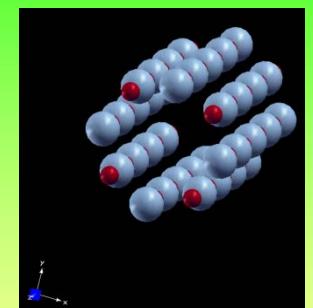
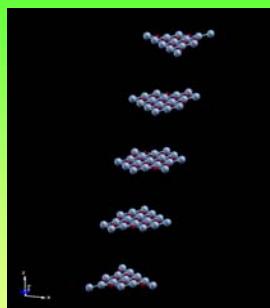


# A DFT (LDA+U) Study of the Electronic Properties of Square-Planar Coordinated Copper Monoxide Structures



Paul M. Grant  
IBM Research Staff Member, Emeritus

## AGING IBM PENSIONER

Financial Support From:  
IBM Retiree Pension Fund Prior to 1990

MRS Spring Meeting  
Moscone (West) Convention Center  
25-29 April 2011, San Francisco, CA

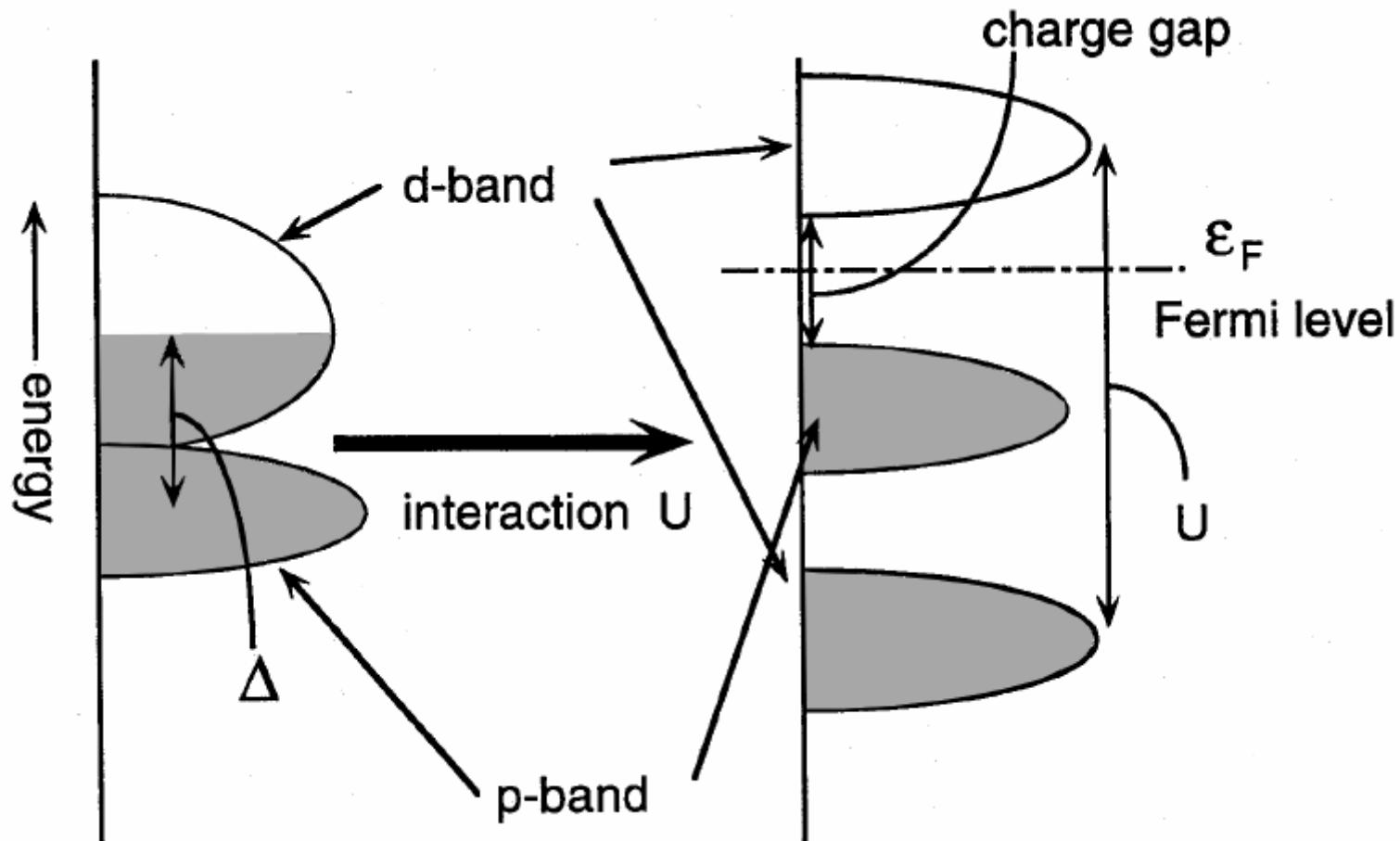


Session VV4.2 Room 2020  
9:00 AM  
Tuesday, 26 April 2011

# Transition Metal Oxides

## “Should be Metals, But Aren’t”

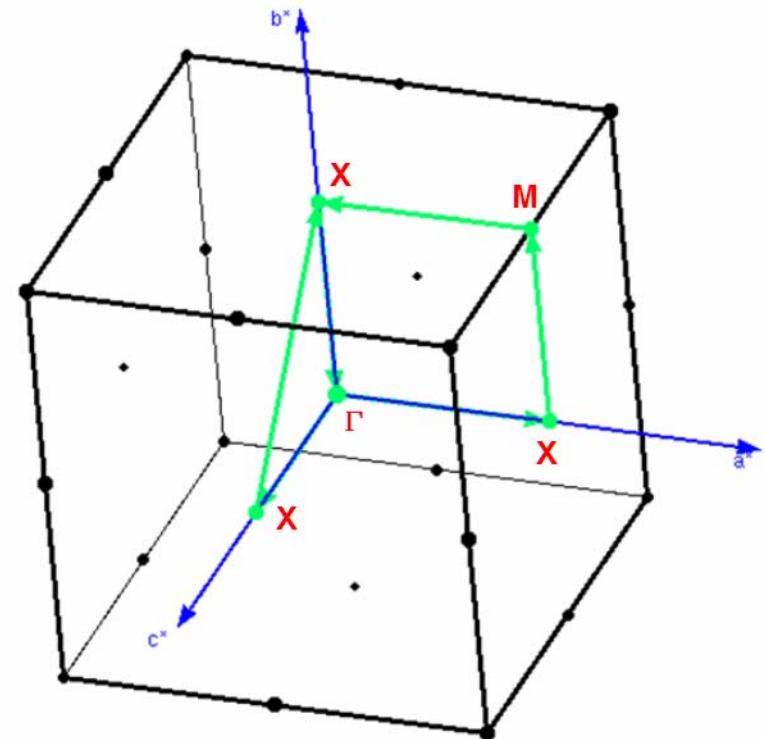
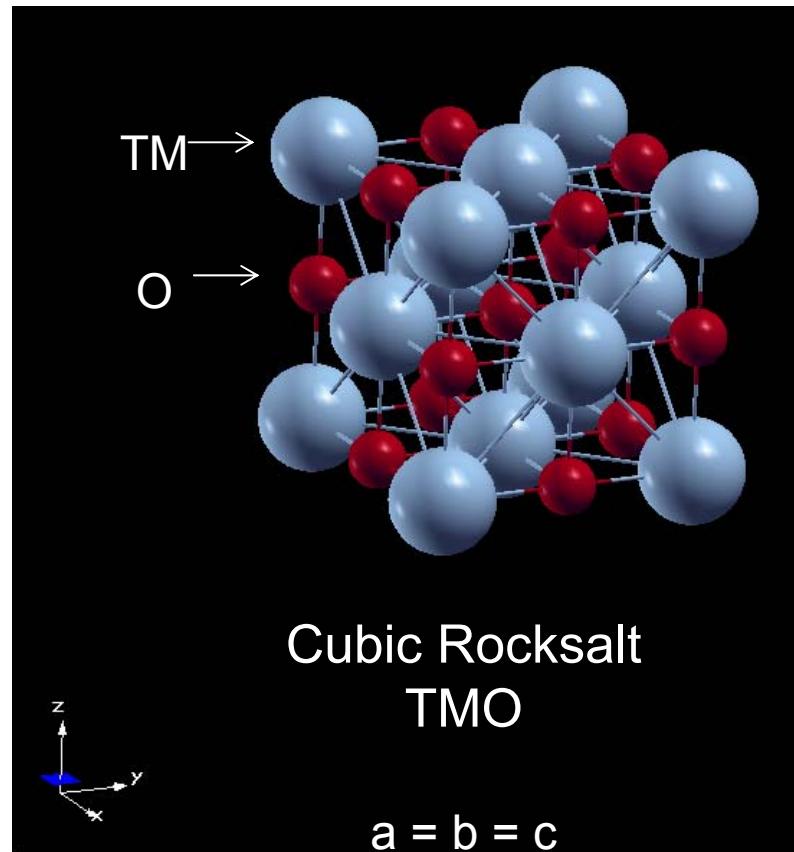
(Charge Transfer Insulators, Instead)



After Imada, et al, RMP 70, 1039 (1998)

# Cubic Rocksalt TMOs

## Direct and Reciprocal Lattices



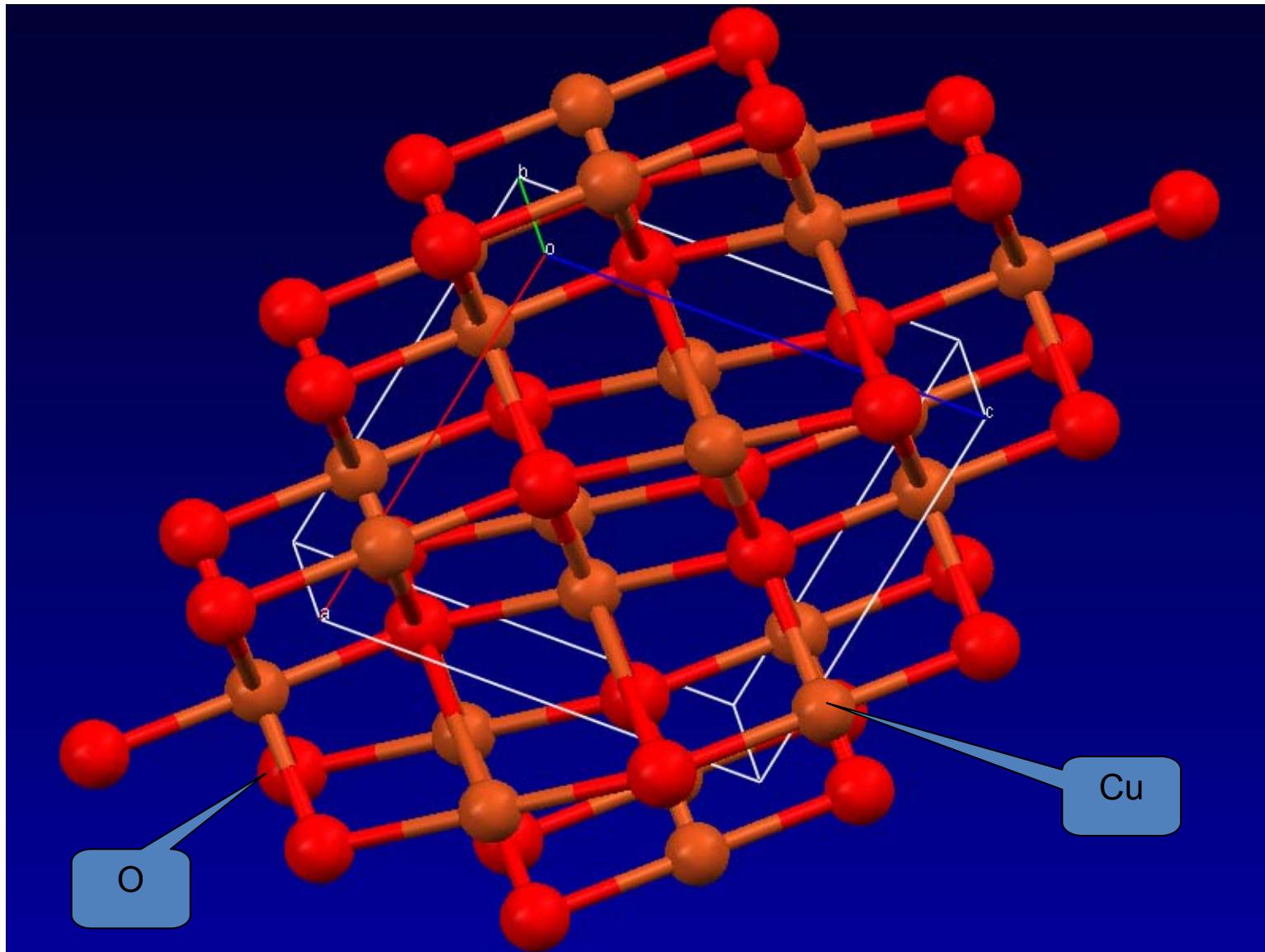
# Cubic Rocksalt Divalent TMOs

| <u>TMO</u> | <u>3d Config</u> | <u>Properties</u>               |
|------------|------------------|---------------------------------|
| MnO        | 5                | MH-CTI (5.6)                    |
| FeO        | 6                | MH-CTI (5.9)                    |
| CoO        | 7                | MH-CTI (6.3)                    |
| NiO        | 8                | MH-CTI (6.5)                    |
| CuO        | 9                | <b>XX <i>Doesn't Exist!</i></b> |

See Imada, Fujimori,  
Tokura, RPM 70 (1988)

Why Not?

# Tenorite (Monoclinic CuO)



# *Can Application of DFT (LDA+U) Help Unravel the Cubic Rocksalt CuO Enigma?*

*...Let's see...*

## DFT & (LDA + U)

$$E_{\text{LDA+U}}[n(\mathbf{r})] = E_{\text{LDA}}[n(\mathbf{r})] + E_{\text{HUB}}\left[\left\{n_m^{l\sigma}\right\}\right] - E_{\text{DC}}\left[\left\{n^{l\sigma}\right\}\right]$$

- Implemented in LMTO by Anisimov, et al, JPCM 2, 3973 (1990)
  - Applied to NiO, MnO, FeO, CoO and La<sub>2</sub>CuO<sub>4</sub>
- Plane-Wave Pseudopotential Implementation by Cococcioni and de Gironcoli, PRB 71, 035105 (2005)
  - Applied to FeO and NiO
  - Download open-source package from <http://www.pwscf.org>

# Tools

QUANTUM-ESPRESSO Suite of Codes

DFT (LDA+U) plus electron-phonon

Graphics by Tone Kolalj (XCrysDen)

[www.quantum-espresso.org](http://www.quantum-espresso.org)

“Dial-in” Parameters

$$G^2 = 40 \text{ Ry} \quad \rho = 320 \text{ Ry}$$

Convergence  $\leq 10^{-6}$  Ry

“Smearing” = Methfessel-Paxton

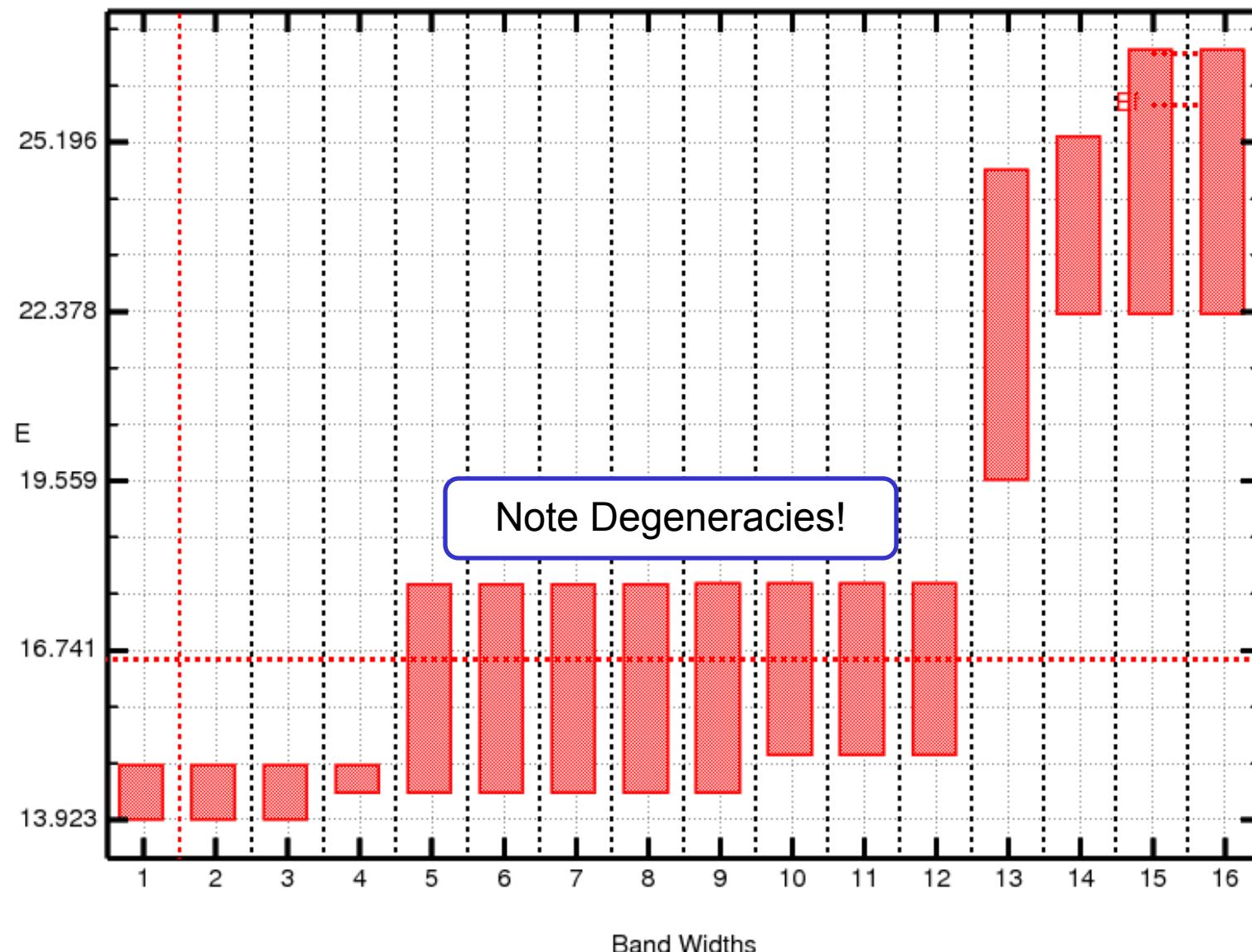
Pseudopotentials: Ultrasoft, XC = Perdew-Zunger



Hardware

3.33 GHz Intel Core i7 – 12 GB+

# Rocksalt CuO Band Widths



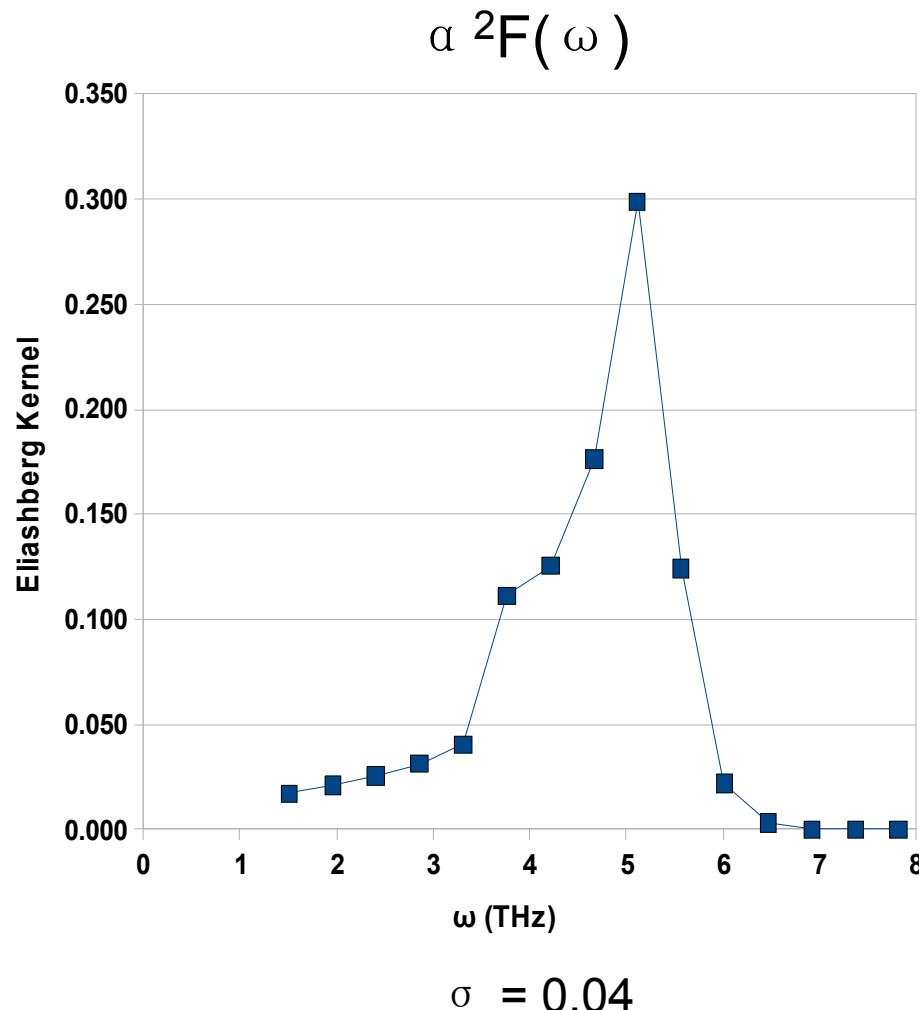
# Rocksalt CuO Fermiology (Combined)

Note (Near) Degeneracies!

Jahn-Teller Unstable?  
Alex M?

# Non-Magnetic Cubic Rocksalt CuO

## -- Electron-Phonon Properties --



- $\lambda \sim 0.6 - 0.7$

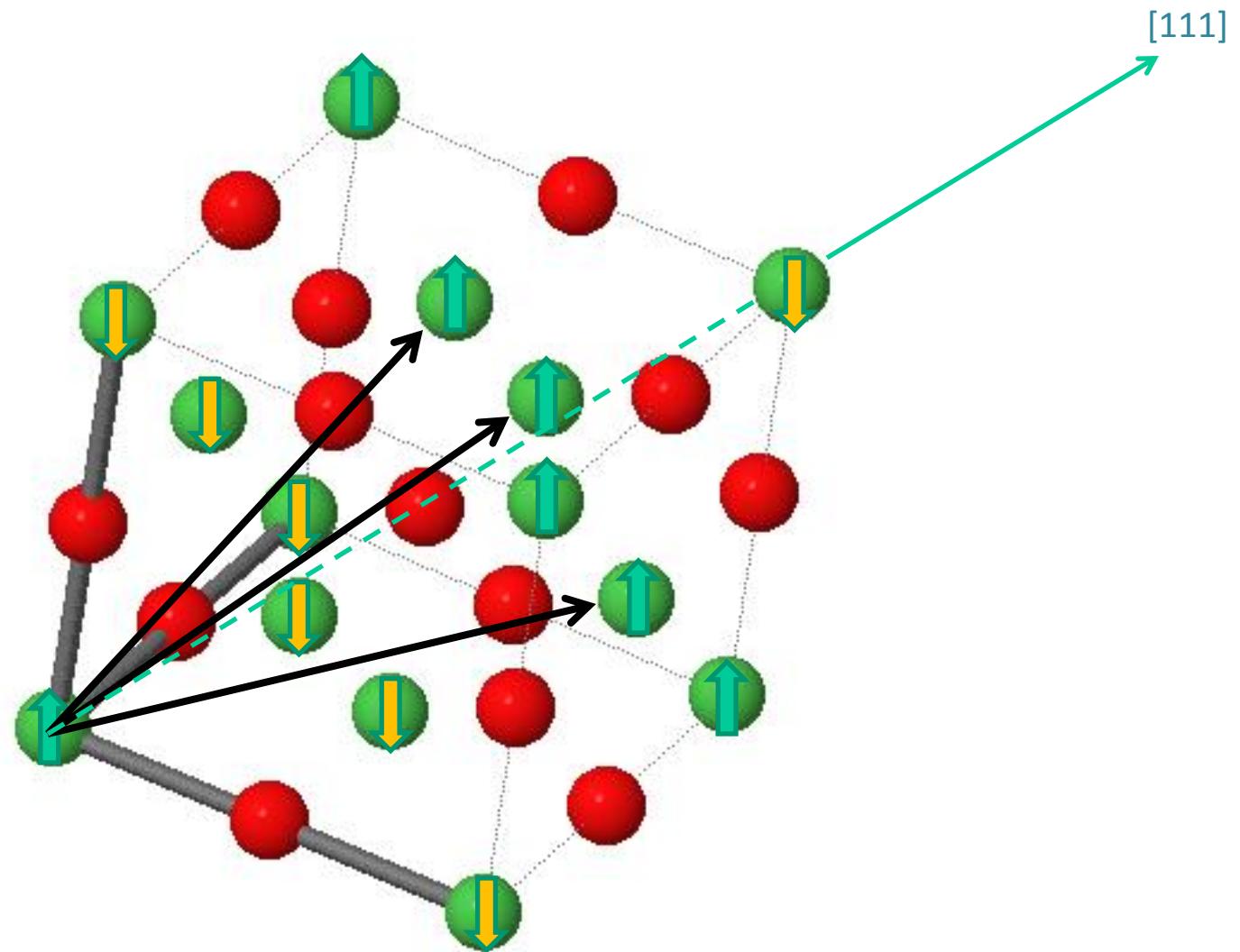
- Other sc's...

$$T_C = a\Theta e^{-\frac{1}{\lambda - \mu^*}} \quad \lambda k\Theta \ll E_F$$

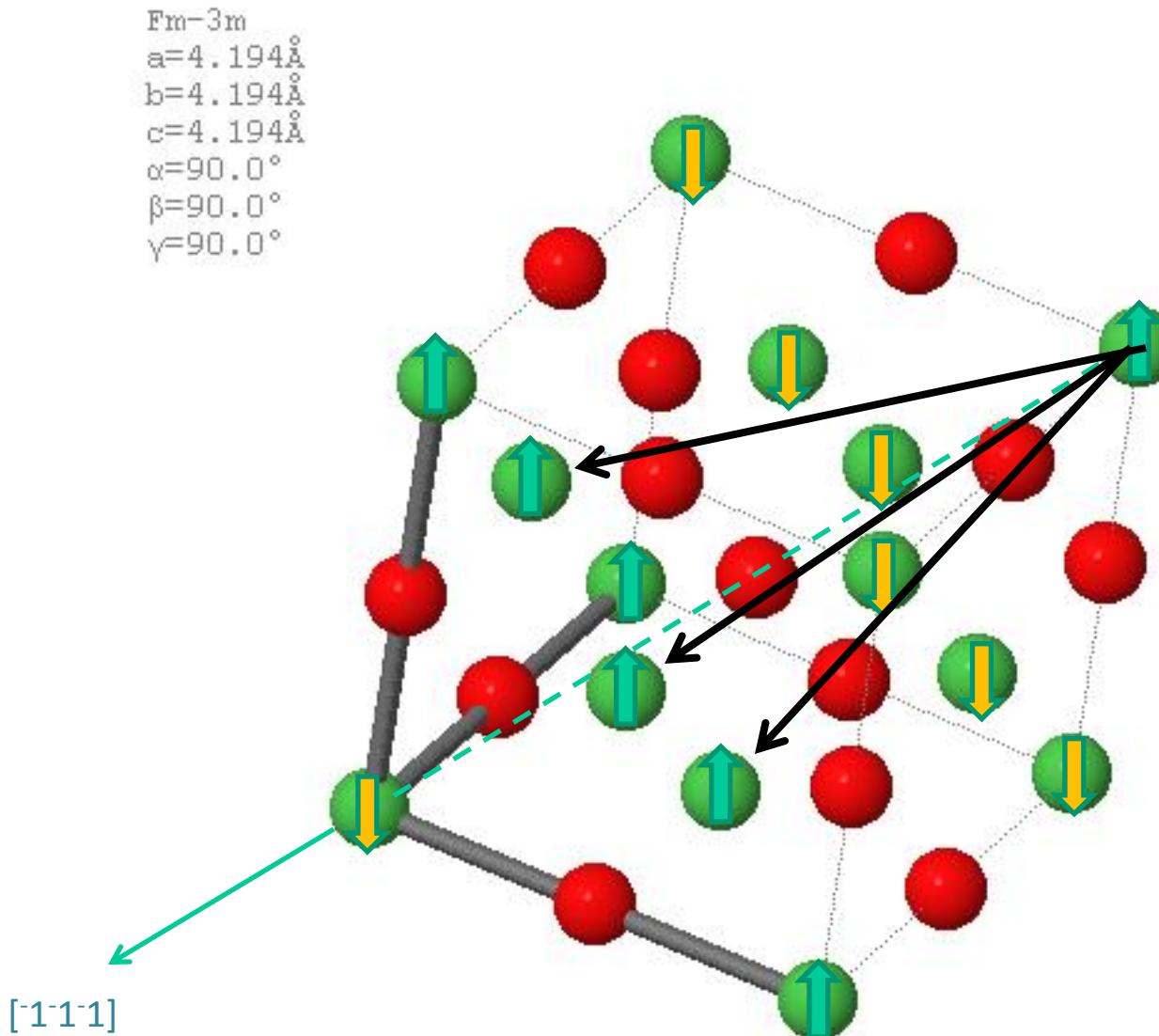
|              | $T_C$ (K) | $\lambda$ | $\mu^*$ |
|--------------|-----------|-----------|---------|
| $K_3C_{60}$  | 16.3      | 0.51      | -       |
| $Rb_3C_{60}$ | 30.5      | 0.61      | -       |
| $Cs_3C_{60}$ | 47.4      | 0.72      | -       |

# Proto-TMO AF-II Rocksalt

Fm-3m  
 $a=4.194\text{\AA}$   
 $b=4.194\text{\AA}$   
 $c=4.194\text{\AA}$   
 $\alpha=90.0^\circ$   
 $\beta=90.0^\circ$   
 $\gamma=90.0^\circ$



# Proto-TMO AF-II Rocksalt



# The Answer(s) !



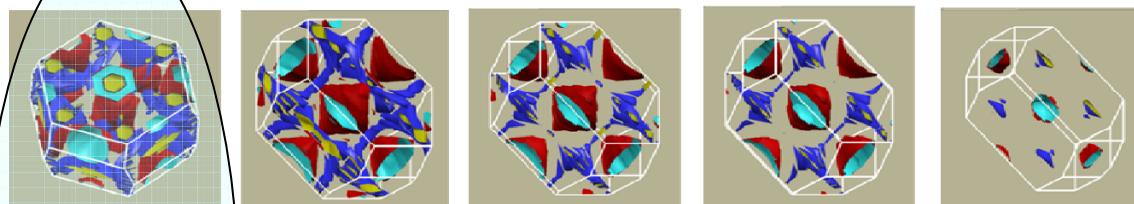
TMO Asymmetric Type II  
af-CuO Cell

LDA+U Calcs

Grant, IOP-CS 129 (2008) 102042

Siemons, et al,  
PRB 79 (2009)  
195122

$U = 0$



Tetragonal  
Distortion

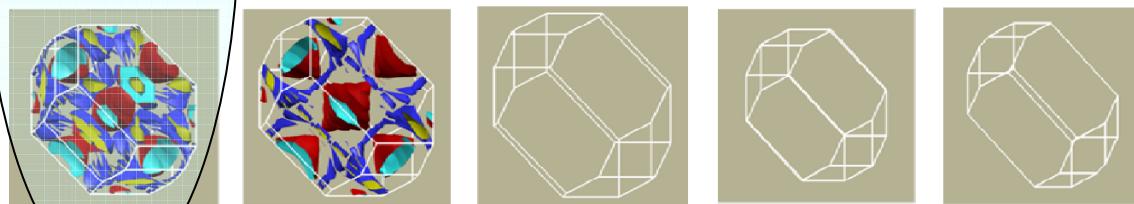
$c/a$

1.115

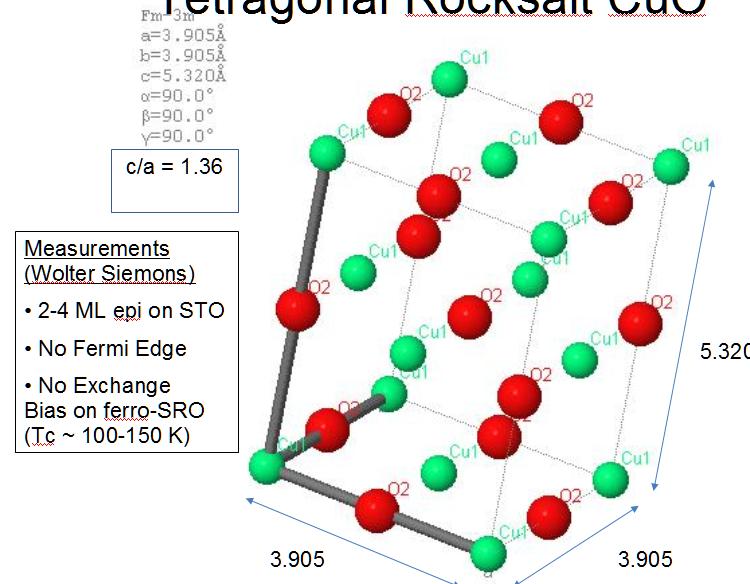
1.2

1.36

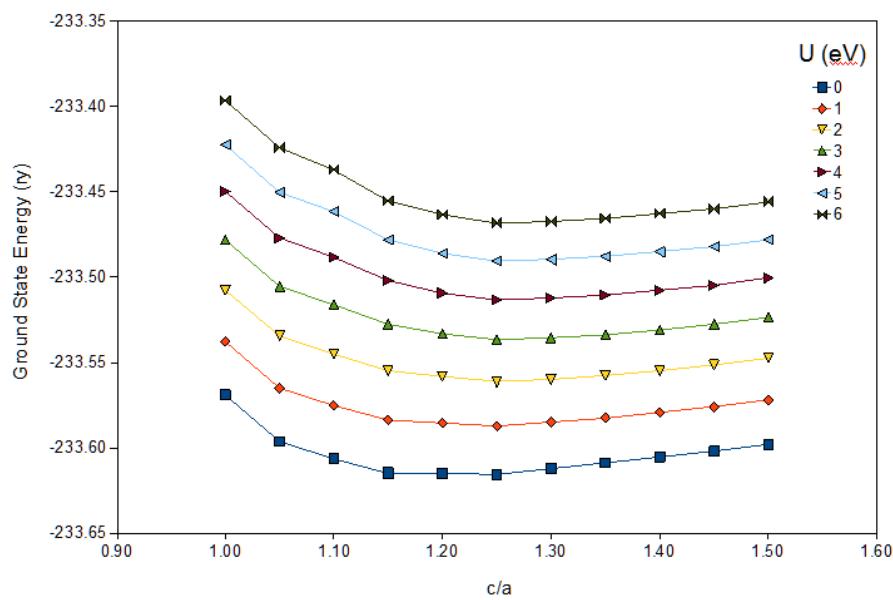
$U = 6$



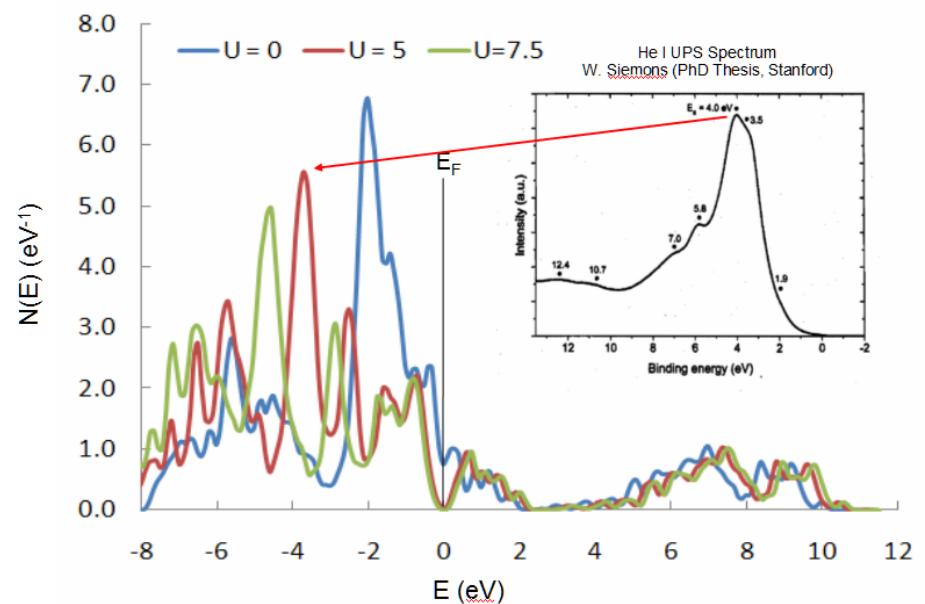
# Tetragonal Rocksalt CuO



Ground State Energy vs  $c/a$  &  $U(\text{eV})$



# t-CuO Density-of-States



# References

The International Conference on Theoretical Physics ‘Dubna-Nano2008’

IOP Publishing

Journal of Physics: Conference Series **129** (2008) 012042

doi:10.1088/1742-6596/129/1/012042

## **Electronic properties of rocksalt copper monoxide: A proxy structure for high temperature superconductivity**

**Paul M. Grant<sup>\*</sup>**

W2AGZ Technologies

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### **“Electronic Properties of Rocksalt Copper Monoxide,”**

APS MAR09-2008-006217, P. M. Grant, Pittsburgh (2009)

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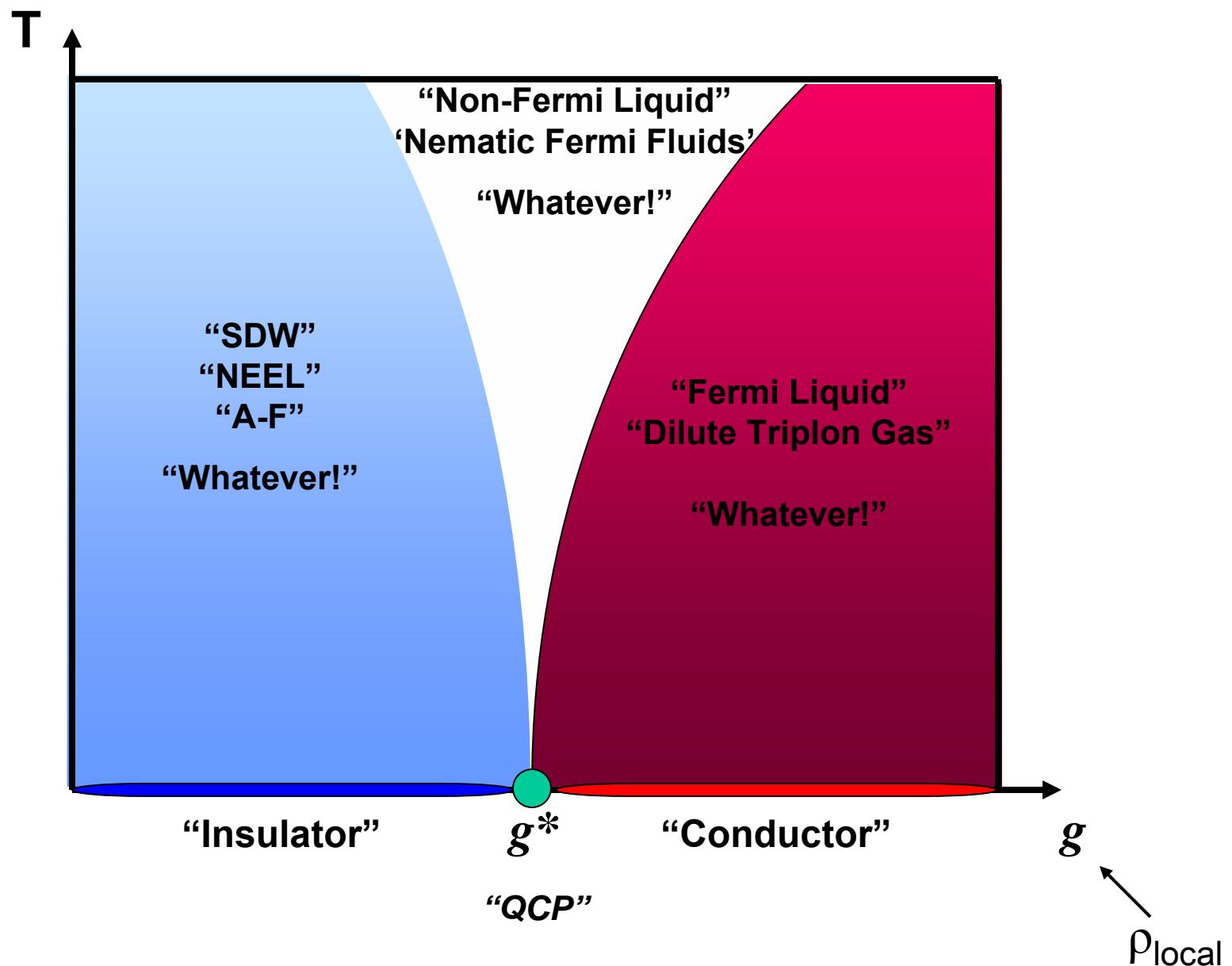
PHYSICAL REVIEW B **79**, 195122 (2009)



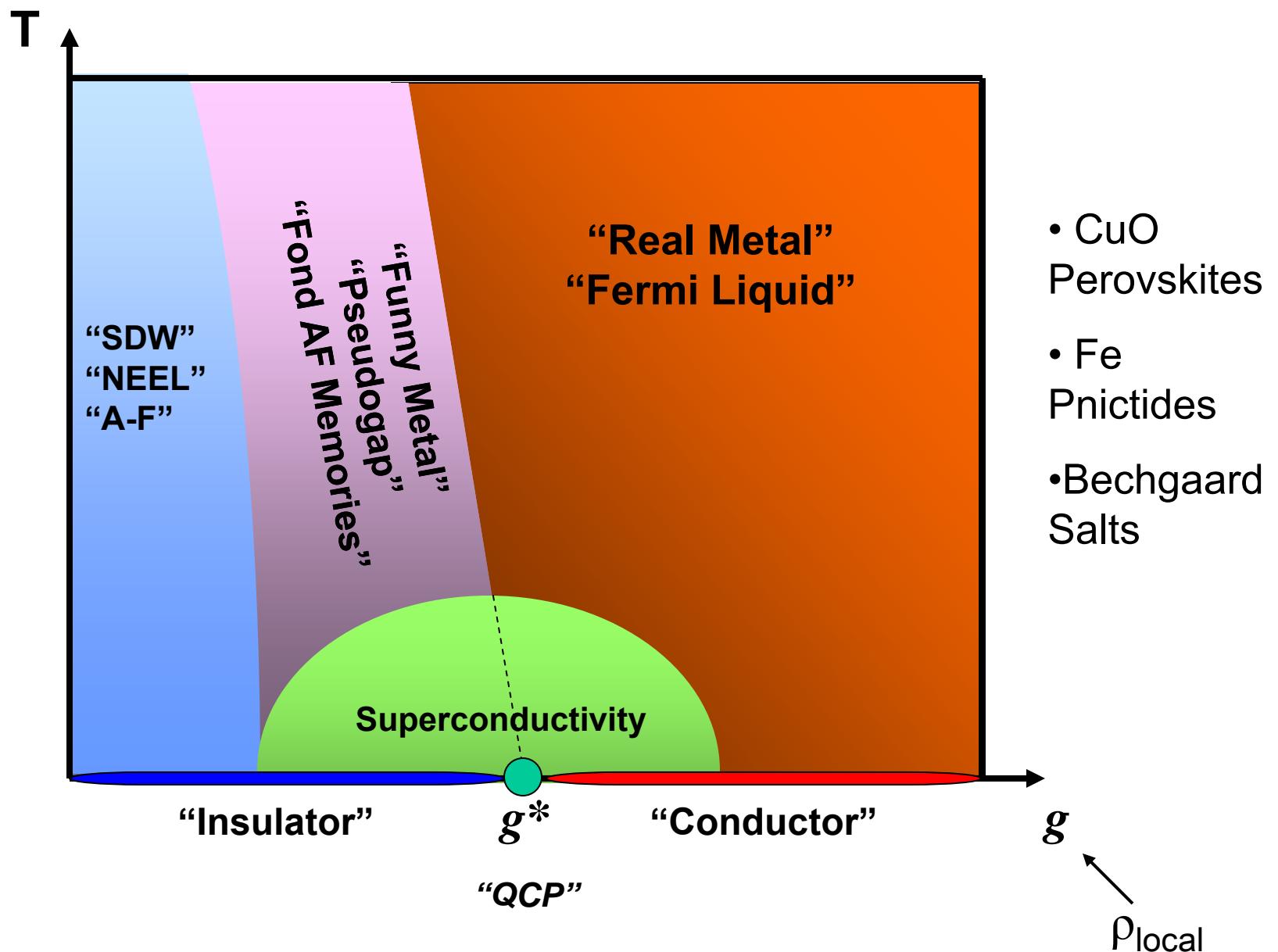
## **Tetragonal CuO: End member of the 3d transition metal monoxides**

Wolter Siemons,<sup>1,2</sup> Gertjan Koster,<sup>1,2,\*</sup> Dave H. A. Blank,<sup>1</sup> Robert H. Hammond,<sup>2</sup>  
Theodore H. Geballe,<sup>2</sup> and Malcolm R. Beasley<sup>2</sup>

# The Great Quantum Conundrum



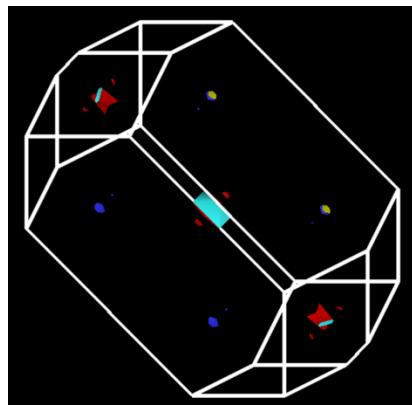
# The Colossal Quantum Conundrum



$n$   
 $U$

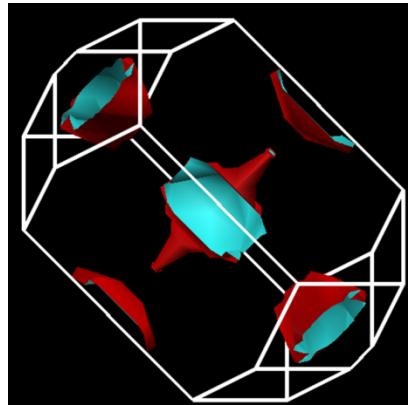
0

0.00



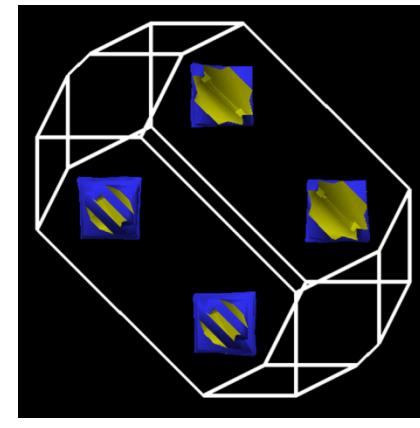
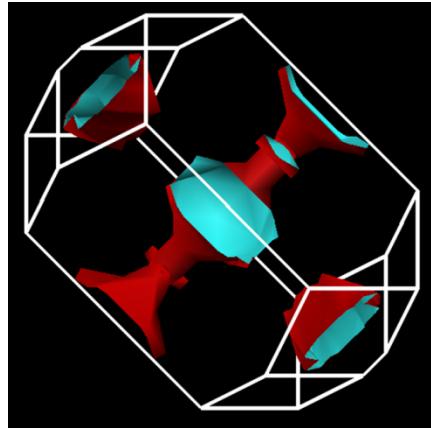
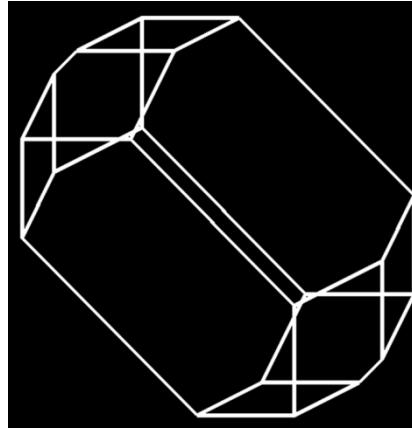
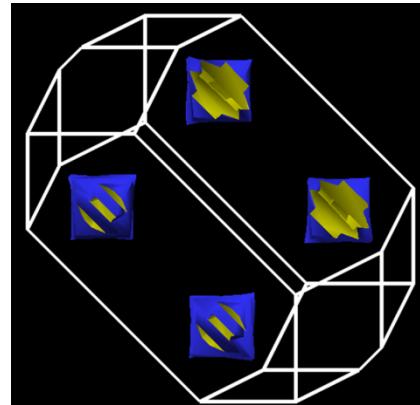
3

+0.15

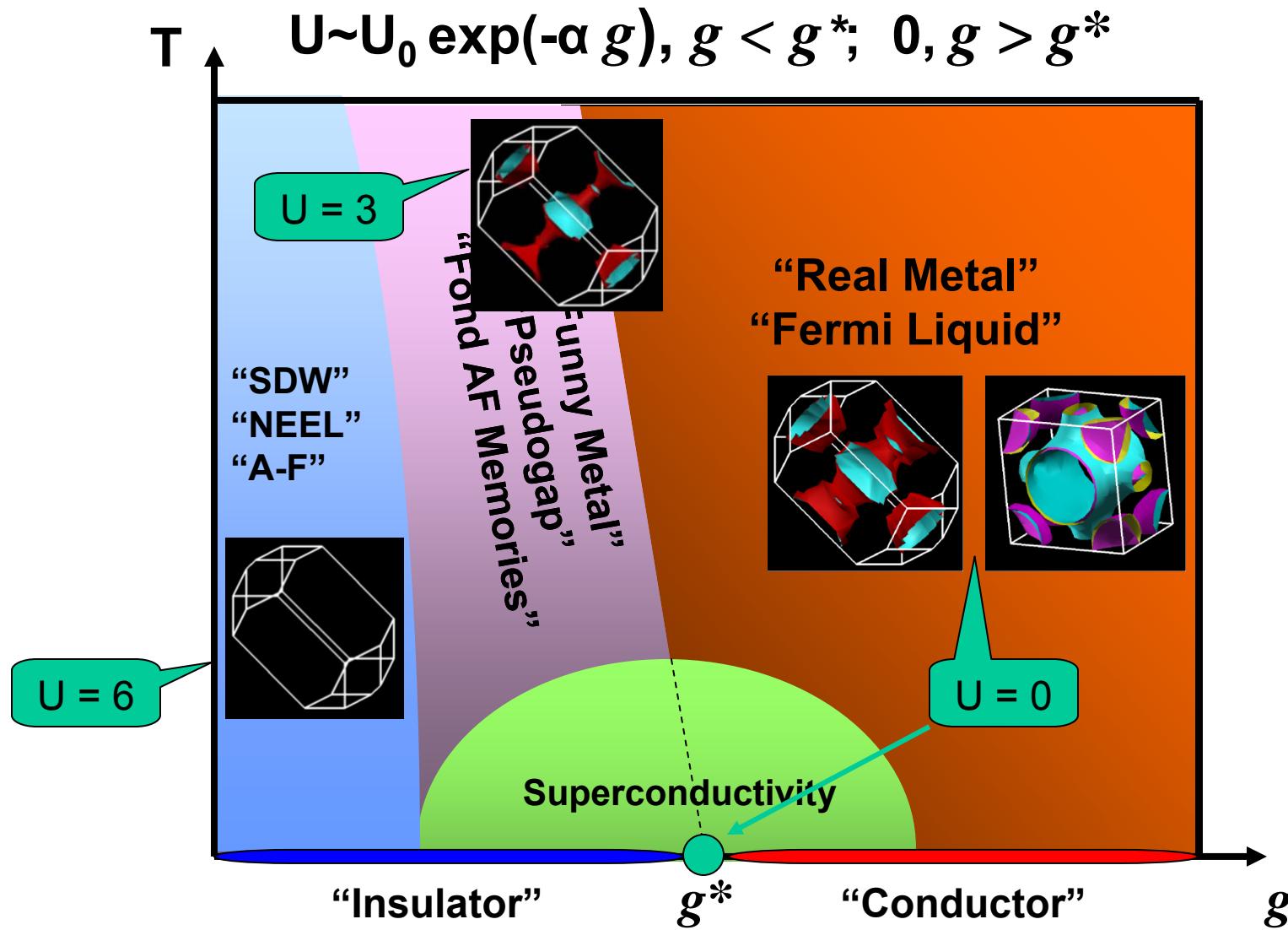


6

-0.15



# The Colossal Quantum Conundrum



*Somewhere in here there has to be “BCS-like” pairing!*

# **Shakes or Spins or Both?**

*Are They Copacetic, Competitive...or...  
...just another Conundrum?*

What formalism is the HTSC analogy to  
Migdal-Eliashberg-McMillan?

*(In other words, how do I calculate the value of the BCS gap?)*

- Generalized Linhard Response Function (RPA + fluctuations) *Hu and O'Connell (PRB 1989)*
- Dielectric Response Function *Kirznits, Maximov, Khomskii (JLTP 1972)*

# Generalized Linhard Function

$$\chi^0(\mathbf{q}, \omega) = \sum_{\mathbf{k}, \sigma} \frac{f(\mathbf{k}) - f(\mathbf{k} + \mathbf{q})}{\hbar\omega - (\varepsilon_{\mathbf{k} + \mathbf{q}} - \varepsilon_{\mathbf{k}}) + iDq^2}$$

$$D = \lim_{t \rightarrow \infty} \frac{1}{2t} \overline{\delta \mathbf{R}^2(t)} \quad V(\mathbf{q}) = 4\pi e^2/q^2$$

$$\epsilon(\mathbf{q}, \omega) = 1 - V(\mathbf{q})\chi^0(\mathbf{q}, \omega)$$

$$\begin{aligned} \epsilon_1(x, y) = & 1 + \frac{q_{TF}^2}{8k_F^2 x^2} \left[ 1 + \frac{1}{8x} \left[ (1 + b^2 x^2 - \nu_+^2) \ln \left( \frac{(1 + \nu_+)^2 + b^2 x^2}{(1 - \nu_+)^2 + b^2 x^2} \right) + (1 + b^2 x^2 - \nu_-^2) \ln \left( \frac{(1 + \nu_-)^2 + b^2 x^2}{(1 - \nu_-)^2 + b^2 x^2} \right) \right] \right. \\ & \left. - \frac{b}{2} \left\{ \nu_+ \left[ \arctan \left( \frac{1 - \nu_+}{bx} \right) + \arctan \left( \frac{1 + \nu_+}{bx} \right) \right] + \nu_- \left[ \arctan \left( \frac{1 - \nu_-}{bx} \right) + \arctan \left( \frac{1 + \nu_-}{bx} \right) \right] \right\} \right] \end{aligned} \quad (1)$$

where

$$x = \frac{q}{2k_F}, \quad y = \frac{\hbar\omega}{4\varepsilon_F}, \quad q_{TF}^2 = \frac{4me^2 k_F}{\pi\hbar^2}, \quad b = \frac{2mD}{\hbar}, \quad \nu_{\pm} = x \pm y/x, \quad (1)$$

HO (1989)

# Dielectric Response Function

$$G(\mathbf{k}, i\omega_n) = 1/(i\omega_n - \xi_k)$$

$$F(\mathbf{p}, i\omega_n) = -G(\mathbf{p}, i\omega_n)G(-\mathbf{p}, -i\omega_n)T_c \sum_m \int [d^3k/(2\pi)^3] \\ \times V(\mathbf{p} - \mathbf{k}, i\omega_n - i\omega_m)F(\mathbf{k}, i\omega_m)$$

$$V(\mathbf{q}, i\omega_n) = \frac{4\pi e^2}{q^2} \left[ 1 - \int_0^\infty \frac{dE^2 \rho(\mathbf{q}, E)}{\omega_n^2 + E^2} \right]$$

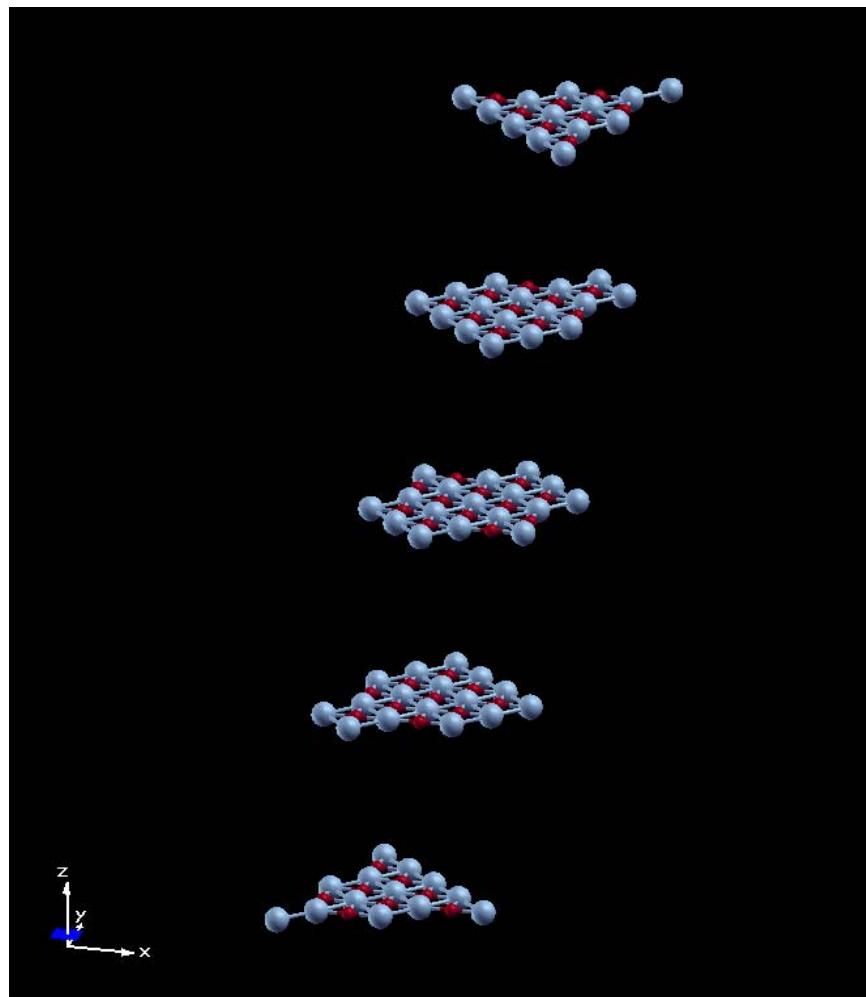
In principle, KMK can calculate the BCS gap for general “bosonic” fields, be they phonons, magnons, spin-ons, excitons, plasmons...or morons!

KMK (1972)

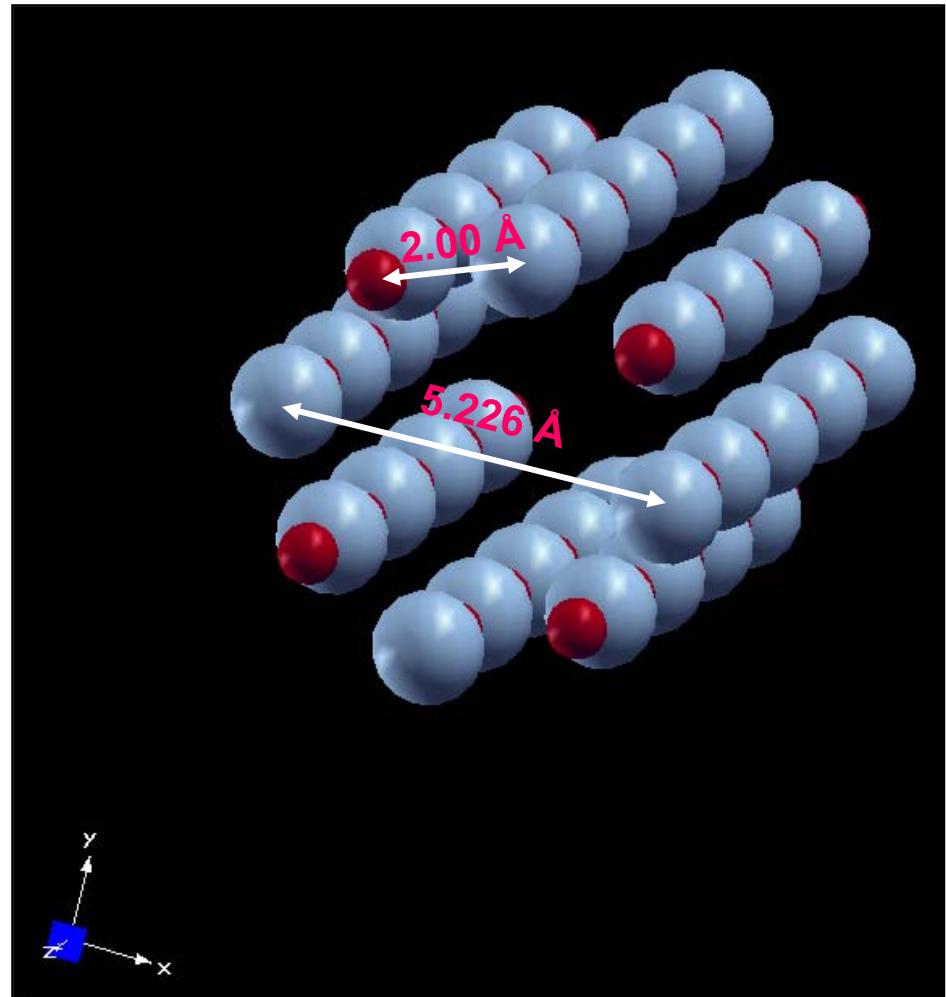
# Other CuO Proxy Structures

- Studies in Progress -

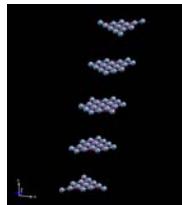
# Films & Tubes



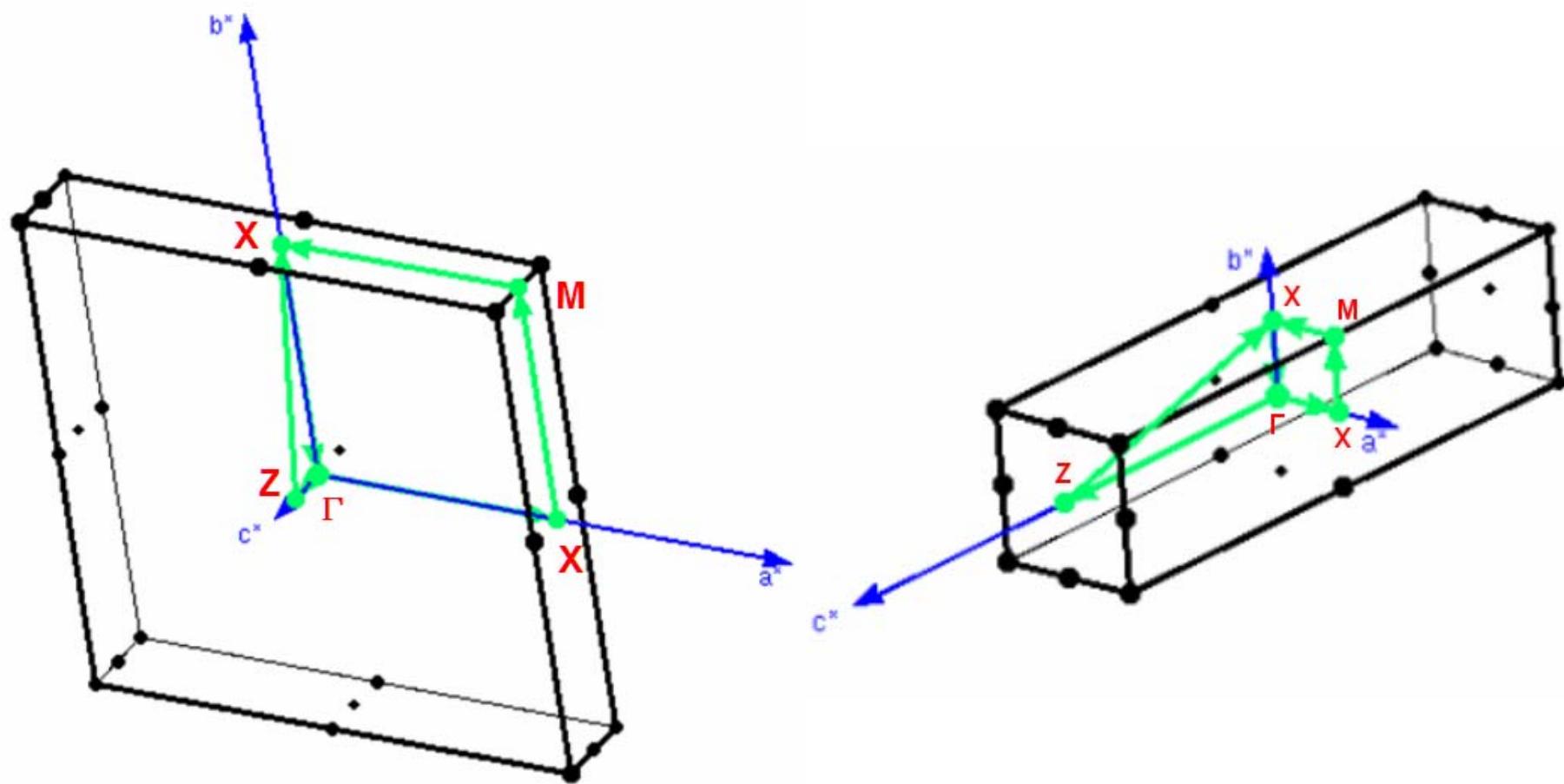
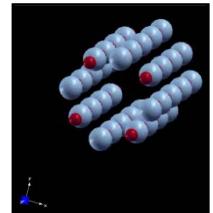
$$a = b = 3.905 \text{ \AA}$$
$$c = 6 \times 3.905 = 23.43 \text{ \AA}$$

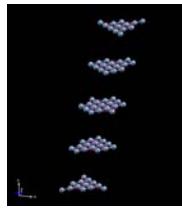


2 CuO segments per quadrant  
16 Å between tubes

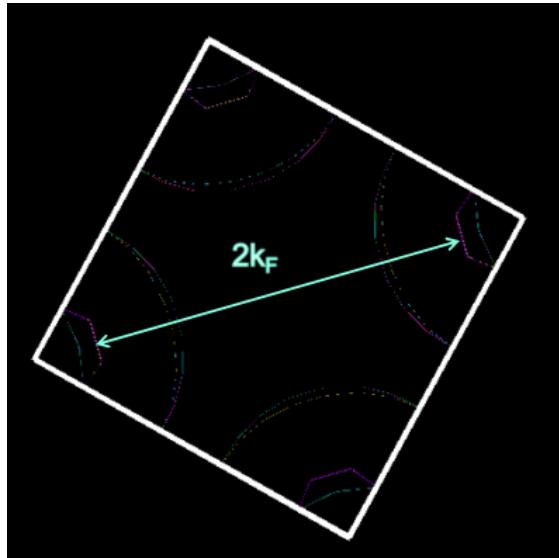
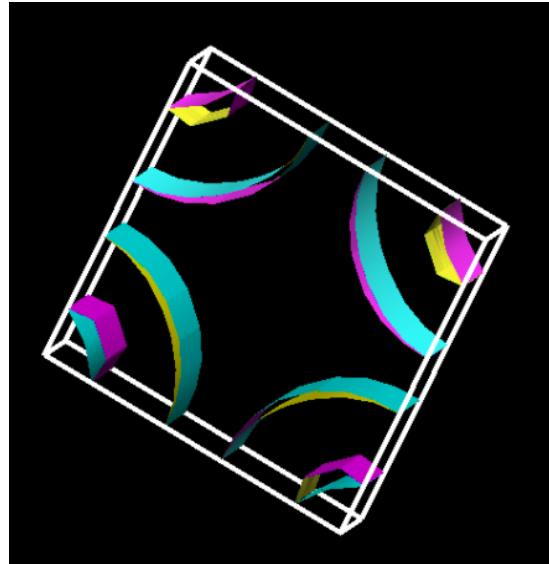


# Films & Zones



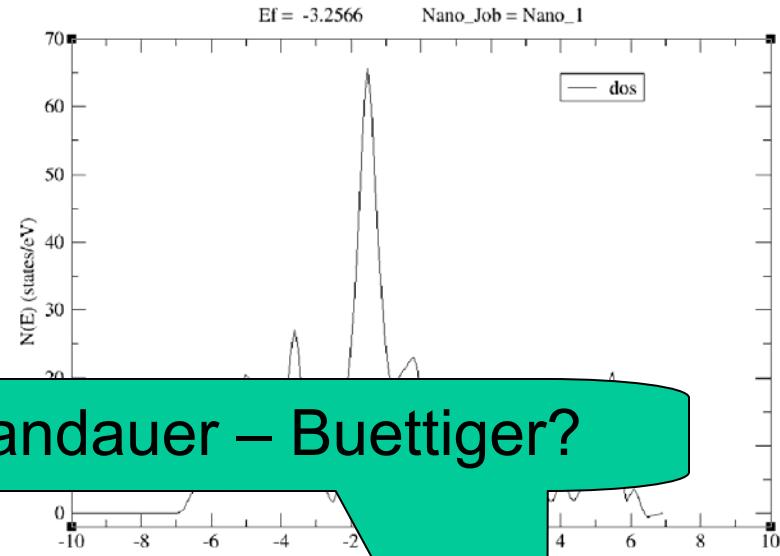
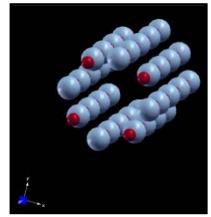


# Films



# & States

# Tubes



Landauer – Buettiger?

