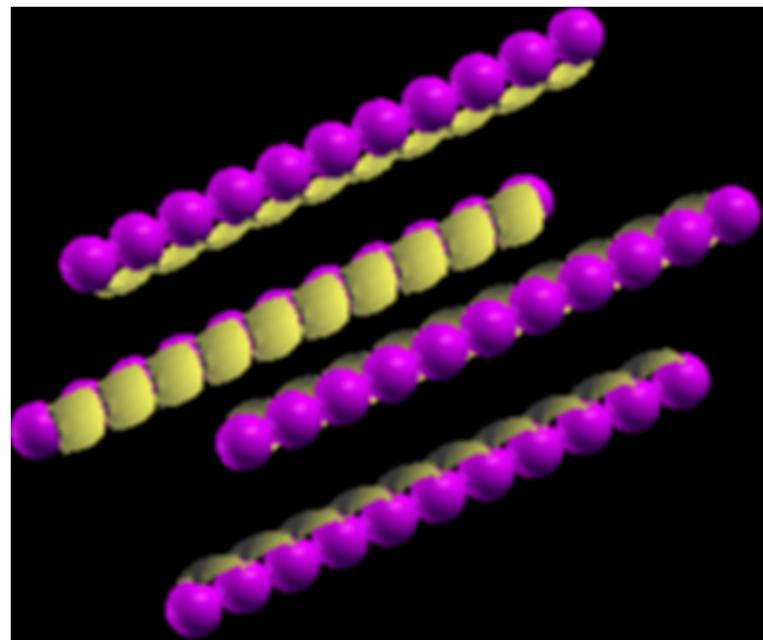
Loen, Norway



# Little, 1963



Diethyl-cyanine iodide



# “Not So Famous Danish Kid Brother”



Harald Bohr

Silver Medal, Danish Football Team, 1908 Olympic Games

# Fibonacci Chains

"Monte-Carlo Simulation of Fermions on Quasiperiodic Chains,"

P. M. Grant, BAPS March Meeting (1992, Indianapolis)

$$G_n \equiv G_{n-1} | G_{n-2}, \quad n = 3, 4, 5, \dots, \infty$$

Where  $G_1 = a, \quad G_2 = ab$

And  $\lim_{n \rightarrow \infty} N_a(G_n) / N_b(G_n) \equiv \tau = (1 + \sqrt{5}) / 2 \approx 1.618\dots$

Example:  $G_6 = abaababaab \quad (N = 13)$

Let  $a = c\tau b$ , subject to  $\langle a, b \rangle$  invariant,

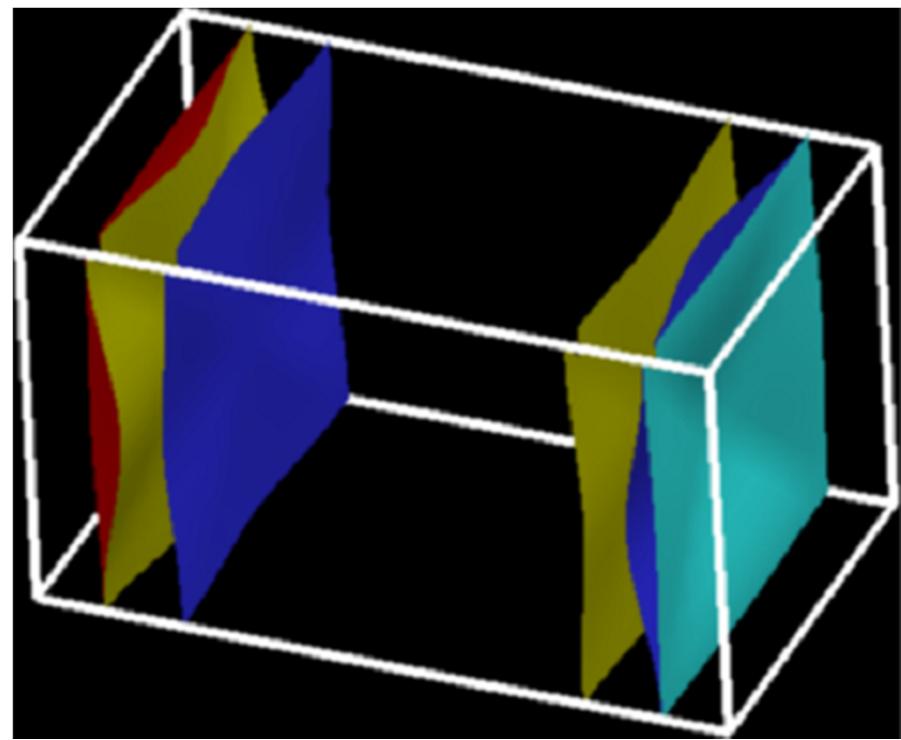
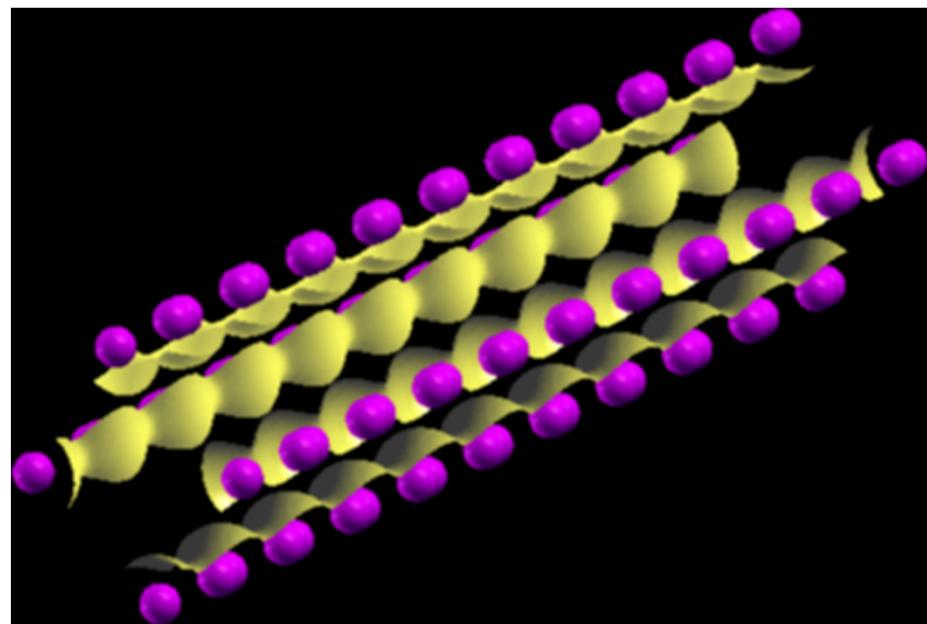
And take  $a$  and  $b$

to be "inter-atomic n-n distances,"

Then  $b = \tau \langle a, b \rangle / [(1 + c)\tau - 1]$ .

Where  $c$  is a "scaling" parameter.





$64 = 65$

$64=65 ?$

# Agenda

- ...Still No Theory
- Structural Issues
- “Experimental Apparatus”
- Band Structure, DOS and Fermiology
- Superconductivity
- The da Vinci Code
- Conclusions/Homework

# Conclusions & Homework

## Conclusions

- c-rs-CuO is metallic and thus a proxy for HTSC cuprates.
- e-p  $\lambda \sim 0.6 - 0.7$  consistent with  $T_c \sim 20 - 50$  K.
- t-rs-CuO becomes a MH-CTI for  $c/a > \sim 1.3$ .
- $c/a < 1.3$ , t-rs-CuO is “self-doped” metal.
- Exhibits “instabilities” in GSE possibly sc related.
- DFT (LDA+U) + proxy structures a useful exploratory tool for nano-material discovery.

## Homework

- Compute e-p coupling  $\lambda$  as  $f(c/a, U)$  for t-rs-CuO.
- Determine condensate symmetry.
- Compute  $T_N$ ,  $\mu^*$ , BCS prefactor, then  $T_c$ .
- Compute isotope shift.
- Calculate Lindhardt function.
- Look for anharmonicities a la Newns & Tsuei
- Calculate optical & transport properties as  $f(c/a)$ .

**Supashi-bo !**